

DOI: 10.32604/cmes.2021.014176

ARTICLE



Numerical Analysis of Labyrinth Seal Performance for the Impeller Backface Cavity of a Supercritical CO₂ Radial Inflow Turbine

Jinguang Yang, Feng Zhao, Min Zhang^{*}, Yan Liu and Xiaofang Wang

School of Energy and Power, Dalian University of Technology, Dalian, China ^{*}Corresponding Author: Min Zhang. Email: modest_zm@dlut.edu.cn Received: 07 September 2020 Accepted: 23 November 2020

ABSTRACT

For a radial inflow turbine (RIT), leakage flow in impeller backface cavity has critical impacts on aerodynamic performance of the RIT and axial force acting on the RIT impeller. In order to control this leakage flow, different types of labyrinth seals are numerically studied in this paper based on a supercritical carbon dioxide (S-CO₂) RIT. The effects of seal clearance and cavity outlet pressure are first analyzed, and the impacts of seal design parameters, including height, number and shape of seal teeth, are evaluated. Results indicate that adding labyrinth seal can improve cavity pressure and hence adequately inhibits leakage flow. Decreasing the seal clearance and increasing the height of seal teeth are beneficial to improve sealing performance, and the same effect can be obtained by increasing the number of seal teeth. Meanwhile, employing seals can reduce leakage loss and improve RIT efficiency under a specific range of cavity outlet pressure. Finally, the influences of seal types on the flow field in seal cavity are numerically analyzed, and results demonstrate that isosceles trapezoidal type of seal cavity has better sealing performance than triangular, rectangular and right-angled trapezoidal seal cavities.

KEYWORDS

Supercritical carbon dioxide; radial inflow turbine; impeller backface cavity; labyrinth seal; CFD simulation

1 Introduction

Supercritical carbon dioxide (S-CO₂) Brayton cycle may be one of the most promising approaches in future power generation systems [1]. It has higher thermal efficiency, smaller size and better environmental friendliness when compared to traditional steam Rankine and air Brayton cycles. Despite these superiorities, many challenges associated with turbomachinery designs, cycle layouts, heat source and exchanger arrangements and so on need to be overcome for its areal and wide application [2].

Similar to other turbomachinery plants, the S-CO₂ power system is equipped with a turbine to generate the work output. The turbine is generally designed in a radial inflow type for small scale applications, and its performance is critical to S-CO₂ cycle efficiency [3]. Due to this, improving radial inflow turbine (RIT) performance is one of the major topics in both academic researches



and industrial practices. Many institutions such as Sandia National Laboratory (SNL) [4,5], Bechtel Marine Propulsion Corporation [6,7] and Nuclear Power Institute of China [8] have investigated RIT performance through experiments. Other researchers, e.g., Zhou et al. [9,10] and Unglaube et al. [11] have conducted theoretical analyses or numerical simulations to clarify the flow mechanism in S-CO₂ RIT.

There are three configurations of RIT impellers, namely closed, semi-open and open types. For a semi-open impeller, a backface cavity duct is connected with it. Therefore, there is a clearance between impeller backface and turbine disc. This backface cavity [12] is generally full with leakage flow that is introduced from the compressor outlet due to the pressure difference (see Fig. 1 which is reproduced from Verstraete et al. [13]). This not only induces aerodynamic loss but also leads to unbalanced axial force on the impeller. Hence, designing seal structures is necessary to control leakage flow and ensure a safe operation for RITs.



Figure 1: Schematic diagram of backface cavity of semi-open impeller [13]

To the knowledge of the authors, there is little study in the open literature investigating backface cavity flow [14], but seal technique is one of hot research topics. A well established and widely used structure is labyrinth seal [15–17], which is a non-contact and clearance seal that can rub against solid walls. Labyrinth seals have advantages of good sealing effectiveness, simple structure and reliable operation, and are suitable for high temperature, high pressure and high-speed turbine components. The mechanism of labyrinth seals to control leakage flow is ascribed to a throttling effect when leakage crosses the clearance between seal teeth and interface wall, and energy dissipation is produced when leakage flow enters sequential seal cavities. A comprehensive overview of labyrinth seals in steam and gas turbines can be referred to Chupp et al. [18].

Yucel et al. [19] proposed an analytical method to calculate leakage mass flow rate and associated pressure distribution in a labyrinth seal cavity, and got results that had good agreements with numerical and experimental data. Bariaud et al. [20] designed a labyrinth seal for the rotating parts of a turbine, and experimentally proved that their designed seal configuration had a good sealing performance. Li et al. [21] investigated the effect of revolution speed on the performance of a staggered labyrinth seal. They pointed out that when the ratio of circumferential to axial through flow velocity (U/C_{ax}) was lower than 1.0 (this value was the limitation of their experimental setup), revolution speed had negligible impacts on seal leakage flow. However, Paolillo et al. [22] got a conclusion via experiment that when U/C_{ax} was larger than 5.0, employing seals could reduce leakage mass flow rate by more than 20%. During the aerodynamic design process for the impeller backface of an S-CO₂ RIT, Ma et al. [14] proposed a pump-out vane to balance the axial force on the impeller. Their numerical simulation results indicated that compared to a baseline backface cavity, the designed one reduced the impeller axial force and improved the isentropic efficiency of the RIT stage by 58% and 2.5% respectively. According to above literature review, it is found that labyrinth seals have advantageous impacts on RIT performance. However, there is little research to date investigating labyrinth seal effectiveness for S-CO₂ RITs. Due to this, based on an S-CO₂ RIT previously designed by the authors [23], this paper is intended to design a seal geometry for its impeller backface cavity. The effects of different seal design and operation parameters, i.e., seal clearance, height, number and shape of seal teeth, and cavity outlet pressure, are studied. The purpose is to get a labyrinth seal geometry that can not only reduce leakage mass flow rate but also decrease the axial force acting on impeller backface cavity.

