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# Euler's First-Order Explicit Method–Peridynamic Differential Operator for Solving Population Balance Equations of the Crystallization Process

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## ABSTRACT

Using Euler's first-order explicit (EE) method and the peridynamic differential operator (PDDO) to discretize the time and internal crystal-size derivatives, respectively, the Euler's first-order explicit method-peridynamic differential operator (EE–PDDO) was obtained for solving the one-dimensional population balance equation in crystallization. Four different conditions during crystallization were studied: size-independent growth, size-dependent growth in a batch process, nucleation and size-independent growth, and nucleation and size-dependent growth in a continuous process. The high accuracy of the EE–PDDO method was confirmed by comparing it with the numerical results obtained using the second-order upwind and HR-van methods. The method is characterized by non-oscillation and high accuracy, especially in the discontinuous and sharp crystal size distribution. The stability of the EE–PDDO method, choice of weight function in the PDDO method, and optimal time step are also discussed.

# **KEYWORDS**

Population balance equation; crystallization; peridynamic differential operator; Euler's first-order explicit method

# 1 Introduction

Crystallization is a low-energy-consumption process that yields products of high purity. This process is employed in various fields, such as chemical industries, medicine, and biotechnology, and involves finer aspects, such as the nucleation and growth of crystals. The population balance equation (PBE) is a mathematical model that describes the crystal size distribution. An accurate solution of the PBE is important to understand and optimize the crystallization process for the improvement of product quality and minimization of production costs.

At present, a large number of numerical results exist in the study of the PBE. They can be roughly divided into three categories based on the numerical method involved: (i) the Monte Carlo method [1,2], (ii) the method of moments [3,4], and (iii) the discrete method [5-7]. The Monte Carlo method uses a large number of random samplings to solve the PBE and can be directly used to



analyze multidimensional cases. Thus, the method has several applications in solving the PBE and two-phase flows [8.9]. However, this method is computationally expensive, is prone to generating noise, and hinders coupling with other equations. The method of moments is used to solve the equations for the various moments of the size-distribution function. It has been reviewed by Li et al. [4]. This method is computationally efficient, but reconstructing the size-distribution function from the moments is challenging [10]. Common examples of the method of moments are the quadrature method of moments [11,12] and direct quadrature method of moments [13]. The discrete method directly solves the PBE with the size-distribution function. This method discretizes the internal coordinates and obtains the size-distribution function directly by applying one of the following methods: the finite volume method [14,15], finite element method [16,17], collocation method [18,19], or lattice Boltzmann method [20]. This study focuses on the discrete method for solving the PBE. Since the PBE is a convection-reaction equation in mathematics, its strong hyperbolic property challenges the numerical method. Due to the strong hyperbolic nature of the equation, numerical schemes inevitably cause numerical dissipation and dispersion problems when solving such problems. Traditionally, this problem is solved by using a scheme called the upwind scheme. When calculating derivatives, it only calls more points from the upwind direction of the flow. Besides, the crystallization simulation also contain sharp crystal size distributions and discontinuities. Thus, the high resolution method are required.

The peridynamic differential operator (PDDO) method, a nonlocal differential operator [21–23], has been developed in recent years based on the concept of the peridynamics (PD) theory [24,25]. It connects local partial derivatives and nonlocal integrals through Taylor series expansions and the property of orthogonal functions. The PDDO method can be used to solve differential equations and derive smooth functions or scattered data from discontinuities or singular points. Thus, this method has been successfully applied to the study of stress analysis [26], heat and mass transfer [27], and thermodynamic problems [28]. Recently, Baryt et al. [29] modified the weight function of the PDDO based on the characteristics of hyperbolic equations and obtained a generalized PDDO scheme to accurately solve these equations. Herein, we attempt to apply this method to solve the PBE. The reason we use the PDDO method for dealing with the internal crystal-size derivatives in PBE is that the PDDO method has the ability to deal with the discontinuity in mathematical concepts. Besides, the PDDO can determine any arbitrary order of derivatives regardless of the presence of jump discontinuities or singularities. Also, the PDDO is free of the requirement of symmetric kernels; thus, it eliminates the necessity of ghost particles near the boundary.

In this study, the Euler's first-order explicit (EE) method and PDDO method are combined (EE– PDDO) to solve the one-dimensional (1D)-PBE, and four cases are presented: (i) size-independent growth, (ii) size-dependent growth in a batch process, (iii) nucleation and size-independent growth, and (iv) nucleation and size-dependent growth in a continuous process. The effectiveness and high accuracy of the EE–PDDO method are verified by comparing it to the analytical solution and other numerical results. The stability of the EE–PDDO method, the weight function in the PDDO scheme, and the optimal time step size are discussed.

