

# A Comparative Study of Non-separable Wavelet and Tensor-product Wavelet in Image Compression

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**Abstract:** The most commonly used wavelets for image processing are the tensor-product of univariate wavelets, which have a disadvantage of giving a particular importance to the horizontal and vertical directions. In this paper, a new class of wavelet, non-separable wavelet, is investigated for image compression applications. The comparative results of image compression preprocessed with two different kinds of wavelet transform are presented: (1) non-separable wavelet transform; (2) tensor-product wavelet transform. The results of our experiments show that in the same vanishing moment, the non-separable wavelets perform better than the tensor-product wavelets in dealing with still images.

**Keyword:** Non-separable Wavelet; Tensor-product Wavelet; Image Compression

## 1 Introduction

Univariate wavelets have played an important role in signal processing since wavelet expansions became more appropriate than conventional Fourier series to characterize the local behavior of non-stationary signals [Antonini M, Barlaud M, and MathieuP, et al (1992), Mira Mitra, S. Gopalakrishnan (2006), Duddeck, Fabian M.E (2006)]. To apply wavelet methods to digital image processing, bivariate wavelets have to be constructed. The most commonly used method is the tensor product of univariate wavelets. This construction leads to a separable wavelet, which has a disadvantage of giving a particular importance to the horizontal and vertical directions [W. He and M. J. Lai (2000)]. There

has been a growing research interest in the area of construction of non-separable wavelets over the past few years. Much effort has been made on constructing non-separable bivariate wavelet. For example, J.Kovacevic and Vetterli studied properties of multidimensional non-separable wavelets and numerically constructed examples of continuous non-separable compactly supported bivariate wavelets [J.Kovacevic and M.Vetterli (1992)]. Cohen and Daubechies generalized the method [I.Daubechies (1988)] to construct non-separable bidimensional (discontinuous) compactly supported wavelets [A. Cohen, I.Daubechies (1993)]. Both Cohen-Daubechies's wavelets and J.Kovacevic -Vetterli's examples are based on the dilation matrix  $\begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$ . Also, Ming-Jun Lai constructed bivariate non-separable compactly supported orthonormal wavelets based on the commonly used uniform dilation matrix  $\begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}$ , which have 1-order vanishing moment and linear phase [W. He, M. J. Lai (2000)]. David Stanhill and Yehoshua Y.Zeevi [D. Stanhill and Y.Zeevi (1996)] constructed the non-separable orthonormal wavelets based on the commonly used uniform dilation matrix  $\begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}$ , which holds higher-order vanishing moment.

In this paper, four classes of non-separable wavelet filter banks with different properties are studied for image compression. The first one is Lai's non-separable wavelet based on the dilation  $\begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}$  that holds 1-order vanishing moment and linear phase. The second one is David Stanhill's non-separable orthonormal wavelet based on the dilation  $\begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}$  that holds higher-order vanishing moment, but does not have the linear phase. The third one is the non-separable orthonormal wavelet based on the dilation  $\begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$  that holds 1-order vanishing moment. The fourth one is the non-separable orthonormal wavelet based on

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the dilation  $\begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$  that holds higher-order vanishing moment. For the purpose of comparison, we also implemented the image compression method using tensor-product of univariate wavelets (CDF53, DB97).

## 2 Wavelet Transform in Two Dimensions: Non-separable Wavelet and Tensor Product of Univariate Wavelet

There are various ways to extend one-dimensional (1-D) wavelet transform to two dimensions. The simplest way to generate a 2-D wavelet transform is to apply two 1-D transforms separately. Thus, image decomposition can be computed with separable filtering along the abscissa and ordinate, by using the same pyramidal algorithm as in the 1-D case. As shown in Figure. 1, this separable transform (ST) called “tensor-product” decomposes images with a multi-resolution scale factor of two, providing one low-resolution subimage and three spatially oriented wavelet coefficient subimages at each resolution level.