2 The Studied S-CO₂ RIT and Seal Geometry

Previously, a MW-class S-CO₂ RIT was designed and optimized by the authors [23] using an in-house one-dimensional aero-thermodynamic design code [24], and its typical operating parameters are listed in Tab. 1. Based on the impeller backface of this RIT, labyrinth seals are constructed, as illustrated in Fig. 2, where a two-dimensional schematic diagram of the seal is also displayed. Parameters a, b, d and h represent the tip width, bottom width, pitch and height of seal cavities respectively, while s is leakage clearance [25]. Their values can determine the shape of seal teeth or seal cavities. Impacts of these design parameters and seal teeth number (n) on sealing performance are investigated in this article. In addition, a reference seal structure is constructed as datum case to compare performance of different seal configurations, and its geometrical parameters are listed in Tab. 2.

Parameter	Unit	Value
Inlet total temperature $(T_{t,in})$	K	773.15
Inlet total pressure $(P_{t,in})$	MPa	19.85
Outlet total pressure	MPa	8.2
Mass flow rate	kg/s	16
Design impeller revolution speed	rpm	50000

Table 1: Operating parameters of the designed RIT



Figure 2: Schematic diagram of the RIT and labyrinth seals

Parameter	Unit	Value	
Seal cavity tip width (a)	mm	1.2	
Seal cavity bottom width (b)	mm	1.8	
Seal tooth pitch (d)	mm	2.0	
Seal tooth height (h)	mm	1.8	
Seal tooth number (<i>n</i>)	_	4	
Seal clearance (s)	mm	0.2	

Table 2: Geometric parameters of the datum labyrinth seal

3 Numerical Simulation Setup

Numerical simulations based on Computation Fluid Dynamic (CFD) technique are conducted to investigate the impact of labyrinth seals on the RIT performance. The commercial software Numeca [26] is used, and details of the numerical setup are described below.

3.1 Computational Domain and Grids

Fig. 3 presents the meridional sketch of the computational domain, which is composed of the flow passage of vane and impeller, and the duct of impeller backface cavity. To reduce computational cost, only one single-passage is constructed to model the RIT. The Numeca/AutoGrid 5 module is used to generate a structured mesh, and details of the mesh are also illustrated in Fig. 3. The total number of computational grids is determined after a mesh-independence analysis, which result will be shown in Fig. 4.



Figure 3: Computational domain of the RIT and details of the mesh



Figure 4: Predicted total pressure ratio and specific output work of the SNL RIT

3.2 Flow Governing Equations

Flow in the RIT is governed by the Navier-Stokes Equations, which can be expressed as:

$$\begin{cases} \frac{\partial \rho}{\partial t} + \frac{\partial}{\partial x_{j}} \left(\rho U_{j} \right) = 0 \\ \frac{\partial \left(\rho U_{i} \right)}{\partial t} + \frac{\partial}{\partial x_{j}} \left(\rho U_{i} U_{j} \right) = -\frac{\partial p}{\partial x_{j}} + \frac{\partial \tau_{ij}}{\partial x_{j}} + S_{M,i} \\ \frac{\partial \left(\rho E \right)}{\partial t} + \frac{\partial}{\partial x_{j}} \left(\rho U_{j} H \right) = \frac{\partial}{\partial x_{j}} \left(\lambda \frac{\partial T}{\partial x_{j}} \right) + \frac{\partial}{\partial x_{j}} \left(U_{i} \tau_{ij} \right) + U_{i} \cdot S_{M,i} \end{cases}$$
(1)

where U, E, H, ρ and λ are density, velocity, total energy, total enthalpy, fluid density and thermal conductivity respectively, $S_{M,i}$ contains Coriolis and centrifugal forces when solving the equation in rotating frame, and τ_{ij} is the shear stress tensor, which is defined for Newtonian fluid as:

$$\tau_{ij} = \mu \left[\frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} - \frac{2}{3} \left(\nabla \cdot \vec{U} \right) \delta_{ij} \right]$$
(2)

where μ is dynamic molecular viscosity. Direct solution of Eq. (1) needs huge computation and time cost, so Reynolds-Averaged Navier–Stokes (RANS) equations are solved to model flow in the RIT. Therefore, Eq. (1) is changed to:

$$\begin{cases}
\frac{\partial \overline{\rho}}{\partial t} + \frac{\partial}{\partial x_{j}} \left(\overline{\rho} \overline{U_{j}} \right) = 0 \\
\frac{\partial \left(\overline{\rho} \overline{U_{i}} \right)}{\partial t} + \frac{\partial}{\partial x_{j}} \left(\overline{\rho} \overline{U_{i}} \overline{U_{j}} \right) = -\frac{\partial \overline{\rho}}{\partial x_{j}} + \frac{\partial}{\partial x_{j}} \left(\overline{\tau_{ij}} - \overline{\rho u_{i}} \overline{u_{j}} \right) \\
\frac{\partial \left(\overline{\rho} \overline{E} \right)}{\partial t} + \frac{\partial}{\partial x_{j}} \left(\overline{\rho} \overline{U_{j}} \overline{H} \right) = \frac{\partial}{\partial x_{j}} \left(\lambda \frac{\partial \overline{T}}{\partial x_{j}} - \overline{\rho u_{j}} \overline{h} \right) + \frac{\partial}{\partial x_{j}} \left[\overline{U_{i}} \left(\overline{\tau_{ij}} - \overline{\rho u_{i}} \overline{u_{j}} \right) \right]$$
(3)