## 2 Population Balance Equation and Numerical Schemes

#### 2.1 Population Balance Equation

The 1D-PBE is expressed as Eq. (1) [14,30].

$$\frac{\partial f(L,t)}{\partial t} + \frac{\partial \left\{ G(L,t)f(L,t) \right\}}{\partial L} = h(L,t,f)$$
(1)

where f(L, t) is the crystal-size-distribution function, L is the crystal-size variable, t is the time, G(L, t) is the growth rate and is positive, h(L, t, f) is a source term that includes the effects of aggregation, nucleation, and breakage. Since Eq. (1) is a convection-reaction equation in mathematics, it brings challenges to numerical simulation.

#### 2.2 PDDO Method

The PD theory is a nonlocal theory proposed by Silling et al. [31]. Point x interacts with Point x' within an interaction domain  $H_x$  as shown in Fig. 1. The relative position vector between these points is defined as  $\xi = x' - x$ . Each point has its interaction domain (family). The PD range of each point is known as the horizon and can be denoted by the integer parameter (m) and grid size  $\Delta x$ , namely,  $\delta = m\Delta x$ . The interaction domain of points may have different sizes and shapes. The degree of nonlocal interaction between the points is specified by the weight function  $\omega(\xi)$ .



Figure 1: PD interaction domains for the discretized Points x and x'

Madenci et al. [24,25] proposed the PDDO method based on the PD theory. The *N*-order Taylor series expansions of scalar fields  $f(x') = f(x + \xi)$  are expressed as Eq. (2).

$$f(x+\xi) = \sum_{n=0}^{N} \frac{1}{n!} \xi^n \frac{d^n f(x)}{dx^n} + R(N,x)$$
(2)

where R(N, x) is the remainder of the *N*-order approximation. Multiplying each term in Eq. (2) by PD functions  $g_N^p(\xi)$  and integrating over the domain of interaction  $H_x$  forms Eq. (3).

$$\frac{d^{p}f(x)}{dx^{p}} = \int_{H_{x}} f(x+\xi) g_{N}^{p}(\xi) d\xi$$
(3)

The PD function must be orthogonal to each term in the Taylor series expansions as shown in Eq. (4).

$$\int_{H_X} \xi^n g_N^p(\xi) \, dV = n! \, \delta_{np} \tag{4}$$

where n = 0, ..., N,  $\delta_{np}$  is the Kronecker symbol. PD functions  $g_N^p(\xi)$  can be expressed using Eq. (5) [24,25].

$$g_{N}^{p}(\xi) = \sum_{q=0}^{N} a_{q}^{p} \omega_{q}(\xi) \xi^{q}$$
(5)

where  $\omega_q(\xi)$  is the weight functions associated with each term  $\xi^q$ .

The unknown coefficient  $a_q^p$  in Eq. (5) can be obtained from Eq. (6).

$$\sum_{q=0}^{N} A_{nq} a_q^p = b_n^p \tag{6}$$

where q = 0, ..., N. The coefficient matrix is obtained from Eqs. (7a) and (7b).

$$A_{nq} = \int_{H_x} \omega_q\left(\xi\right) \xi^{n+q} d\xi \tag{7a}$$

$$b_n^p = n! \,\delta_{np} \tag{7b}$$

The PDDO method recovers local differentiation as the family size  $H_x$  decreases or the number of terms in the function  $g_N^p(\xi)$  increases. Thus, the degree of nonlocality reduces by decreasing the family size and increasing the number of terms in the Taylor series expansions.

### 2.3 EE-PDDO Method for Solving the Population Balance Equation

We initially introduced the internal coordinate grid points for computing the solution and labeled them sequentially as  $L_1, L_2, \dots, L_{M_L}$ . We confined our attention to a uniform grid with a step size  $\Delta L$ . The formula for the internal crystal-size points is  $L_i = a + \left(i - \frac{1}{2}\right) \cdot \Delta L$ ,  $(i = 1, 2, \dots, M_L)$ .  $\Delta L$  and  $M_L$  are connected via  $\Delta L = (b - a)/M_L$ . We introduced the time points for computing the solution, which is labeled sequentially as  $t_0, t_1, \dots, t_{M_t}$ . We confine our attention to a uniform grid with a time step size  $\Delta t$ . Hence, the formula for the time points is  $t_k = k \cdot \Delta t$ ,  $(k = 0, 1, \dots, M_t)$ .  $\Delta t$  and  $M_t$  are connected via  $\Delta t = t_{end}/M_t$ .

