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ARTICLE



Adaptive Fractional-Order PID Control for VSC-HVDC Systems via Cooperative Beetle Antennae Search with Offshore Wind Integration

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ABSTRACT

Since the voltage source converter based high voltage direct current (VSC-HVDC) systems owns the features of nonlinearity, strong coupling and multivariable, the classical proportional integral (PI) control is hard to obtain content control effect. Hence, a new perturbation observer based fractional-order PID (PoFoPID) control strategy is designed in this paper for (VSC-HVDC) systems with offshore wind integration, which can efficiently boost the robustness and control performance of entire system. Particularly, it employs a fractional-order PID (FoPID) framework for the sake of compensating the perturbation estimate, which dramatically boost the dynamical responds of the closed-loop system, and the cooperative beetle antennae search (CBAS) algorithm is adopted to quickly and efficiently search its best control parameters. Besides, CBAS algorithm is able to efficiently escape a local optimum because of a suitable trade-off between global exploration and local exploitation can be realized. At last, comprehensive case studies are carried out, namely, active and reactive power tracking, 5-cycle line-line-ground (LLLG) fault, and offshore wind farm integration. Simulation results validate superiorities and effectiveness of PoFoPID control in comparison of that of PID control and feedback linearization sliding-mode control (FLSMC), respectively.

KEYWORDS

Perturbation observer based fractional-order PID; VSC-HVDC system; cooperative beetle antennae search; offshore wind integration

Nomenclature

Variables

R_1	equivalent resistance
L_1	equivalent inductance
C_1	DC bus capacitor
$V_{\rm dc1}$	DC voltages of rectifier
$i_{ m L}$	DC cable current
R_0	equivalent DC cable resistance
$u_{\rm rd}, u_{\rm rq}$	d-q axis inputs voltage of rectifier
$u_{\rm sd1}, u_{\rm sq1}$	d-q components of AC grid voltages
$u_{\rm id}, u_{\rm iq}$	d-q axis inputs voltage of inverter
$u_{\rm sd2}, u_{\rm sq2}$	d-q components of AC grid voltages
D_{dim}	location dimensions



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$x_{\mathbf{best}}^{k-1}$	current best solution until the $(k-1)$ th iteration
ω_1^k	dynamic weights of global exploration
ω_2^k	dynamic weights of local exploitation
k _{max}	maximum iteration number

Abbreviations

AC	alternating current
HCDC	high voltage direct current
VSC-HVDC	voltage source converter based high voltage direct current
FLC	fuzzy logic control
PI	proportional integral
FoPID	fractional-order PID
CSC-HVDC	conventional current source converter based HVDC
IGBT	insulated gate bipolar transistor
STATCOM	static synchronous compensator
ITLO	interactive teaching-learning optimizer
MMC-HVDC	modular multilevel converter based HVDC
PoFoPID	perturbation observer based fractional-order PID
CBAS	cooperative beetle antennae search
SMPO	sliding-mode perturbation observer
FLSMC	feedback linearization sliding-mode control

1 Introduction

Over the past few decades, excessive utilization of natural resources causes rapid fossil fuels depletion and serious environmental degradation [1], which inevitably accelerates ecological destruction and global energy crisis. Hence, energy revolution and transformation have become essential and imperative for social and economic development [2], which is also in line with global sustainable development strategy. With the rapid growth and wide application of renewable energy, the integration of renewable energy like wind and solar energy into grid has brought serious issues to the reliable and stable operation of grid [3]. Renewable energy is usually limited by environmental conditions, most of the renewable energy plants only own small installed capacity, low power quality and are far from the major network [4,5], like small and medium-sized hydropower stations [6], offshore wind power stations [7], and solar power stations [8]. Traditional alternating current (AC) power transmission and high voltage direct current (HVDC) cannot satisfy the access demands of renewable energy plants due to economic factors and technical level. To tackle this issue, voltage source converter based high voltage direct current (VSC-HVDC) can be adopted to reliably transmit long-distance power in the presence of renewable integration and installation [9,10].