where *u* and *h* are instantaneous velocity and enthalpy respectively, and other parameters are time averaged value of flow quantities. In order to evaluate the Reynolds stress and turbulent heat diffusion terms, i.e., $\rho \overline{u_i u_i}$ and $\rho u_i h$ in Eq. (3), the Boussinesq's assumption is used, i.e.,

$$\overline{\rho u_{i} u_{j}} = \mu_{t} \left(\frac{\partial U_{i}}{\partial x_{j}} + \frac{\partial U_{j}}{\partial x_{i}} \right) - \frac{2}{3} \delta_{ij} \left(\rho k + \mu_{t} \frac{\partial U_{k}}{\partial x_{k}} \right)$$

$$(4)$$

$$\overline{\rho u_{j}h} = \frac{\mu_{t}}{\Pr_{t}} \frac{\partial n}{\partial x_{i}}$$
(5)

where μ_t is turbulent viscosity, Pr_t is turbulent Prandtl number. For turbulent flow, choosing an appropriate turbulence model is important to accurately calculate μ_t [27]. Therefore, the Spallart–Allmaras turbulence model is employed due to its good estimation of boundary layer flow [28]. Based on this, when generating the computational grid in Fig. 3, and the height of the grid cells adjacent to solid walls (y_{wall}) is about 0.001 mm to make y^+ below 10.

3.3 Boundary Conditions

Total pressure $(P_{t,in})$ and total temperature $(T_{t,in})$ are specified at the mainstream inlet, where flow direction and turbulent viscosity are also defined. At the mainstream outlet, static pressure $(P_{s,out})$ is defined, and the radial equilibrium equation is used. Also, an average static pressure value $(P_{c,out})$ is set at the cavity duct outlet, and $P_{c,out}$ is determined according to the design leakage mass flow rate [23]. The effect of $P_{c,out}$ on seal performance is investigated in this study. In addition, all solid walls are no-slip and adiabatic. To calculate thermodynamic properties of S-CO₂, the TabGen tool [26] is employed to generate a physical property table for CFD calculations.

3.4 Solution Method

To solve the governing equation defined in Eq. (3), a cell centered control volume approach is used based on the computational grid shown in Fig. 3, and central-difference scheme with the Jameson type of artificial dissipation is adopted for spatial discretization. An explicit fourorder Runge–Kutta scheme is employed for temporal discretization in the current steady-state simulation, and an implicit residual smoothing technique is combined. The CFL number is set to 3.0 for all simulation cases. Moreover, a multigird strategy is adopted to accelerate convergence of the solution.

3.5 CFD Validation

Two experimental S-CO₂ configurations in the open literature are adopted here to validate the prediction accuracy of the CFD solver. The first case is an RIT from Sandia National Laboratories (SNL) and Barber–Nichols Incorporated (BNI) [29], and its test data at one working point is utilized here. A single passage of the SNL RIT is modelled and similar numerical setups to those in Sections 3.1 and 3.2 are arranged. Grids with different node numbers (N_{grid}) are generated to study the effect of mesh density. Predicted total pressure ratio (π_t) and specific output work (W_T) of the SNL RIT are shown in Fig. 4. It can be observed when N_{grid} is larger than 2 million, variations in both π_t and W_T are tiny. Meanwhile, compared to experimental data, i.e., $\pi_{t,exp} = 1.2201$ and $W_{T,exp} = 11.8032$, predicted errors in π_t and W_T are only 1.41% and 2.10% respectively. Therefore, the level of $N_{grid} = 3$ million is used as a standard for generating computational mesh for the studied RIT with different seal geometries. The second configuration is an S-CO₂ annular orifice tested by Kim et al. [30]. A twodimensional axisymmetric plane of the test structure is plotted in Fig. 5. Measured total temperature ($T_{t,in} = 319$ K) and total pressure ($P_{t,in} = 10$ MPa) are defined at inlet, while static pressure (P_{out}) is specified at outlet. Value of P_{out} is determined by tested pressure ratios ($P_{t,in}$ / P_{out}) in experiments. Fig. 6 compares predicted and measured mass flow rates under different pressure ratios (PR) of outlet pressure to inlet total pressure. When PR is less than 0.74, numerical calculation results are nearly the same as experimental data, while when PR is greater than 0.74, predicted values are a bit higher than measured results. The maximum relative error is about 9.0%, and this occurs at PR being about 0.85. Despite this, numerical results have a generally good agreement with experimental data. This proves that the CFD method employed in this paper can accurately evaluate performance of S-CO₂ configurations. Therefore, the effects of labyrinth seals are analyzed below based on numerical calculations of the studied RIT.



Figure 5: Front view of the annular orifice



Figure 6: Predicted and measured mass flow rates of the S-CO₂ annular orifice

4 Results and Analysis

4.1 Axial Force and Leakage Mass Flow Rate

The primary goal of using labyrinth seals is to reduce leakage mass flow rate (m_L) , which is defined as the calculated mass flow rate at cavity duct outlet in Fig. 3. Another major concern

for the RIT is that its impeller endures higher axial force compared to gas turbine or steam turbine rotors under similar output power. Hence, accurate estimation of the axial force is of great significance for lengthening the service life of RITs.