We evaluated the differential Eq. (1) at the point  $(L_i, t_k)$  to obtain Eq. (8).

$$\frac{\partial f\left(L_{i},t_{k}\right)}{\partial t} + \frac{\partial \left\{G\left(L_{i},t_{k}\right)f\left(L_{i},t_{k}\right)\right\}}{\partial L} = h\left(L_{i},t_{k},f\left(L_{i},t_{k}\right)\right) \tag{8}$$

The EE method was used for the time derivative to obtain Eq. (9).

$$f_i^{k+1} = f_i^k + \Delta t \cdot \left[ h_i^k - G_i^k \left. \frac{\partial f}{\partial L} \right|_{L=L_i, t=t_k} - f_i^k \left. \frac{\partial G}{\partial L} \right|_{L=L_i, t=t_k} \right]$$
(9)

where  $G(L_i, t_k)$ ,  $f(L_i, t_k)$ ,  $h(L_i, t_k, f(L_i, t_k))$  are abbreviated as  $f_i^k$ ,  $G_i^k$ ,  $h_i^k$ , respectively. The crystal growth rate G(L, t) and the source term h(L, t, f) are usually known in Eq. (9). Thus, the problem is to find a method to discretize the internal crystal-size derivative  $\frac{\partial f}{\partial L}\Big|_{L=L_i, t=t_k}$ .

The PDDO method is used to discretize the internal crystal-size derivative. Taking the polynomial degree N = 1, we have Eq. (10).

$$\frac{\partial f}{\partial L}\Big|_{L=L_{i},t=t_{k}} = \sum_{j=1}^{N_{(i)}} f_{j}^{k} \cdot g_{1}^{1} \left(L_{j} - L_{i}\right) \Delta L$$
(10)

where the PD function is constructed using Eq. (5).

The direction of characteristics must be considered when solving hyperbolic equations. Baryt et al. [29] constructed an upwind weight function according to the direction of the incoming flow, when  $G_i^k \ge 0$  and the weight function is as described in Eq. (11a) (Fig. 2).

$$\omega(\xi) = \begin{cases} 0 & \xi > 0 \\ e^{-(2\xi/\delta)^2} & \xi \le 0 \end{cases}$$
(11a)

$$\omega(\xi) = \begin{cases} e^{-(2\xi/\delta)^2} & \xi \ge 0\\ 0 & \xi < 0 \end{cases}$$
(11b)



Figure 2: Diagram of the upwind weight function

### 2.4 Stability Analysis of the EE-PDDO Method

The simplest form of the PBE is shown in Eq. (12).

$$\frac{\partial f}{\partial t} + G \frac{\partial f}{\partial L} = 0 \tag{12}$$

G is the growth rate and is positive, and the source term is ignored. The corresponding EE–PDDO form is expressed as Eq. (13).

$$f_{i}^{k+1} = f_{i}^{k} - G \cdot \Delta t \cdot \sum_{j=1}^{N_{(i)}} f_{j}^{k} \cdot g_{1}^{1} \left( L_{j} - L_{i} \right) \Delta L$$
(13)

Taking the horizon  $\delta = \Delta L$ , Eq. (13) can be written as Eq. (14).

$$f_i^{k+1} = f_i^k - G \cdot \Delta t \cdot \left[ f_{i-1}^k \cdot g \left( -\Delta L \right) \Delta L + f_i^k \cdot g \left( 0 \right) \Delta L + f_{i+1}^k \cdot g \left( \Delta L \right) \Delta L \right]$$
(14)

We obtain the coefficients for the PD function using Eqs. (6), (7) and (11) as shown in Eq. (15).

$$\begin{cases} a_0^1 = \frac{1}{\Delta L^2} \\ a_1^1 = \frac{1+e^4}{\Delta L^3} \end{cases}$$
(15)

The numerical scheme of Eq. (12) is obtained as shown in Eq. (16).

$$f_i^{k+1} = (1-\lambda)f_i^k + \lambda f_{i-1}^k$$
(16)

with  $\lambda = G \frac{\Delta t}{\Delta L}$  as the Courant number.