Another way of extending wavelet transform to higher dimensions is to use non-separable filters. The 2-D MRA (multiresolution analysis) with a dilation matrix  $D$  is a ladder of closed subspaces  $\{V_j\}_{j \in \mathbb{Z}}$ , which approximates  $L^2(\mathbb{R}^2)$  and satisfies

$$\{0\} \rightarrow \dots V_{-1} \subset V_0 \subset V_1 \dots \rightarrow L^2(\mathbb{R}^2)$$

$$f(x) \subset V_{j-1} \Leftrightarrow f(Mx) \subset V_j \quad \exists \phi \in V_0 \text{ s.t.}$$

Where, the set  $\{\phi(x-k)\}_{k \in \mathbb{Z}^2}$  is an orthonormal basis for  $V_0$ . The function  $\phi(x)$  is called scaling function and since  $V_0 \subset V_1$ ,  $\phi(x)$  has to be the solution of a dilation equation of the form

$$\phi(x) = \sum_{k \in \mathbb{Z}^2} H\phi(Dx-k)$$

The associated wavelet is then derived from the scaling function by the formula

$$\psi_i(x) = \sum_{k \in \mathbb{Z}^2} G_i\phi(Dx-k) \quad i = 1, \dots, \det(D) - 1$$

As shown in Figure. 2, the commonly transforms of non-separable wavelet are quincunx transform (QT) and separable sampling wavelets transform

(FST) with four bands. The former bases on the dilation matrix  $D = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$ , and the latter bases on the dilation  $D = \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}$  and uses non-separable and nonoriented filters ( $M = \det(D)$ ).

## 3 The Image Compression Scheme

The standard process of image compression is as shown in Figure 3.

Where,  $Y$  denotes the wavelet coefficients that are obtained by performing wavelet transform to image data. A compression scheme can be designed as below [Wang Ling and Song Guo-xiang (2001)]:

1. Perform 8-bit Scalar quantization of  $Y$
2. Let  $D_j^e$  denote the wavelet coefficients of part  $e$  ( $e = H$  denotes horizontal;  $e = V$  denotes vertical;  $e = D$  denotes diagonal).  $\delta_j^e$  denotes the threshold.

$$D_{j,\delta}^e = \begin{cases} D_j^e, & \text{when } \text{abs}(D_j^e) > \delta_j^e \\ 0, & \text{otherwise} \end{cases}$$

3. Compute the entropy of  $D_j^e$ , which is denoted  $ast_j^e$ .

$$t_j^e = - \sum_k \sum_l p(D_{j,\delta}^e(k,l)) \log p(D_{j,\delta}^e(k,l))$$

$p(D_{j,\delta}^e(k,l))$  is the probability of pixel  $(k,l)$  in  $D_{j,\delta}^e$ .

Compute the compression rate as following:

$$CR = 8 \left/ \left\{ \frac{1}{\det(D)} (a_1 t_1^H + a_2 t_1^V + a_3 t_1^D) + \dots + \frac{1}{(\det(D))^j} (a_1 t_j^H + a_2 t_j^V + a_3 t_j^D) + \frac{1}{(\det(D))^j} t_j^L \right\} \right.$$

$a_1, a_2, a_3$  all can set 1.

## 4 Experiment

In our experiment, the non-separable wavelet method is used to implement the image compression scheme. As a comparison, the tensor-product method of well-known biorthogonal wavelets CDF53 and DB97 (the best wavelet for image compression so far) is also implemented. The non-separable wavelet filter banks used in the experiments are as following:

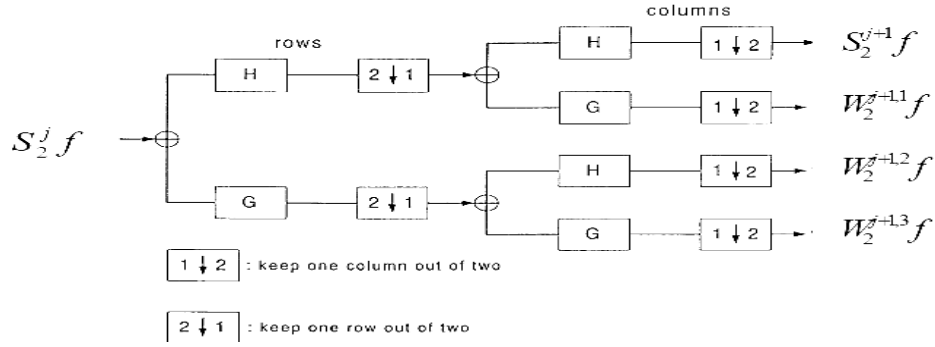


Figure 1: Tensor-product wavelet decomposition of  $S_2^j f$

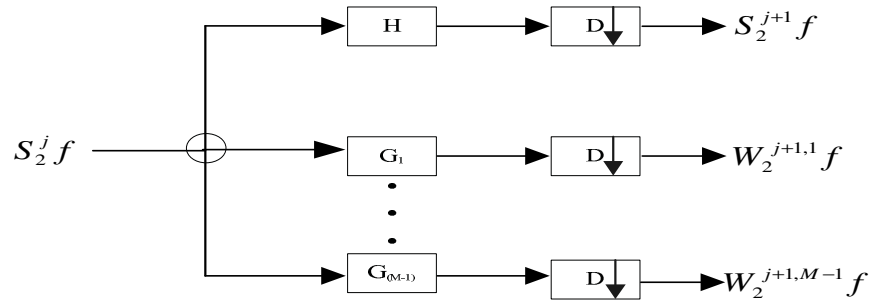


Figure 2: Non-separable wavelet decomposition of  $S_2^j f$

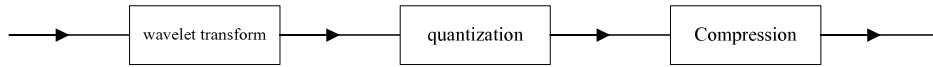


Figure 3: The processing of image compression

**Quincunx Wavelet:**

Orthogonal, 1-order vanishing moment (2-M1):

$$H = \left[ \frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}} \right], \quad G = \left[ \frac{1}{\sqrt{2}}, -\frac{1}{\sqrt{2}} \right]$$

Orthogonal, 2-order vanishing moment (2-M2):

$$H = \frac{1}{4 \times \sqrt{2}} \times \begin{bmatrix} 0 & 0 & 0 & 0 \\ 1+\sqrt{3} & 3+\sqrt{3} & 3-\sqrt{3} & 1-\sqrt{3} \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$G = \frac{1}{4 \times \sqrt{2}} \times \begin{bmatrix} 0 & 0 & 0 & 0 \\ \sqrt{3}-1 & 3-\sqrt{3} & -3-\sqrt{3} & 1+\sqrt{3} \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

**Four-band, Separable Sampling Wavelet**

Orthogonal, Linear phase, 1-order vanishing moment (4-M1):

$$H = \begin{bmatrix} 0.12438 & 0.124065 & 0.134125 & 0.134441 \\ 0.125309 & -0.124997 & -0.116181 & 0.134125 \\ 0.116492 & -0.13257 & -0.124997 & 0.124065 \\ 0.115563 & 0.116492 & 0.125309 & 0.12438 \end{bmatrix}$$

$$G_1 = \begin{bmatrix} 0.119815 & 0.103642 & -0.128752 & -0.129055 \\ 0.136266 & -0.120253 & 0.111526 & -0.128752 \\ 0.117017 & -0.143438 & 0.124518 & -0.114555 \\ 0.132964 & 0.142446 & -0.124211 & -0.114869 \\ 0.00393323 & -0.0044761 & -0.00422041 & 0.00418892 \\ 0.00390188 & 0.00393323 & 0.00423092 & 0.00419957 \end{bmatrix}$$