Generally, the axial force is related to pressure distribution on impeller backface cavity walls. Japikse [31] pointed out that pressure in impeller backface cavities can be calculated by assuming a constant relative total pressure across the seal cavity passage. However, as illustrated in Fig. 7, relative total pressure has an obvious change from the inlet to the outlet of the impeller backface cavity. To tackle this issue, pressure is integrated over solid walls of impeller and its backface cavity to calculate the total axial force (F_{ax}) , so formulation of F_{ax} is:

$$F_{\rm ax} = F_1 - F_2 = F_{\rm T1} + F_{\rm T2} - F_2 \tag{6}$$

where F_{T1} is the axial force acting on turbine outlet, F_{T2} is the axial force on impeller blade and hub walls, and F_2 is that on impeller backface cavity walls. Schematic diagram of each force is displayed in Fig. 8.



Figure 7: Contours of relative total pressure on the meridional plane of the RIT



Figure 8: Schematic diagram of axial forces

4.2 Effects of Labyrinth Seal and Seal Outlet Pressure

In order to demonstrate impacts of the labyrinth seal, Figs. 9 and 10 compare F_{ax} , m_L , efficiency (η) and expansion ratio (π_t) of the RIT with and without labyrinth seal respectively. Variable η and π_t are defined as [32]:

$$\eta = \frac{h_{\text{t,in}} - h_{\text{t,out}}}{h_{\text{t,in}} - h_{\text{t,s,out}}} \tag{7}$$

$$\pi = P_{\rm t in} / P_{\rm t.out} \tag{8}$$

where $h_{t,in}$ and $h_{t,out}$ are total enthalpy at inlet and outlet, $h_{t,s,out}$ is outlet total enthalpy for an isentropic expansion condition, $P_{t,in}$ and $P_{t,out}$ are total pressure at inlet and outlet. It should be mentioned since the computational domain includes two outlet boundaries, so $h_{t,out}$ and $h_{t,s,out}$ are mass-averaged data of theirs values at mainstream outlet and cavity duct outlet.



Figure 9: Comparison of axial force for the RIT with and without seal structure

The seal studied in this section is the datum structure in Tab. 2, and different values of seal cavity outlet pressure $(P_{c,out})$ are considered in current numerical simulations. It can be first seen from Figs. 9 and 10 that the labyrinth seal can lower both F_{ax} and m_L , which are also reduced with the increase of $P_{c,out}$. Compared to the no seal case, using labyrinth seal leads to maximum reductions in m_L and F_{ax} by 30.97% and 6.61% respectively.

With the increase in $P_{c,out}$ or decrease in m_L , mass flow rate at mainstream outlet (m_{out}) is increased, as indicated by Fig. 10a. Since the decreasing trend of m_{out} is smaller than the increasing tendency of m_L , mass flow rate at mainstream inlet (m_{in}) is reduced with raising $P_{c,out}$. In addition, Fig. 10b shows that adding seals deteriorates η and expansion ability of the turbine at some low and high $P_{c,out}$ conditions. This is because that kinetic energy is dissipated and friction loss is generated when leakage flow crosses seal cavities. When $P_{c,out}$ changes from 9.0 to 10.0 MPa, η of the RIT with seals is higher than that without seals. This may offer a guidance for choosing an appropriate condition for the compressor in Fig. 1.



Figure 10: Comparison of performance for the RIT with or without seal structure, (a) leakage and mainstream mass flow rates, (b) efficiency and expansion ratio

In order to further clarify the influence of $P_{c,out}$ on F_{ax} , variations of F_{T1} , F_{T2} and F_2 with $P_{c,out}$ are shown in Fig. 11, where F_1 is the sum of F_{T1} and F_{T2} as implied by Eq. (6) and F_{ax} is the same as that in Fig. 10. When F_1 points to the backface of the impeller, it has a negative sign and F_2 has a positive direction. This also results in a negative value for F_{ax} , so all data in Fig. 11 are absolute values. As $P_{c,out}$ increases, pressure difference between inlet and outlet of the backface cavity becomes smaller, so F_2 is enlarged. Since F_1 is nearly unchanged for all $P_{c,out}$ values, F_{ax} is consequently changed in a descending trend as shown in Figs. 9 and 11.



Figure 11: Effect of seal cavity outlet pressure on axial forces

4.3 Influence of Seal Geometry Parameters

Section 2 introduces main geometrical parameters including the seal clearance and the height, and number of labyrinth seal teeth. This section will analyze their impacts on seal performance based on numerical simulations for the S-CO₂ RIT.

4.3.1 Seal Clearance

Four values of s are numerically simulated to examine its influence on seal performance. Meanwhile, three different values of $P_{c,out}$ including 6, 8 and 10 MPa are considered in the simulation. Fig. 12 presents variations of F_{ax} and m_L as s increases. It is observed that m_L is nearly proportional to s. This is consistent with the conclusion of Yuan et al. [33]. The reason for this result can be interpreted from two aspects. First, increasing s not only leads to larger flow area of leakage low but also weakens the jet effect, and the latter result induces a small cavity vortex (see cavity flow field in Fig. 15 below) that is usually beneficial to hinder leakage flow. Secondly, larger s weakens the throttling effect of seal teeth. Due to this, both flow resistance and energy dissipation are decreased, so m_L is increased.



Figure 12: Effects of the seal clearance, (a) axial force, (b) leakage mass flow rate

Larger s leads to higher m_L and hence lowers impeller backface pressure, so the pressure difference between the inlet and outlet of backface cavity becomes smaller. This results in reduced force on the impeller backface cavity (F_2 in Fig. 11). Since the force acting on the turbine impeller and outlet (F_1 in Fig. 11) is nearly unchanged, F_{ax} is consequently increased with enlarging s, as demonstrated by Fig. 12a. Therefore, small seal clearance should be chosen as long as requirements of safe operation and manufacturing are satisfied. In addition, Fig. 12 also demonstrates that the effects of s on m_L and F_{ax} are the same for all three $P_{c,out}$ conditions. At a fixed seal clearance, both m_L and F_{ax} are decreased as $P_{c,out}$ increases. This is consistent with the conclusion obtained from Figs. 9 and 10a.