We now use the Fourier analysis to show the stability [32]. Let  $f_i^k = W_k e^{lrL_i}$  (where  $\sqrt{I} = -1$  and r is the positive constant). Substituting it in Eq. (16), we obtained the amplification factor as shown in Eq. (17).

$$\kappa = \frac{W_{k+1}}{W_k} = 1 - \lambda \left[1 - \cos\left(r\Delta L\right) + I\sin\left(r\Delta L\right)\right] \tag{17}$$

(18)

Similarly, when one set of the horizon is  $\delta = 2\Delta L$ , the numerical scheme is expressed as Eq. (19).  $f_i^{k+1} = (1 - 0.9032\lambda)f_i^k + 0.8065\lambda f_{i-1}^k + 0.0968\lambda f_{i-2}^k$ (19)

The stability condition is shown in Eq. (20).

$$0 < \lambda \le \min\left\{-\frac{0.3872\cos^2\left(r\Delta L\right) + 1.6130\cos\left(r\Delta L\right) - 2}{-0.3497\cos^2\left(r\Delta L\right) - 1.3007\cos\left(r\Delta L\right) + 1.6504}\right\} \approx 1.2$$
(20)

## 2.5 Other Numerical Schemes

Herein we discuss internal crystal-size discretization, for which the treatment of the time derivative is the same as that in the EE method.

## 2.5.1 Second-Order Upwind Scheme

In the calculation of  $\frac{\partial}{\partial L} (G^k(L)f^k(L))$ , we used the second-order upwind scheme shown in Eq. (21) [29].

$$\frac{\partial \left(G^{k}\left(L\right)f^{k}\left(L\right)\right)}{\partial L}\Big|_{L=L_{i}} = \begin{cases} \frac{3\left(Gf\right)_{i}^{k}-4\left(Gf\right)_{i-1}^{k}+\left(Gf\right)_{i-2}^{k}}{2\Delta L} & G_{i}^{k} \ge 0\\ \frac{3\left(Gf\right)_{i+2}^{k}-4\left(Gf\right)_{i+1}^{k}+\left(Gf\right)_{i}^{k}}{2\Delta L} & G_{i}^{k} < 0 \end{cases}$$
(21)

2.5.2 HR-Van Scheme

In the calculation of  $\frac{\partial}{\partial L} (G^k(L) f^k(L))$ , the HR-van scheme shown in Eq. (22) was employed [14].

$$\frac{\partial \left(G^{k}\left(L\right)f^{k}\left(L\right)\right)}{\partial L}\Big|_{L=L_{i}} = \begin{cases} \frac{1}{\Delta L} \left[G_{i}^{k}\left(f_{i}^{k}+\frac{1}{2}\phi\left(r_{i}\right)\left(f_{i+1}^{k}-f_{i}^{k}\right)\right)\right] \\ -G_{i-1}^{k}\left(f_{i-1}^{k}+\frac{1}{2}\phi\left(r_{i-1}\right)\left(f_{i}^{k}-f_{i-1}^{k}\right)\right)\right] \\ \frac{1}{\Delta L} \left[G_{i+1}^{k}\left(f_{i+1}^{k}+\frac{1}{2}\phi\left(r_{i+1}\right)\left(f_{i+2}^{k}-f_{i+1}^{k}\right)\right) \\ -G_{i}^{k}\left(f_{i}^{k}+\frac{1}{2}\phi\left(r_{i}\right)\left(f_{i+1}^{k}-f_{i}^{k}\right)\right)\right] \\ G_{i}^{k} < 0 \end{cases}$$

$$(22)$$

where  $r_i = \frac{f_i^k - f_{i-1}^k}{f_{i+1}^k - f_i^k}$  and  $\phi(r) = \frac{|r| + r}{1 + |r|}$  with  $\phi(r)$  being the flux limiter proposed by Van [14].

#### **3** Numerical Experiments

We compared the accuracy of each numerical method by defining the following error shown in Eq. (23).

$$L_{2} - error = \sqrt{\sum_{i=0}^{M_{L}} \left(f_{i}^{e} - f_{i}^{n}\right)^{2} \Delta L / \sum_{i=0}^{M_{L}} \left|f_{i}^{e}\right| \Delta L}$$
(23)

where  $f_i^e$  is the exact solution at the node  $L_i$ .

#### 3.1 Size-Independent of Growth in a Batch Process

The first example is the crystallization in a batch process where the crystal growth G is independent of crystal size L. We neglected aggregation, nucleation, and breakage, and set h(L, t, f) = 0. The corresponding PBE is written as Eq. (12).