Basically, VSC-HVDC is a novel type of HVDC based on voltage source converter (VSC) and controllable turn-off device with pulse width modulation technique. By contrast with conventional current source converter based HVDC (CSC-HVDC), its main technical advantages are as follows: (1) Insulated gate bipolar transistor (IGBT) based fully-controlled power electronic devices can self-shut off its current and can work in passive inverter mode without the need for an external system to provide commutation voltage, the receiving end system can be a weak AC system or passive network [11]; (2) During normal operation, VSC is able to quickly control active power and reactive power, so its DC system control method is flexible and convenient [12]; (3) When the flow of DC system is reversed, the orientation of DC current is reversed but the polarity of DC voltage remains unvaried, which is prone to construct a

multi-terminal DC system [13]; (4) There is no need for AC side to provide reactive power, which can make full use of static synchronous compensator (STATCOM), dynamically compensating the reactive power of AC bus, and stabilizing the voltage of AC bus [14]; (5) The short circuit capacity of the system will not be increased. The addition of new VSC-HVDC lines eliminates the need to replace the protection setting values of AC systems and circuit breakers [15].

Proper control design for VSC-HVDC is of great importance for its operation. Traditional vector control (VC) combined with PI/PID mechanism is popularly adopted owing to its high dependability [16]. However, VSC-HVDC systems are highly nonlinear caused by converters and operate in power systems with modelling uncertainties, PI/PID control cannot maintain a global control consistency and might even lead to instability as its control parameters are tuned by one-point linearization technique [17]. Although work [18] optimally tune PID control gains via interactive teaching-learning optimizer (ITLO) for VSC-HVDC systems in order to remedy this issue to some extent, linear control is still inadequate to handle nonlinear systems. Thus, a large number of superior control techniques are presented to realize a uniform control performance under miscellaneous operating conditions. In literature [19], Lyapunov based controller is presented for rectifier side of VSC-HVDC systems, and the error equations of DC side voltage and reactive power are established, and the controller is designed on the inverter side to realize the stability of DC side voltage and standalone control of active and reactive power. Besides, an input-output feedback linearization method is presented for VSC-HVDC systems, which realizes a decoupling control of active and reactive power and can provide stable voltage to passive networks [20]. Guan et al. proposes a coordinated control strategy for ride-through under low voltage conditions, when low-voltage ride through, the converter stations at both ends are controlled to provide reactive power compensation, and a voltage-based power control strategy is adopted to rapidly reduce the output power of the wind farm to maintain DC voltage stability [21]. Nevertheless, the above techniques may not be competent to synchronously deal with modelling uncertainties and time-varying external disturbances [22].

With the view of enhancing the dynamic performance and robustness of VSC-HVDC systems, based on VSC-HVDC state space equation, constant power controller and constant voltage controller are developed by using H_{∞} theory [23], whose simulation results indicate that the controller owns a good adaptiveness. Furthermore, Guibin et al designs the steady-state mathematical model of two-terminal VSC-HVDC systems and designed a steady-state inverse model controller with approximate linear decoupling according to the characteristics that VSC is a model containing two control quantities and two controlled quantities. But it belongs to indirect current control and has the disadvantage of being sensitive to system parameter change [24]. Besides, in reference [25], based on the transient nonlinear mathematical model of VSC-HVDC systems in d-q coordinate system, VSC-HVDC systems is linearly decoupled through accurate linearization mode of state feedback, and the power controller and voltage controller are designed. The simulation results demonstrate that the transient control performance of the nonlinear controller is good and the robustness is strong. Numerous popular control methods have also been applied to VSC-HVDC systems in recent years, such as deadbeat control which owns simple algorithm, high control precision and fast response [26], and modified fuzzy logic control (FLC) which can effectively improve the dynamic characteristics and anti-interference of passive network access of modular multilevel converter based HVDC (MMC-HVDC) [27]. Also, a Type-2 fuzzy sliding mode control is designed which with the purpose of improving load disturbance of VSC-HVDC system and the damping behavior when parameters change [28].