4.3.2 Height of the Seal Teeth

With regard to the height of seal teeth (h) shown in Fig. 2, eight values are considered during CFD simulations. Results of calculated m_L and F_{ax} under two $P_{c,out}$ conditions are presented in Fig. 13. It is observed that when h is increased in the range from 0.9 to 6.3 mm, m_L is rapidly decreased. However, as h is further increased when it is larger than 6.3 mm, m_L has no obvious change. This indicates that increasing h within a certain range can enlarge the size of cavity vortex and increase the turbulent kinetic energy of leakage flow, but flow reaches to the chocking point when h equals 6.3 mm. Similar changing trend is also observed when analyzing the impacts of h on F_{ax} and $P_{c,out}$. Moreover, with the increase of h, the force acting on the impeller working face,

i.e., F_1 , is not obviously changed, but the static pressure in impeller backface cavity is increased. This leads to an increase in F_2 , and ultimately induces a reduction in the axial force (F_{ax}). As seal leakage flow reaches the critical state, a flow dynamic equilibrium condition is achieved in the backface cavity, so the cavity pressure remains unchanged. Predicted data imply that for both the two conditions of $P_{c,out}$, i.e., $P_{c,out} = 6$ MPa and $P_{c,out} = 7$ MPa, the optimum sealing performance is achieved when h is 6.3 mm. Under this optimum condition and compared to the datum case (h = 1.8 mm), m_L is decreased by 11.21% and 11.82%, and F_{ax} is reduced by 2.24% and 2.19% for $P_{c,out}$ being 6 and 7 MPa respectively.



Figure 13: Effects of the height of seal teeth, (a) axial force, (b) leakage mass flow rate

4.3.3 Number of the Seal Teeth

Based on the seal geometry shown in Fig. 2, four values of the number of seal teeth (n) including 4, 5, 6 and 7 are numerically studied under different $P_{c,out}$ conditions. Since changing n will influence the axial length of seal cavity passage (L), two schemes are considered. The first scheme is to keep the distance between two teeth (pitch d in Fig. 2) unchanged and hence L equals n times d, while the second one is to make L constant and d is L divided by n. For the first scheme, d is set to 2.0 mm, and for the second one, L is set to be 20 mm. Details of the investigated cases are summarized in Tab. 3, where S1 and S2 represent Scheme 1 and Scheme 2, respectively.

Cases	Scheme	n (-)	<i>d</i> (mm)	L (mm)
Case S1_n4	1	4	2.0	$n \cdot d$
Case S1_n5	1	5	2.0	$n \cdot d$
Case S1_n6	1	6	2.0	$n \cdot d$
Case S1_n7	1	7	2.0	$n \cdot d$
Case S2_n4	2	4	L/n	20.0
Case S2_n5	2	5	L/n	20.0
Case S2_n6	2	6	L/n	20.0
Case S2_n7	2	7	L/n	20.0

Table 3: Cases with different seal teeth number

Fig. 14 shows variations of m_L and F_{ax} with *n*. The case with *n* being 4 corresponds to the datum seal configuration in Tab. 2. For the two schemes in Tab. 3 and under all three $P_{c,out}$ conditions, with the increase of *n*, both m_L and F_{ax} are continuously decreased. This conclusion is consistent with that of Kim et al. [34] who studied a stepped labyrinth seal. Meanwhile, compared to cases of Scheme 1, those of Scheme 2 have higher m_L and F_{ax} for all conditions studied. Reasons for this will be discussed later. In addition, it is also observed from Fig. 14 that for a fixed value of *n*, m_L and F_{ax} are decreased as $P_{c,out}$ increases. This is consistent with the conclusion in Section 4.2. The influences of $P_{c,out}$ on m_L and F_{ax} are more significant than that of *n*, as implied by the changing level of m_L and F_{ax} when *n* and $P_{c,out}$ are altered in Fig. 14.



Figure 14: Effects of the number of seal teeth, (a) axial force, (b) leakage mass flow rate

To further demonstrate the effect of n, Fig. 15 presents contours of entropy and flow streamlines in the second and third cavities of all cases. Flow field in the datum seal cavity is shown in Fig. 15a, where a vortex is generated in each cavity due to a contraction effect. It not only induces two smaller vortices at the upper corners of the cavity, but also imposes flow resistance on the leakage fluid. Large entropy area mainly exists in cavity corners and the borders between leakage and cavity fluids due to the difference in velocity, i.e., the friction effect. Meanwhile, entropy is increased from the upstream (right) cavity to the downstream (left) one because of friction loss.

To analyze the impact of n, comparison can be conducted from horizontal and vertical views of Fig. 15. From the horizontal view, it can be observed that as n increases, the variation of entropy in the cavity becomes smaller compared to the datum case in Fig. 15a, see Figs. 15b, 15d and 15f for Scheme 1 and Figs. 15c, 15e and 15g for Scheme 2. From the vertical view, it is seen that under a specific condition of n, the Scheme 1 approach has lower entropy than the Scheme 2 arrangement. Sun et al. [35] denoted that m_L and n have a relationship as below:

$$m_{\rm L} = \frac{AP_{\rm t,c,in}}{\sqrt{R_{\rm g}T_{\rm t,c,in}}} \sqrt{\frac{1 - (1/\pi)^2}{n + \ln \pi}}$$
(9)

where A is the flow area at seal clearance, $T_{t,c,in}$ is total temperature at the seal cavity inlet, and π is a pressure ratio that is defined as

$$\pi = P_{\rm t,c,in} / P_{\rm c,out} \tag{10}$$

where $P_{t,c,in}$ is total pressure at the seal cavity inlet. In addition, if the change in temperature of leakage flow can be neglected, computing formulation for the entropy increase can be simplified as (11)