## 3.1.1 Single-Mode with Non-Smooth Distribution

The initial crystal-size function satisfies the non-smooth distribution shown in Eq. (24) [33].

$$f(L,0) = \begin{cases} 1 \times 10^{10}, & 10 \le L \le 30\\ 0, & else \end{cases}$$
(24)

In the calculation, the domain is set as  $[0, 100 \,\mu\text{m}]$  and crystal growth rate is  $G = 1.0 \,\mu\text{m/s}$ . The exact solution of this example is f(L, t) = f(L - Gt, 0). The other parameters for the calculation are  $M_L = 200$ ,  $t_{end} = 60$  s, and  $\Delta L = 0.5 \,\mu\text{m}$ .

Fig. 3 shows the comparison of the exact solution with the results obtained by four numerical schemes at t = 60 s. The time step is chosen as  $\Delta t = 0.05$  s in the first-order upwind, second-order upwind and HR-van schemes owing to the differences in the stability condition. The time step is chosen as  $\Delta t = \Delta L = 0.5$  with  $\delta = \Delta L$  (m = 1) and  $\Delta t = 1.1 \Delta L = 0.55$  with  $\delta = 2\Delta L$  (m = 2) in the EE-PDDO scheme. The selection of  $\Delta t = 0.05$  will be discussed later. Fig. 3a shows the predicted crystal size distributions at the whole crystal size. Compared to the initial crystal-size distribution, the solution shifts to right with the velocity G. Fig. 3a shows that the crystal size distribution predicted by the firstorder upwind scheme has great dissipation, while the crystal size distribution predicted by the secondorder upwind scheme exhibits significant dispersion. In the next example, we will show the  $L_2$  error of these upwind schemes in which the second-order upwind scheme is slightly better than that of the first-order upwind scheme. Therefore, in the following examples, we will only analyze and discuss the results of the second-order upwind scheme. Fig. 3b shows the predicted crystal size distributions at the local crystal size. Fig. 3b shows that the results obtained by the HR-van scheme have been greatly improved, and the obtained crystal size distribution is in good agreement with the exact solution. However, there are some errors where the crystal size distribution changes greatly. For the EE-PDDO method, the result of m = 1 is a reproduction of the exact solution, while the result of m = 2 also shows a good agreement with the exact solution. Although, the result of m = 2 of the EE–PDDO method has some dissipation where the crystal size distribution changes greatly, the obtained solution does not show oscillation. For the PBEs, it is shown that the first-order upwind will generate numerical dissipation, while the second-order upwind will generate numerical dispersion near discontinuous or steep gradients. The HR-van method is better than these two because it uses the flux limiters. From a perspective of finite difference method, in order to improve accuracy, a wider range of templates can be used, such as ENO and WENO formats. In this study, the EE-PDDO method effectively handles the discontinuity by introducing the upwind weight function.



**Figure 3:** Comparison of analytical and numerical crystal size distribution. (a) In the whole computational zone (b) zoomed figure

Fig. 4 shows the evolution of  $L_2$  error of different numerical schemes with the time steps at t = 60 s. The EE–PDDO method with m = 2 has the larger stability region, which means  $\Delta t$  can be the larger. The second-order upwind scheme has the smaller stability region. The EE–PDDO method with m = 1 has the smaller error at  $\Delta t = \Delta L = 0.5$ . The EE–PDDO method with different m values has some dissipation with the decrease of  $\Delta t$ . The HR-van method has good results under different values of  $\Delta t$ .



Figure 4: Evolution of the  $L_2$  error of different numerical schemes with the time steps

The method of directly solving the crystal size distribution function is often considered to be too expensive to couple with Computational fluid dynamics (CFD) [18,34]. Therefore, we now focus on the CPU time for these methods. Fig. 5 shows the CPU time of three methods with different numbers of internal crystal-size points. Since the stability conditions of these methods are different, the time step

is selected as mentioned here: (i)  $\Delta t = 0.1 \Delta L$  for the second-order upwind scheme; (ii)  $\Delta t = 0.5 \Delta L$ for the HR-van scheme; and (iii)  $\Delta t = \Delta L$  for the EE–PDDO method. The computations are carried out on a personal computer with 32 GB of random access memory and a 16-core 3.4 GHz processor. In this example, the computational time by the EE–PDDO method with different m is generally the same, which is less than that of the second-order upwind scheme and HR-van scheme.



Figure 5: Evolution of the required CPU time with the number of internal crystal-size points for different schemes

Therefore, it is best to select the EE–PDDO method with m = 1 and  $\Delta t = \Delta L$  in the case of sizeindependent growth from the perspective of accuracy and efficiency. When  $\lambda = 1$ , Eq. (16) deduces to  $f_i^{k+1} = f_{i-1}^k$ . This means that the solution propagates along the characteristic.