However, the structures of nonlinear control schemes for VSC-HVDC systems are usually complex, which hinders its applications in practice. In order to realize a more realistic control design of VSC-HVDC systems, this paper is designed to adopt perturbation observer based fractional-order PID (PoFoPID) control [29] for VSC-HVDC systems under three case studies, which best control parameters are tuned by a new meta-heuristic algorithm named as cooperative beetle antennae search (CBAS)

algorithm. Compared to the original beetle antennae search (BAS) [30] algorithm which mimicking searching mechanism of long-horn beetles, a cooperative group of multiple beetles instead of a single beetle is introduced by CBAS algorithm to realize a dynamic balance between local exploitation and global exploration, thus realizing the optimal control gains tunning of entire system.

Motivated by the above discussions, the finds/outcomes of this paper can be concluded as follows:

- *Significant robustness*: Compared to conventional PID control framework, it adopts fractional order to overall control framework, fully compensate the perturbation estimate and to significantly improve the dynamical responses of the closed-loop system, which also can simultaneously own the elegant merits of global control consistency and robustness of perturbation observer based control, high reliability and simple structure of FoPID control;
- Satisfactory control performance: Compared with PID control and feedback linearization slidingmode control (FLSMC), PoFoPID owns the lowest entire control costs in all cases due to the integration of real-time perturbation estimation and FoPID structure. Besides, PoFoPID can provide strong robustness to handle system nonlinearities, parameter uncertainties, and unmodelled dynamics. And the overall control costs of PoFoPID are only 52.97% and 28.60% of that of PID and FLSMC in offshore wind farm integration;
- *Efficient optimization*: Compared to original BAS algorithm, CBAS algorithm can remarkably improve optimization efficiency via a cooperative group of multiple beetles instead of a single beetle. Besides, it can also acquire a high-quality optimum through a dynamic and suitable balance mechanism between local exploitation and global exploration.

The structure of this paper basically contains as following: Firstly, the modelling of VSC-HVDC systems can be shown in Section 2; Then, primary mechanism of CBAS algorithm is presented in Section 3; Section 4 offers detailed design of PoFoPID control strategies for VSC-HVDC systems; Section 5 undertakes three case studies to validate its effectiveness. At last, Section 6 concludes the whole paper.

2 VSC-HVDC Systems Modelling

A common VSC-HVDC system with two VSCs is given in Fig. 1 while offshore wind farm is connected at rectifier side in parallel. The aim of rectifier is to control DC voltage and reactive power, while active and reactive power are regulated by inverter. The dynamic equation of rectifier can be denoted at angular frequency ω , yields [31].



Figure 1: Configuration of VSC-HVDC system

$$\begin{cases} \frac{di_{d1}}{dt} = -\frac{R_1}{L_1} i_{d1} + \omega i_{q1} + u_{d1} \\ \frac{di_{q1}}{dt} = -\frac{R_1}{L_1} i_{q1} - \omega i_{d1} + u_{q1} \\ \frac{dV_{dc1}}{dt} = \frac{3u_{sq1}i_{q1}}{2C_1V_{dc1}} - \frac{i_L}{C_1} \end{cases}$$
(1)

where R_1 is equivalent resistance, L_1 is equivalent inductance, C_1 is the DC bus capacitor, V_{dc1} is DC voltages of rectifier, i_L is the DC cable current, $u_{d1} = \frac{u_{sd1} - u_{rd}}{L_1}$ and $u_{q1} = \frac{u_{sq1} - u_{rq}}{L_1}$ are d-q axis control inputs of rectifier, u_{rd} and u_{rq} are d-q axis inputs voltage of rectifier; u_{sd1} and u_{sq1} are d-q components of AC grid voltages; i_{d1} and i_{q1} are corresponding line currents, respectively.