 $\Delta s = R_{\rm g} \ln \pi$

According to Eqs. (9)–(11), smaller Δs indicates smaller π and $P_{t,c,in}$ if $P_{c,out}$ is fixed. Hence, larger n and lower $P_{t,c,in}$ lead to less m_L . This is consistent with the conclusion obtained from Fig. 14. Meanwhile, since entropy variations of Scheme 1 cases are smaller than those of Scheme 2 cases, m_L of Scheme 1 is lower than that of Scheme 2 for a fixed teeth number. This is also in agreement with results in Fig. 14. In addition, the impacts of n on m_L can also be analyzed from the prospective of energy dissipation. Since leakage fluid experiences sequential accelerationdeceleration process in the cavity duct, so increasing n induces more energy dissipation and flow resistance. Meanwhile, with the increase of n, the cavity passage of Scheme 1 approach is longer than that of Scheme 2 arrangement, so friction loss is higher in Scheme 1 cases. This leads to the result in Fig. 14 that m_L of Scheme 1 cases is lower than that of Scheme 2 case.



Figure 15: Flow fields in the second and third cavities ($P_{c,out} = 6$ MPa), (a) case S1_n4 and case $S_{1,n}$ (b) case $S_{1,n}$ (c) case $S_{2,n}$ (d) case $S_{1,n}$ (e) case $S_{2,n}$ (f) case $S_{1,n}$ (r) case S_{1,n (g) case S2_n7

4.3.4 Simple Sensitivity Analysis of Seal Geometry Parameters

In order to pinpoint which parameter has the most important effects on seal performance, Fig. 16 compares reductions in m_L and improvements in η when changing s, h and n under different $P_{c,out}$ conditions. Variables Δm_L and $\Delta \eta$ represent changes in m_L and η of each case relative to those of the datum case, where s = 0.2 mm, h = 1.8 mm, n = 4 and $P_{c,out} = 6.0$ MPa. So negative Δm_L and positive $\Delta \eta$ values demonstrate improved seal performance.

It can be observed that for a specific condition of $P_{c,out}$, the changing trend of Δm_L with h is weaker than that with s and n. A maximum reduction in m_L of 62.14% is obtained when s takes the minimum value (0.13 mm) under the maximum $P_{c,out}$ condition (10 MPa), where m_L is reduced by 44.10%–48.85% with increasing n to 5–7. For the RIT efficiency, a maximum $\Delta \eta$ of 4.23% is obtained when s = 0.13 mm and $P_{c,out} = 10$ MPa, and under this $P_{c,out}$ condition, η is increased by about 4.0% for n = 5-7. Therefore, among the three seal geometry parameters, decreasing seal clearance is the most effective approach to improve seal performance.



Figure 16: Impacts of seal geometry to performance, (a) seal clearance vs. leakage mass flow, (b) seal teeth height vs. leakage mass flow, (c) seal teeth number vs. leakage mass flow, (d) seal clearance vs. efficiency, (e) seal teeth height vs. efficiency, (f) seal teeth number vs. efficiency

4.4 Effect of the Seal Teeth Shape

In order to analyze the influence of teeth shape on leakage mass flow rate and axial force, four types of seal teeth are constructed. They create different seal cavities in the shape of triangle, rectangle, a right-angled trapezoid and isosceles trapezoid, as shown in Fig. 17. The labyrinth seal in Fig. 17b is regarded as a standard geometry, and values of s, h and L are kept constant. Consequently, the shape of seal teeth or seal cavities is determined by changing a, b and d. Numerical simulations are conducted for the S-CO₂ RIT with these seals under different $P_{c,out}$ conditions.

Fig. 18 compares predicted performance of the four seal geometries. It is observed that the isosceles trapezoidal cavity has the lowest m_L and F_{ax} , followed by the right-angled trapezoidal and the rectangular cavities. The triangular cavity in Fig. 17a has the worst seal performance and the largest axial force. Variations in m_{in} , m_{out} , η and π_t are small for the RIT with triangular, right-angled trapezoidal, and rectangular seal cavities. For the isosceles trapezoidal cavity case, η is reduced at low $P_{c,out}$ conditions, but is improved when $P_{c,out}$ is larger than 9 MPa. These results also provide a standard for choosing appropriate conditions of $P_{c,out}$. In addition, Fig. 18 indicates that m_L and F_{ax} are continuously decreased with the increase of $P_{c,out}$ for all cases.



Figure 17: Schematic diagram of labyrinth seals with different shapes of seal cavities, (a) triangular cavity, (b) right-angled trapezoidal cavity, (c) isosceles trapezoidal cavity, (d) rectangular cavity



Figure 18: Effects of seal teeth shape, (a) axial force, (b) leakage mass flow rates, (c) mainstream inlet and outlet mass flow rates, (d) turbine efficiency and expansion ratio

Flow field in each seal cavity is shown in Fig. 19, and it has similar properties as that in Fig. 15. The isosceles trapezoidal cavity in Fig. 19c has the least variation in entropy, and the corresponding corner vertex has the weakest intensity. Therefore, as demonstrated in Fig. 15, the lower the entropy change, the smaller the axial force and leakage mass flow rate. It is also concluded that the center vortex has significant impacts on entropy change and seal performance. Therefore, for the RIT studied in this paper, the seal that forms the isosceles trapezoidal cavity has the best sealing performance.