#### 3.1.2 Multi-Modal with Non-Smooth Distribution

The initial crystal size function of the multi-modal case is assumed as Eq. (25) [33]:

$$f(L,0) = \begin{cases} 0, & L \leq 2.0 \\ 1 \times 10^{10}, & 2 < L \leq 10 \\ 0, & 10 < L \leq 18 \\ 10^{10} \cos^2(\pi (L - 26)/64), & 18 < L \leq 34 \\ 0, & 34 < L \leq 42 \\ 0, & 58 < L \leq 66 \\ 0, & 58 < L \leq 66 \\ 10e^{-(L-70)^2/2\sigma^2}, & 66 < L \leq 74 \\ 0, & L > 74 \end{cases}$$

$$(25)$$

The computational zone is set as  $[0, 100 \,\mu\text{m}]$  and the crystal growth rate G is 0.1  $\mu$ m/s. The exact solution of this example is f(L, t) = f(L - Gt, 0).

The other parameters are set as shown here:  $M_L = 100$ ,  $t_{end} = 100$  s,  $\Delta L = 1 \,\mu\text{m}$ , and  $\sigma = 0.778$ . The time step is chosen as mentioned here:  $\Delta t = \Delta L$  in the first-order upwind scheme, second-order upwind scheme and the HR-van scheme, and  $\Delta t = \Delta L/G$  in the EE–PDDO method.

Fig. 6 shows the comparison of the analytical solution with numerical solutions obtained by different schemes at t = 100 s. The result of the EE–PDDO method with m = 1 is also a reproduction of the exact solution, while the result of the EE-PDDO method with m = 2 is similar to that of

the HR-van method. Table 1 lists the  $L_2$  error and the CPU time for different schemes. From the perspective of accuracy and efficiency, the EE–PDDO method with m = 1 is also the best choice. Also, as shown in Table 1, the accuracy of the second-order upwind is sightly better than that of the first-order upwind. Therefore, we shall not show the performance of the first-order upwind scheme in the following examples.



Figure 6: Comparison of analytical and numerical crystal size distribution

**Table 1:**  $L_2$  errors and CPU time for different schemes

Method	1 <sup>st</sup> Upwind	2 <sup>nd</sup> Upwind	HR-van	EE-PDDO, $m = 1$	EE-PDDO, $m = 2$
$L_2$ error	5.287085e-002	4.341103e-002	3.101508e-002	3.021993e-15	3.754077e-02
CPU time	0.0050	0.0050	0.0090	0.0100	0.0110

## 3.2 Size-Dependent Growth in a Batch Process

We have considered the example of G dependent on L in a batch process. Aggregation, nucleation, and breakage are not considered. Thus, h(L, t, f) = 0. The relationship between G and L satisfies the relation  $G(L, t) = G_0 L$ , where  $G_0$  is a constant. Therefore, the PBE can be expressed as Eq. (26).

$$\frac{\partial f}{\partial t} + G_0 L \frac{\partial f}{\partial L} + f G_0 = 0$$
<sup>(26)</sup>

The initial size distribution satisfies Eq. (27).

$$f(L,0) = \frac{N_0}{\overline{L}} \exp\left(-\frac{\overline{L}}{\overline{L}}\right)$$
(27)

where  $N_0$ ,  $\overline{L}$  are constants. The analytical solution for this example is as shown in Eq. (28) [14].

$$f(L,t) = \frac{N_0}{\overline{L}} \exp\left(-\frac{L}{\overline{L}}e^{-G_0 t} - G_0 t\right)$$
(28)

We set the parameters as  $\overline{L} = 0.01 \ \mu \text{m}^3$ ,  $N_0 = 1$ ,  $G_0 = 0.1 \ \mu \text{m}^3$ /s,  $M_L = 1000$ ,  $\Delta L = 0.001 \ \mu \text{m}$ , and  $\Delta t = 0.001$  s.

Fig. 7 shows the comparison of the numerical solutions of different schemes with the analytical solution at t = 4 s. Here, the normal weight in the EE–PDDO method is the weight function considered as  $\omega(\xi) = e^{-(2\xi/\delta)^2}$ . There is no significant difference between the solutions obtained by these numerical schemes in Fig. 7a. Compared to the initial crystal-size distribution, the solution shifts to the right, but instead of shifting, it exhibits an exponential decrease. Sightly differences of the crystal-size distribution are found on the left side with smaller crystal-size. Fig. 7b shows the comparison of numerical results in the vicinity of  $L = 0 \mu m$ . The results of the second-order upwind scheme and HR-van scheme show some deviations near the left boundary. However, the results obtained by the EE–PDDO method show good agreement with the analytical solution irrespective of *m* and the weight function.