The dynamic equation of inverter can be represented as [32]

$$\begin{cases} \frac{di_{d2}}{dt} = -\frac{R_2}{L_2} i_{d2} + \omega i_{q2} + u_{d2} \\ \frac{di_{q2}}{dt} = -\frac{R_2}{L_2} i_{q2} - \omega i_{d2} + u_{q2} \\ \frac{dV_{dc2}}{dt} = \frac{3u_{sq2}i_{q2}}{2C_2V_{dc2}} + \frac{i_L}{C_2} \end{cases}$$
(2)

where R_2 is equivalent resistance, L_2 is equivalent inductance, C_2 is DC bus capacitor, V_{dc2} is DC voltage of inverter, $u_{d2} = \frac{u_{sd2} - u_{id}}{L_2}$ and $u_{q2} = \frac{u_{sq2} - u_{iq}}{L_2}$ are d-q axis control inputs of inverter, u_{id} and u_{iq} are d-q axis inputs voltage of inverter, u_{sd2} and u_{sq2} are d-q components of AC grid voltages, i_{d2} and i_{q2} are corresponding line currents, respectively.

The rectifier and the inverter are connected by a DC cable, yields

$$V_{\rm dc1}i_{\rm L} = V_{\rm dc2}i_{\rm L} + 2R_0i_{\rm L}^2 \tag{3}$$

where R_0 is the equivalent DC cable resistance.

Not that AC grid voltage u_{s1} of rectifier side and u_{s2} of inverter side are set to be in phase with q-axis in this paper. Therefore, u_{sd1} and u_{sd2} are set to be zero, and the magnitude of u_{sq1} and u_{sq2} are equal to u_{s1} and u_{s2} .

Lastly, power flows of AC grid can be described as [33]

$$\begin{cases}
P_{1} = \frac{3}{2} \left(u_{sq1}i_{q1} + u_{sd1}i_{d1} \right) = \frac{3}{2} \left(u_{sq1}i_{q1} \right) \\
Q_{1} = \frac{3}{2} \left(u_{sq1}i_{d1} - u_{sd1}i_{q1} \right) = \frac{3}{2} \left(u_{sq1}i_{d1} \right) \\
P_{2} = \frac{3}{2} \left(u_{sq2}i_{q2} + u_{sd2}i_{d2} \right) = \frac{3}{2} \left(u_{sq2}i_{q2} \right) \\
Q_{2} = \frac{3}{2} \left(u_{sq2}i_{d2} - u_{sd2}i_{q2} \right) = \frac{3}{2} \left(u_{sq2}i_{d2} \right)
\end{cases}$$
(4)

3 CBAS Algorithm

3.1 BAS Algorithm

BAS algorithm is a novel biology-based meta-heuristic algorithm, which is mainly based on special food detecting and searching behaviour of long-horn beetles characterized by extremely long antennae in nature [30]. Such long antennae are a very common symbol in most beetle species, and it is composed of various

types of olfactory receptor cells. The main function of large antennae is to expand detection range, within this range, beetles can better capture the odour of prey and detect sex pheromones that may be suitable for mating. Basically, beetle uses two antennae to randomly detect nearby areas, and the detection direction depends on which side has a higher odour.

In BAS algorithm, at the *k*th time, the location of each beetle is considered as a vector x^k (k = 1, 2, ...). Meanwhile, the fitness function is represented by f(x), which means odour concentration locates at *x*, while its maximum value directly relies on where odour begins to diffuse, called source point. Inspired by stochastic searching mechanism of beetles, two stages are mainly contained, namely, searching and detecting.

a) Searching: Stochastic searching direction of beetles is defined by

$$\vec{b} = \frac{\text{rnd}(D_{\text{dim}}, 1)}{||\text{rnd}(D_{\text{dim}}, 1)||}$$
(5)

where rnd (.) means a stochastic function and D_{dim} stands for location dimensions, respectively.