Figure 19: Flow fields in the second and third cavities with different shapes of seal teeth, (a) triangular cavity, (b) isosceles trapezoidal cavity, (c) right-angled trapezoidal cavity, (d) rectangular cavity

5 Conclusions

In order to control leakage flow of the impeller backface cavity and decrease the associated axial force, CFD simulations have been conducted for an S-CO₂ RIT. Effects of seal cavity outlet pressure, seal clearance, height, number and shape of seal teeth are examined. Main conclusions are summarized below.

Compared to the no-seal case, using the labyrinth seal can reduce leakage mass flow rate (m_L) and axial force acting on the impeller backface (F_{ax}) by 30.97% and 6.61% respectively. With the increase of cavity outlet pressure, efficiency of the RIT with seals is higher than that without seals.

Larger seal clearance leads to wider flow area of leakage fluid, and weakens the jet and throttling effects. Therefore, the flow resistance of seals to leakage flow and the energy dissipation of leakage are reduced. This makes m_L and F_{ax} increased with enlarging the seal clearance. Moreover, increasing the height and number of seal teeth are beneficial to decrease m_L and F_{ax} . Leakage flow reaches to its choking point when the teeth height is enlarged to a certain value (6.3 mm for the studied case), beyond which m_L and F_{ax} are nearly kept constant. Increasing the number of seal teeth leads to smaller entropy change in seal cavities and more frequent acceleration-deceleration process for leakage fluid. Among these parameters, decreasing the seal clearance is the most effective way to improve seal performance.

The seal that create isosceles trapezoidal cavity has the best seal performance when compared to other types of seal teeth. This is ascribed to complete development of the cavity vortex, which is helpful to hinder leakage flow. In addition, for all cases studied in the paper, m_L and F_{ax} are decreased with the increase in seal cavity outlet pressure.

Funding Statement: This paper is founded by the National Key R&D Program of China (Contract No. 2016YFB060010), National Natural Science Foundation of China (Grant Nos. 51606026 and 51876021) and the Fundamental Research Funds for the Central Universities.

Conflicts of Interest: The authors declare that they have no conflicts of interest to report regarding the present study.

References

- 1. Crespi, F., Gavagnin, G., Sánchez, D., Martínez, G. S. (2017). Supercritical carbon dioxide cycles for power generation: A review. *Applied Energy*, 195, 152–183. DOI 10.1016/j.apenergy.2017.02.048.
- Gou, J., Zhang, K., Lin, Y., Li, Y., Ma, C. et al. (2020). Physical property effects of the compression process with supercritical carbon dioxide as working fluid. *Journal of Mechanical Science and Technology*, 34(8), 3379–3393. DOI 10.1007/s12206-020-0731-1.
- Jeong, W. S., Kim, T. W., Suh, K. Y. (2008). Computational fluid dynamics of supercritical carbon dioxide turbine for Brayton thermodynamic cycle. *Proceedings of the 16th International Conference on Nuclear Engineering*, pp. 265–269, Orlando, Florida, USA.
- Conboy, T., Wright, S., Pasch, J., Fleming, D., Rochau, G. et al. (2012). Performance characteristics of an operating supercritical CO₂ Brayton cycle. *Journal of Engineering for Gas Turbines and Power*, 134(11), 229. DOI 10.1115/1.4007199.
- 5. Pasch, J., Carlson, M., Fleming, D., Rochau, G. (2016). Evaluation of recent data from the SANDIA national laboratories closed Brayton cycle testing. *Proceeding of ASME Turbo Expo: Turbomachinery Technical Conference and Exposition*, Seoul, South Korea.
- Clementoni, E. M., Cox, T. L., King, M. A. (2016). Off-nominal component performance in a supercritical carbon dioxide Brayton cycle. *Journal of Engineering for Gas Turbines and Power*, 138(1), 71701. DOI 10.1115/1.4031182.
- 7. Clementoni, E. M., Cox, T. L. (2014). Steady state power operation of a supercritical carbon dioxide power cycle. *Proceeding of ASME Turbo Expo: Turbine Technical Conference and Exposition*, Düsseldorf, Germany.
- 8. Huang, Y., Wang, J., Zang, J., Liu, G. (2014). Research activities on supercritical carbon dioxide power conversion technology in China. *Proceeding of ASME Turbo Expo: Turbine Technical Conference and Exposition*, Düsseldorf, Germany.
- 9. Zhou, A. Z., Li, X. S., Ren, X. D., Song, J., Gu, C. W. (2020). Thermodynamic and economic analysis of a supercritical carbon dioxide (S–CO₂) recompression cycle with the radial-inflow turbine efficiency prediction. *Energy*, *191*, 116566. DOI 10.1016/j.energy.2019.116566.
- Zhou, K., Wang, J., Xia, J., Guo, Y., Zhao, P. et al. (2020). Design and performance analysis of a supercritical CO₂ radial inflow turbine. *Applied Thermal Engineering*, 167, 114757. DOI 10.1016/j.applthermaleng.2019.114757.
- 11. Unglaube, T., Chiang, H. W. D. (2020). Preliminary design of small-scale supercritical CO₂ radial inflow turbines. *Journal of Engineering for Gas Turbines and Power*, *142(2)*, 111703. DOI 10.1115/1.4045273.
- He, P., Sun, Z. G., Chen, H. S., Tan, C. Q. (2012). Investigation of backface cavity sealing flow in deeply scalloped radial turbines. *Proceedings of the Institution of Mechanical Engineers, Part A: Journal of Power* and Energy, 226(6), 751–763. DOI 10.1177/0957650912452355.
- 13. Verstraete, T., Alsalihi, Z., Braembussche, R. A. V. D. (2006). Numerical study of the heat transfer in micro gasturbines. *Proceeding of ASME Turbo Expo: Power for Land, Sea and Air*, Barcelona, Spain.
- 14. Ma, C., Qiu, Z. Q., Gou, J. L., Wu, J., Zhao, Z. X. et al. (2018). Axial force balance of supercritical CO₂ radial inflow turbine impeller through backface cavity design. *Proceedings of ASME Turbo Expo: Turbomachinery Technical Conference and Exposition*, Oslo, Norway.
- 15. Alizadeh, M., Nikkhahi, B., Farahani, A. S., Fathi, A. (2018). Numerical study on the effect of geometrical parameters on the labyrinth-honeycomb seal performance. *Proceedings of the Institution of Mechanical Engineers, Part G: Journal of Aerospace Engineering*, 232(2), 362–373. DOI 10.1177/0954410017742227.