**Figure 7:** Comparison of analytical and numerical crystal size distribution. (a) In the whole computational zone (b) zoomed figure

Fig. 8 shows the  $L_2$  error with the number of internal crystal-size points for different schemes at t = 4 s. The errors obtained by the second-order upwind and HR-van schemes are relatively large, and their convergent rates are low. The errors of the EE–PDDO scheme for different *m* values are almost the same; m = 1 is slightly better than that of m = 2. Fig. 8 shows that the results of the normal weight function of the EE–PDDO method is better than that of the upwind weight function since they have smaller errors and higher convergence rates. The convergence rates of the EE–PDDO method with the upwind weight function and normal weight function are 1 and 2, respectively.

The PBE becomes a convection-reaction equation in the size-dependent growth case due to the dependency of G and L. Thus, its hyperbolic property decreases. The equation can be solved using regular numerical schemes. Therefore, the EE–PDDO method with the normal weight function is the most appropriate choice for the simulation of size-dependent growth cases.



Figure 8: Evolution of the  $L_2$  error with the number of spatial points for different schemes

#### 3.3 Nucleation and Size-Independent Growth in a Continuous Process

The PBE is expressed as Eq. (29) considering the nucleation and size-independent growth in a continuous process.

$$\frac{\partial f}{\partial t} + G_0 \frac{\partial f}{\partial L} = h\left(L, t, f\right) \tag{29}$$

where the source term is  $h(L, t, f) = B_0 \delta(L - L_0)$ ,  $B_0$  is the constant, and  $\delta(L)$  is the Dirac function. The analytical solution for this case is as shown in Eq. (30) [18,35].

$$f(L,t) = \frac{B_0}{G_0} u \left( t - \frac{L}{G_0} \right)$$
(30)

where u(x) is a unit step function and satisfies  $u(x) = \begin{cases} 1, x \ge 0\\ 0, x < 0 \end{cases}$ .

The initial distribution is  $f(L_0, 0) = \frac{B_0}{G_0}$ . The computational domain is set as  $[0, 2 \mu m]$ . The other parameters are set as:  $B_0 = 20/\mu m^3/s$ ,  $G_0 = 1.8 \mu m/s$ ,  $L_0 = 5 \times 10^{-4} \mu m$ ,  $\Delta L = 0.01 \mu m$ , and  $\Delta t = \Delta L/G_0$ . The time steps for the second-order upwind and HR-van schemes are chosen as  $\Delta t = \Delta L/10$ . The PBE is similar to that used in Section 3.1. The normal weight function leads to instability in the EE–PDDO method. Therefore, only the upwind weight function is discussed here.

Fig. 9 shows the comparison of analytical and numerical crystal-size distributions. The EE– PDDO method with m = 1 has a strong ability to accurately capture the discontinuous states. Although for m = 2 in EE–PDDO method, the solution is poorer than that of the HR-van method, the solution is non-oscillating. Table 2 lists the  $L_2$  errors and CPU time for different schemes. The advantages of the EE–PDDO method are high accuracy and efficiency.



Figure 9: Comparison of analytical and numerical crystal size distribution: (a) t = 0.5 s and (b) t = 1 s

Method	2 <sup>nd</sup> Upwind	HR-van	EE-PDDO, $m = 1$	EE-PDDO, $m = 2$
$\overline{L_2}$ error	7.349710e-002	6.146410e-002	3.276187e-15	6.492111e-02
CPU time	0.0090	0.075	0.0120	0.0310

**Table 2:**  $L_2$  errors and CPU time for different schemes

## 3.4 Nucleation and Size-Dependent Growth in a Continuous Process

The corresponding PBE for the nucleation and size-dependent growth in a continuous process is given by Eq. (31).

$$\frac{\partial f}{\partial t} + G \frac{\partial f}{\partial L} + f \frac{\partial G}{\partial L} = h(L, t, f)$$
(31)

The source term is assumed as  $h(L, t, f) = B_0 \delta(L - L_0) - \frac{f(L)}{\tau}$ , where  $B_0, \tau$  are constants, and  $\delta(L)$  is the Dirac function. The relationship between G and L is  $\overset{\tau}{G}(L) = G_0 (1 + \gamma L)^z$ , where  $G_0, \gamma$ , and z are constants. The analytical solution is shown in Eq. (32) [14].