Besides, for more accurately replicating actual searching behaviour of beetle's antennae, righthand and left-hand searching behaviours are adopted, as follows:

$$x_{\rm r} = x^k + d^k \vec{b} \tag{6}$$

$$x_1 = x^k - d^k \vec{b} \tag{7}$$

where x_r and x_l denote location in the right-hand and left-hand searching area, respectively; and *d* is sensing length of antennae, which initial value should be large enough to avoid premature convergence at the initial phase, and decreases over time.

b) *Detecting*: An iterative model is presented which takes both odour detection and searching behaviour into consideration, as follows:

$$x^{k} = x^{k-1} + \delta^{k} \vec{b} \operatorname{sign}(f(x_{r}) - f(x_{l}))$$
(8)

where δ denotes step size that indicates convergence rate, while initialization of δ and searching area should be equal; and sign(.) means sign function, respectively.

Particularly, the updating rule of parameters which directly influences searching behaviour, e.g., antennae length d and step size δ , can be expressed by:

$$d^k = 0.95d^{k-1} + 0.01\tag{9}$$

$$\delta^k = 0.95\delta^{k-1} \tag{10}$$

3.2 CBAS Algorithm

3.2.1 Cooperative Group

BAS algorithm only adopts a single beetle to seek a potentially better solution, which is prone to be sunk at a local optimum. To overcome such drawbacks, CBAS algorithm employs a cooperative group with multiple beetles to find potential better solutions, as demonstrated in Fig. 2. Hence, CBAS algorithm not only contains a detecting stage (i.e., global search) like BAS algorithm, but also a local searching behavior to approximate the current best solution, which can be described by



Figure 2: Optimization principle of CBAS algorithm

$$x_{i}^{k} = x_{i}^{k-1} + \omega_{1}^{k} \delta^{k} \vec{b} \operatorname{sign}(f(x_{ir}) - f(x_{il})) + \omega_{2}^{k} Cr_{1} \left(x_{\text{best}}^{k-1} - x_{i}^{k-1} \right)$$
(11)

where subscript *i* means the *i*th beetle; ω_1^k and ω_2^k represent dynamic weights of global exploration and local exploitation, respectively; *C* stands for a constant coefficient; r_1 is a stochastic value from [0,1]; and x_{best}^{k-1} denotes current best solution until the (*k*-1)th iteration.

3.2.2 Dynamic Balance between Local Exploitation and Global Exploration

Like other meta-heuristic algorithms, it is significant to achieve a stable and desirable optimization of a suitable trade-off between local exploitation and global exploration. As an example, if CBAS algorithm attaches more attention to local exploitation, it will easily result in a low-quality local optimum; otherwise, it will result in a low optimization efficiency to seek a better solution. In order to realize optimal search, weights in Eq. (11) are designed to be time-varying as iteration increases, yields

$$\omega_1^k = \omega_{\min} + \left(1 - \frac{k}{k_{\max}}\right)(\omega_{\max} - \omega_{\min}) \tag{12}$$

$$\omega_2^k = 1 - \omega_1^k \tag{13}$$

where k_{max} means maximum iteration number; ω_{max} and ω_{min} denote the maximum and minimum weights, respectively.

Note that global exploration weight ω_1^k will gradually decrease as iteration number grows based on Eq. (12), while local exploitation weight ω_2^k will gradually increase since their sum is equal to be 1 in Eq. (13). According to such improvement, global exploration ability of CBAS algorithm can be significantly improved in initial optimization stage, which can effectively boost searching efficiency and probability of high-quality solutions. As iteration number increases, CBAS algorithm tends to concentrate on local exploitation, which can further improve solution quality.

Furthermore, parameters of BAS method, e.g., d and δ , are prone to considerably decrease with an exponential type in Eq. (14) and (15), upon which a broad global exploration cannot be achieved smoothly. To remedy such problem, an exponential reduction is displaced by a linear reduction in CBAS algorithm, as follows:

$$d^{k} = \left(1 - \frac{k}{k_{\max}}\right) d_{\max} \tag{14}$$

$$\delta^k = \left(1 - \frac{k}{k_{\max}}\right) \delta_{\max} \tag{15}$$

where d_{max} and δ_{max} denote the maximum antennae length and maximum step size, respectively.