- 16. Joachimiak, D., Krzyśłak, P. (2019). Analysis of the gas flow in a labyrinth seal of variable pitch. *Journal of Applied Fluid Mechanics*, *12(3)*, 921–930. DOI 10.29252/jafm.12.03.29074.
- 17. Zhang, M., Yang, J., Xu, W., Xia, Y. (2017). Leakage and rotordynamic performance of a mixed labyrinth seal compared with that of a staggered labyrinth seal. *Journal of Mechanical Science and Technology*, *31*(5), 2261–2277. DOI 10.1007/s12206-017-0423-7.
- 18. Chupp, R. E., Hendricks, R. C., Lattime, S. B., Steinetz, B. M. (2006). Sealing in turbomachinery. *Journal* of Propulsion and Power, 22(2), 313–349. DOI 10.2514/1.17778.
- 19. Yucel, U., Kazakia, J. Y. (2001). Analytical prediction techniques for axisymmetric flow in gas labyrinth seals. *Journal of Engineering for Gas Turbines and Power*, *123(1)*, 255–257. DOI 10.1115/1.1340630.
- 20. Bariaud, C., Delonge, J. C. L. (1986). U.S. Patent No. 4,580,792. Washington, DC: U.S. Patent and Trademark Office.
- Li, Z., Li, J., Yan, X., Feng, Z. (2011). Effects of pressure ratio and rotational speed on leakage flow and cavity pressure in the staggered labyrinth seal. *Journal of Engineering for Gas Turbines and Power*, 133(11), 313. DOI 10.1115/1.4003788.
- 22. Paolillo, R., Moore, S., Cloud, D., Glahn, J. A. (2007). Impact of rotational speed on the discharge characteristic of stepped labyrinth seals. *Proceedings of the ASME Turbo Expo 2007: Power for Land, Sea, and Air*, Vol. 4, pp. 1291–1298, Montreal, Canada.
- 23. Lv, G. C. (2019). *Optimization design and analysis of supercritical carbon dioxide radial inflow turbine (Master thesis)*. Dalian, China: Dalian University of Technology.
- Lv, G., Yang, J., Shao, W., Wang, X. (2018). Aerodynamic design optimization of radial-inflow turbine in supercritical CO₂ cycles using a one-dimensional model. *Energy Conversion and Management*, 165, 827–839. DOI 10.1016/j.enconman.2018.03.005.
- 25. Feng, J., Wang, L., Yang, H., Peng, X. (2018). Numerical investigation on the effects of structural parameters of labyrinth cavity on sealing performance. *Mathematical Problems in Engineering*, 2018(PT.9), 1–12.
- 26. NUMECA, Int. (2016). FINE TM /Turbo users' guide V10.2. Belgium: NUMECA Int.
- 27. Moreira1, L. Q., Mariano, F. P., Silveira-Neto, A. (2011). The importance of adequate turbulence modeling in fluid flows. *Computer Modeling in Engineering & Sciences*, 75(2), 113–139.
- 28. Moon, M. A., Lee, C. S., Kim, K. Y. (2015). Performance evaluation of various rim-seal geometries. *Journal of Thermophysics And Heat Transfer*, 29(2), 263–273. DOI 10.2514/1.T4363.
- 29. Wright, S. A., Radel, R. F., Vernon, M. E., Rochau, G. E., Pickard, P. S. (2010). Operation and analysis of a supercritical CO2 Brayton cycle. *SANDIA Report 101*, SAND2010-0171, 1–101.
- Kim, M. S., Bae, S. J., Son, S., Oh, B. S., Lee, J. I. (2019). Study of critical flow for supercritical CO₂ seal. International Journal of Heat and Mass Transfer, 138, 85–95. DOI 10.1016/j.ijheatmasstransfer.2019.04.040.
- 31. Japikse, D. (1997). Centrifugal pump design and performance. Vermont: Concepts ETI.
- 32. Saravanamuttoo, H. I. H., Rogers, G. F. C., Cohen, H. (2001). Gas turbine theory. USA: Pearson Education.
- Yuan, H., Pidaparti, S., Wolf, M., Edlebeck, J., Anderson, M. (2015). Numerical modeling of supercritical carbon dioxide flow in see-through labyrinth seals. *Nuclear Engineering and Design*, 293, 436–446. DOI 10.1016/j.nucengdes.2015.08.016.
- 34. Kim, T. S., Cha, K. S. (2009). Comparative analysis of the influence of labyrinth seal configuration on leakage behavior. *Journal of Mechanical Science and Technology*, 23(10), 2830–2838. DOI 10.1007/s12206-009-0733-5.
- 35. Sun, D., Lu, J., Wang, W., Ai, Y., Wang, Z. (2018). Numerical study on the sealing mechanism of labyrinth seal based on thermodynamic effect. *Thermal Turbine*, 47(3), 175–181.