$$\lim_{t \to \infty} f(L) = \frac{B_0}{G_0} (1 + \gamma L)^{-z} \exp\left(\frac{1 - (1 + \gamma L)^{1-z}}{G_0 \tau \gamma (1 - z)}\right)$$
(32)

The initial distribution was assumed to be given by Eq. (32) and the simulation ended at t = 10000 s. The computational domain is set as [0, 4 µm]. The other parameters are set as shown here:  $B_0 = 2 \times 10^{-10}/\mu \text{m}^3/\text{s}$ ,  $\tau = 100$  s,  $G_0 = 0.00168 \,\mu \text{m/s}$ , z = 0.3,  $\gamma = 1$ ,  $M_L = 400$ , and  $\Delta t = 0.01$  s.

Fig. 10 shows the comparison between the analytical and numerical solutions obtained by the three schemes. Fig. 10a indicates that all the numerical results are in good agreement with the exact solutions except for the second-order upwind scheme. This deviation is clear in Fig. 10b.



**Figure 10:** Comparison of analytical and numerical crystal size distribution. (a) In the whole computational zone (b) zoomed figure

Table 3 listed the  $L_2$  errors and CPU time for different schemes. The errors of the HR-van scheme and EE–PDDO method with the upwind weight function of m = 1 are close. The corresponding results are poorer than those obtained by the EE–PDDO method with the normal weight function of m = 1. In the EE–PDDO method, the accuracy can be improved by increasing the order of polynomials. When the polynomial order is increased (N = 3), highly accurate numerical results are obtained. The accuracy of EE–PDDO method is determined by parameters. In general, increasing the value of N will improve the accuracy. However, from the viewpoint of numerical experiment, this parameter should be carefully taken. In this problem N = 1 is a good choice. According to the suggestion of Madenci et al. [24], the range of m is  $N \le m \le N+2$ . We found that it is better when m = N. In this example, we appropriately increased the value of N, and achieved good results when N = 3, m = 3. However, the choice of Nand m should be depended on the equation and experimental experience.

Table 3. $L_2$ errors and er of time for different schemes								
Method	2 <sup>nd</sup> Upwind	HR-van	EE-PDDO, upwind weight, N = 1, m = 1	EE-PDDO, normal weight, N = 1, m = 1	EE-PDDO, normal weight, N = 3, m = 3			
$L_2$ errors	8.569445e-001	4.932736e-002	3.721172e-02	7.588681e-04	6.829479e-06			
CPU time	0.924	15.7830	2.6500	2.6360	2.6750			

Table 3:  $L_2$  errors and CPU time for different schemes

In this size-dependent growth case, the EE–PDDO method with the normal weight function is recommended.

#### 4 Conclusions

In this paper, the EE–PDDO method for solving 1D-PBE is presented. We studied four different cases: size-independent growth, size-dependent growth in a batch process, nucleation and size-independent growth, and nucleation and size-dependent growth in a continuous process. These cases were solved by the EE–PDDO, second-order upwind, and HR-van methods. The stability of the EE–PDDO method, choice of the weight function in the PDDO method, and optimal time step were discussed. The conclusions are as follows:

- (1) The EE–PDDO method has high accuracy and efficiency in solving the 1D-PBE. This method is characterized by non-oscillation and high accuracy, especially in the discontinuous and sharp crystal size distribution.
- (2) The stability condition of the EE–PDDO method of the simplest PBE with the size-independent growth case is  $0 < \lambda \le 1$  when the polynomial degree N = 1 and horizon  $\delta = \Delta L$  (m = 1) for the upwind weight function. The stability condition is  $0 < \lambda \le 1.2$  for upwind weight function when the polynomial degree is N = 1 and the horizon is  $\delta = 2\Delta L$  (m = 2).
- (3) The upwind weight function should be used in the PDDO method to reach stability in the size-independent growth case. The time step size is recommended as  $\Delta t = \Delta L/G$  or the Courant number  $\lambda = G \frac{\Delta t}{\Delta L} = 1$ . In the size-dependent growth case, results obtained with the normal weight in the PDDO scheme are better than that of the upwind weight function. Therefore, in this case, the normal weight in the PDDO is recommended.

We present the EE–PDDO method for solving 1D PBE. The detailed implementation and the corresponding techniques were discussed here. This method is also expected to be used for solving more complex crystallization processes.

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