4 PoFoPID Control Design for VSC-HVDC System

Details for PoFoPID control can be referred to authors' previous work [29] for interested readers. Note that this section directly uses this controller and optimally tune its control gains through CBAS.

4.1 Rectifier Controller Design

Let system output $y_{\rm r} = [y_{\rm r1}, y_{\rm r2}]^{\rm T} = [Q_1, V_{\rm dc1}]^{\rm T}, Q_1^*$ and $V_{\rm dc1}^*$ are the given references for reactive power and DC voltage, respectively. Make a definition of the tracking error $e_{\rm r} = [e_{\rm r1}, e_{\rm r2}]^{\rm T} = [Q_1 - Q_1^*, V_{\rm dc1} - V_{\rm dc1}^*]^{\rm T}$, differentiate $e_{\rm r}$ until control input appears explicitly, yields $\begin{bmatrix} \dot{e}_{\rm r1} \\ \ddot{e}_{\rm r2} \end{bmatrix} = \begin{bmatrix} f_{\rm r1} - \dot{Q}_1^* \\ f_{\rm r2} - \ddot{V}_{\rm dc1}^* \end{bmatrix} + B_{\rm r} \begin{bmatrix} u_{\rm d1} \\ u_{\rm q1} \end{bmatrix}$ (16)

where

$$\begin{cases} f_{r1} = \frac{3u_{sq1}}{2} \left(-\frac{R_1}{L_1} i_{d1} + \omega i_{q1} \right) \\ f_{r2} = \frac{3u_{sq1}}{2C_1 V_{dc1}} \left[-\omega i_{d1} - \frac{R_1}{L_1} i_{q1} - \frac{i_{q1}}{V_{dc1}} \left(\frac{3u_{sq1} i_{q1}}{2C_1 V_{dc1}} - \frac{i_L}{C_1} \right) \right] \\ -\frac{1}{2R_0 C_1} \left(\frac{3u_{sq1} i_{q1}}{2C_1 V_{dc1}} - \frac{i_L}{C_1} - \frac{3u_{sq2} i_{q2}}{2C_2 V_{dc2}} - \frac{i_L}{C_2} \right) \end{cases}$$
(17)

and

$$B_{\rm r} = \begin{bmatrix} \frac{3u_{\rm sq1}}{2L_1} & 0\\ 0 & \frac{3u_{\rm sq1}}{2C_1L_1V_{\rm dc1}} \end{bmatrix}$$
(18)

The determinant of matrix B_r is generated as $|B_r| = 9u_{sq1}^2/(4C_1L_1^2V_{dc1})$, which is nonzero within the operating extent of the rectifier, so system (16) is linearizable.

Suppose overall the nonlinearities are uncharted, make a definition of the perturbations $\psi_{r1}(\cdot)$ and $\psi_{r2}(\cdot)$ as

$$\begin{bmatrix} \psi_{r1}(\cdot) \\ \psi_{r2}(\cdot) \end{bmatrix} = \begin{bmatrix} f_{r1} \\ f_{r2} \end{bmatrix} + (B_r - B_{r0}) \begin{bmatrix} u_{d1} \\ u_{q1} \end{bmatrix}$$
(19)

where constant control gain B_{r0} can be obtained by

$$B_{\rm r0} = \begin{bmatrix} b_{\rm r10} & 0\\ 0 & b_{\rm r20} \end{bmatrix} \tag{20}$$

Then system (16) is able to rewritten as

$$\begin{bmatrix} \dot{e}_{r1} \\ \ddot{e}_{r2} \end{bmatrix} = \begin{bmatrix} \psi_{r1}(\cdot) \\ \psi_{r2}(\cdot) \end{bmatrix} + B_{r0} \begin{bmatrix} u_{d1} \\ u_{q1} \end{bmatrix} - \begin{bmatrix} \dot{Q}_{1}^{*} \\ \ddot{V}_{dc1}^{*} \end{bmatrix}$$
(21)