$$G_2 = [G_{21} \quad G_{22}]$$

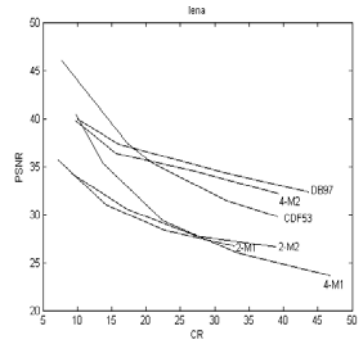


Figure 4: Lena

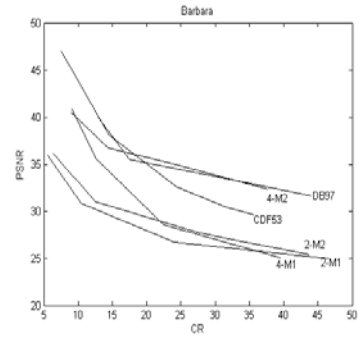


Figure 5: Barbara

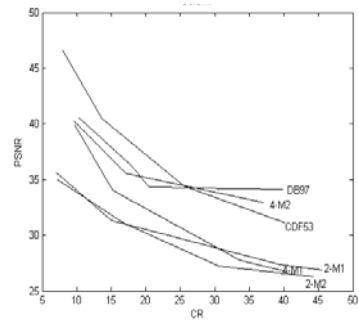


Figure 6: Barbara

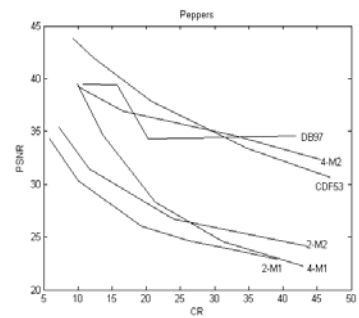


Figure 7: Pepper

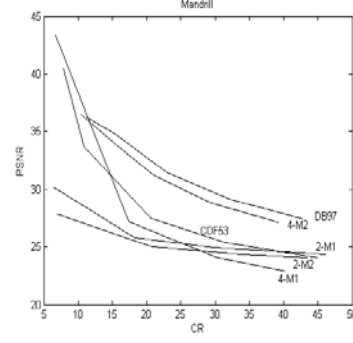
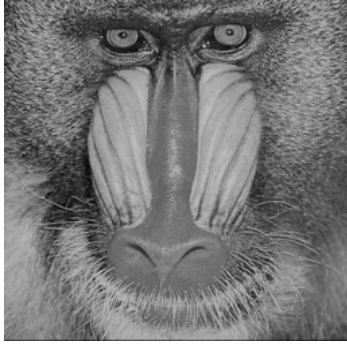


Figure 8: Mandrill

where

$$G_{21} = \begin{bmatrix} 0 & 0 & 0.000127888 \\ 0 & 0 & 0.000145448 \\ 0.129237 & 0.111792 & 0.130945 \\ 0.146981 & -0.129709 & -0.106902 \\ -0.120619 & 0.137267 & 0.125783 \\ -0.119657 & -0.120619 & -0.133362 \end{bmatrix}$$

$$G_{22} = \begin{bmatrix} 0.000110625 & -0.000137428 & -0.000137751 \\ -0.000128356 & 0.000119041 & -0.000137428 \\ 0.115243 & -0.00406622 & -0.00433127 \\ 0.107394 & 0.00350473 & -0.00432173 \\ -0.124314 & 0.00390884 & -0.00387968 \\ -0.132429 & -0.00391858 & -0.00388954 \end{bmatrix}$$

$$G_3 = [G_{31} \quad G_{32}]$$

where

$$G_{31} = \begin{bmatrix} 0.124063 & 0.107316 & -0.137383 \\ 0.141097 & -0.124516 & 0.110856 \\ -0.111723 & 0.135288 & -0.12695 \\ -0.110242 & -0.11987 & 0.134239 \\ -0.0037948 & 0.00431857 & 0.00407185 \\ -0.00376455 & -0.0037948 & -0.00408203 \end{bmatrix}$$

$$G_{32} = \begin{bmatrix} -0.137147 & 0.00436924 & 0.00437951 \\ -0.129236 & -0.00378467 & 0.00436924 \\ 0.116734 & -0.00407191 & 0.00404148 \\ 0.125177 & 0.00408205 & 0.00405175 \\ -0.00404147 & 0 & 0 \\ -0.00405178 & 0 & 0 \end{bmatrix}$$