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Define $z'_{11} = Q_1$, it develops a second-order sliding-mode perturbation observer (SMPO) to evaluate $\psi_{r1}(\cdot)$ as

$$\begin{cases} \dot{\hat{z}}_{11}' = \hat{\psi}_{r1}(\cdot) + \alpha_{r1}'\tilde{Q}_1 + k_{r1}'\operatorname{sat}(\tilde{Q}_1) + b_{r10}u_{d1} \\ \dot{\hat{\psi}}_{r1}(\cdot) = \alpha_{r2}'\tilde{Q}_1 + k_{r2}'\operatorname{sat}(\tilde{Q}_1) \end{cases}$$
(22)

where observer gains k'_{r1} , k'_{r2} , α'_{r1} , and α'_{r2} are positive constants.

Define $z_{11} = V_{dc1}$ and $z_{12} = \dot{z}_{11}$, it employs a third-order sliding-mode state and perturbation observer (SMSPO) to evaluate $\psi_{r2}(\cdot)$ as

$$\begin{cases} \dot{z}_{11} = \hat{z}_{12} + \alpha_{r1}\tilde{V}_{dc1} + k_{r1}\operatorname{sat}(\tilde{V}_{dc1}) \\ \dot{z}_{12} = \hat{\psi}_{r2}(\cdot) + \alpha_{r2}\tilde{V}_{dc1} + k_{r2}\operatorname{sat}(\tilde{V}_{dc1}) + b_{r20}u_{q1} \\ \dot{\psi}_{r2}(\cdot) = \alpha_{r3}\tilde{V}_{dc1} + k_{r3}\operatorname{sat}(\tilde{V}_{dc1}) \end{cases}$$
(23)

where observer gains k_{r1} , k_{r2} , k_{r3} , α_{r1} , α_{r2} , and α_{r3} are positive constants.

The PoFoPID control for VSC-HVDC systems (16) can then be described by [34]

$$\begin{bmatrix} u_{r1} \\ u_{r2} \end{bmatrix} = B_0^{-1} \begin{bmatrix} Q_1^* - \hat{\psi}_{r1}(\cdot) + K_{P11}^* e_{r1} + \frac{K_{111}^*}{s^{\mu_{11}^*}} e_{r1} + K_{D11}^* s^{\lambda_{11}^*} e_{r1} \\ \ddot{V}_{dc1}^* - \hat{\psi}_{r2}(\cdot) + K_{P21}^* e_{r2} + \frac{K_{121}^*}{s^{\mu_{21}^*}} e_{r2} + K_{D21}^* s^{\lambda_{21}^*} e_{r2} \end{bmatrix}$$
(24)

where optimal control parameters K_{P11}^* , K_{P11}^* , K_{P21}^* , K_{P21}^* , K_{P21}^* , λ_{11}^* , μ_{11}^* , λ_{21}^* , and μ_{21}^* are tuned by CBAS.

4.2 Inverter Controller Design

Choose system output $y_i = [y_{i1}, y_{i2}]^T = [Q_2, P_2]^T$, Q_2^* and P_2^* are the given references of reactive and active power, respectively. Make a definition of tracking error $e_i = [e_{i1}, e_{i2}]^T = [Q_2 - Q_2^*, P_2 - P_2^*]^T$, differentiate e_i until control input appears explicitly, as follows:

$$\begin{bmatrix} \dot{e}_{i1} \\ \dot{e}_{i2} \end{bmatrix} = \begin{bmatrix} f_{i1} - \dot{Q}_2^* \\ f_{i2} - \dot{P}_2^* \end{bmatrix} + B_i \begin{bmatrix} u_{d2} \\ u_{q2} \end{bmatrix}$$
(25)

where

$$\begin{cases} f_{i1} = \frac{3u_{sq2}}{2} \left(-\frac{R_2}{L_2} i_{d2} + \omega i_{q2} \right) \\ f_{i2} = \frac{3u_{sq2}}{2} \left(-\frac{R_2}{L_2} i_{q2} - \omega i_{q2} \right) \end{cases}$$
(26)

and

$$B_{\rm i} = \begin{bmatrix} \frac{3u_{\rm sq2}}{2L_2} & 0\\ 0 & \frac{3u_{\rm sq2}}{2L_2} \end{bmatrix}$$
(27)

The determinant of matrix B_i is generated as $|B_i| = 9u_{s2}^2/(4L_2^2)$, which is nonzero within the operating extent of the rectifier, so system (25) is linearizable.