Orthogonal, 2-order vanishing moment (4-M2):

$$H = \frac{(3 \times \sqrt{3} - 1)}{416} \times \begin{bmatrix} 9\sqrt{3}+16 & 9\sqrt{3}+16 & 4-\sqrt{3} & 4-\sqrt{3} \\ 16\sqrt{3}+27 & 16\sqrt{3}+27 & 4\sqrt{3}-3 & 4\sqrt{3}-3 \\ 4-\sqrt{3} & 11\sqrt{3}+8 & 17\sqrt{3}-16 & 5\sqrt{3}-20 \\ -8\sqrt{3}-7 & 4\sqrt{3}-3 & 12\sqrt{3}-9 & -13 \end{bmatrix}$$

$$G_1 = \frac{(1 + \sqrt{3})}{8} \times \begin{bmatrix} 2-\sqrt{3} & 2-\sqrt{3} \\ 2\sqrt{3}-3 & 2\sqrt{3}-3 \\ -\sqrt{3} & -\sqrt{3} \\ 1 & 1 \end{bmatrix}$$

$$G_3 = \frac{1}{2} \times \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix}$$

$$G_2 = \frac{(5 \times \sqrt{3} + 7)}{416} \times \begin{bmatrix} 4-\sqrt{3} & 4-\sqrt{3} & \sqrt{3}-4 & \sqrt{3}-4 \\ 4\sqrt{3}-3 & 4\sqrt{3}-3 & 3-4\sqrt{3} & 3-4\sqrt{3} \\ 40-23\sqrt{3} & 45\sqrt{3}-76 & 16-17\sqrt{3} & 20-5\sqrt{3} \\ 47-28\sqrt{3} & 40\sqrt{3}-69 & 9-12\sqrt{3} & 13 \end{bmatrix}$$

The peak signal to noise ratio (PSNR) for a gray-scale image  $x$  and its compressed reconstruction  $\bar{x}$  is given by

$$RMSE = \sqrt{\frac{\sum_{i \leq M, j \leq N} (x_{i,j} - \bar{x}_{i,j})^2}{NM}}$$

$$PSNR = 20 \log_{10} \left( \frac{255}{RMSE} \right)$$

Because this application is image dependent, we have chosen five images: Lena, Barbara, Goldhill, Peppers and mandrill of size  $256 \times 256$ . The results are shown in Figure.4–Figure.8:

As shown in the Figure.4–Figure.8, DB97 has the best ascendant performance. Although the performance of the non-separable wavelet in these experiments is not as good as DB97, which is the best wavelet in image compression applications, the performance of the four-band, 2-order vanishing moment non-separable wavelet is close to that of DB97 and better than that of CDF53

that is also an excellent wavelet in image compression applications. Multidimensional non-separable wavelet is far away from being well understood so far. We believe that the non-separable wavelet will perform better and better in image compression applications as the advance of non-separable wavelet theory.

## 5 Conclusion

In this paper, we present the comparative results of image compression preprocessed with two different wavelet transforms: the non-separable wavelet transforms (quincunx and four-band) and the Tensor-product wavelet transform. The results show that: 1) the vanishing moment is an important property in still image compression 2) the four-band, 2-order vanishing moment non-separable wavelet has better performance in still image compression than Quincunx non-separable wavelet 3) the non-separable wavelet has better ascendant performance than the tensor-product of univariate wavelet. As the future work, we will investigate the application of non-separable wavelets in image compression.

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