Suppose entire the nonlinearities are uncharted, make a definition of the perturbations $\psi_{i1}(\cdot)$ and $\psi_{i2}(\cdot)$ as

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$$\begin{bmatrix} \psi_{i1}(\cdot) \\ \psi_{i2}(\cdot) \end{bmatrix} = \begin{bmatrix} f_{i1} \\ f_{i2} \end{bmatrix} + (B_i - B_{i0}) \begin{bmatrix} u_{d2} \\ u_{q2} \end{bmatrix}$$
(28)

where the constant control gain B_{i0} is obtained by

$$B_{i0} = \begin{bmatrix} b_{i10} & 0\\ 0 & b_{i20} \end{bmatrix}$$
⁽²⁹⁾

Then system (25) is able to overwritten as

$$\begin{bmatrix} \dot{e}_{i1} \\ \dot{e}_{i2} \end{bmatrix} = \begin{bmatrix} \psi_{i1}(\cdot) \\ \psi_{i2}(\cdot) \end{bmatrix} + B_{i0} \begin{bmatrix} u_{d2} \\ u_{q2} \end{bmatrix} - \begin{bmatrix} \dot{Q}_2^* \\ \dot{P}_2^* \end{bmatrix}$$
(30)

Define $z'_{21} = Q_2$ and $z_{21} = P_2$, it adopts two second-order SMPOs evaluate $\psi_{i1}(\cdot)$ and $\psi_{i2}(\cdot)$ as

$$\begin{cases} \dot{z}_{21}' = \hat{\psi}_{i1}(\cdot) + \alpha_{i1}'\tilde{Q}_2 + k_{i1}' \operatorname{sat}(\tilde{Q}_2) + b_{i10}u_{d2} \\ \dot{\psi}_{i1}(\cdot) = \alpha_{i2}'\tilde{Q}_2 + k_{i2}' \operatorname{sat}(\tilde{Q}_2) \end{cases}$$
(31)

where observer gains k'_{i1} , k'_{i2} , α'_{i1} and α'_{i2} are positive constants.

$$\begin{cases} \dot{\hat{z}}_{22} = \hat{\psi}_{i2}(\cdot) + \alpha_{i1}\tilde{P}_2 + k_{i1}\text{sat}(\tilde{P}_2) + b_{i20}u_{q2} \\ \dot{\hat{\psi}}_{i2}(\cdot) = \alpha_{i2}\tilde{P}_2 + k_{i2}\text{sat}(\tilde{P}_2) \end{cases}$$
(32)

where observer gains k_{i1} , k_{i2} , α_{i1} and α_{i2} are positive constants.

The PoFoPID control for VSC-HVDC systems (25) can then be described by

$$\begin{bmatrix} u_{i1} \\ u_{i2} \end{bmatrix} = B_0^{-1} \begin{bmatrix} Q_2^* - \hat{\psi}_{i1}(\cdot) + K_{P12}^* e_{r1} + \frac{K_{112}^*}{s^{\mu_{12}^*}} e_{r1} + K_{D12}^* s^{\lambda_{12}^*} e_{r1} \\ P_2^* - \hat{\psi}_{i2}(\cdot) + K_{P22}^* e_{r2} + \frac{K_{122}^*}{s^{\mu_{22}^*}} e_{r2} + K_{D22}^* s^{\lambda_{22}^*} e_{r2} \end{bmatrix}$$
(33)

where optimal control parameters K_{P12}^* , K_{P12}^* , K_{D12}^* , K_{P22}^* , K_{122}^* , K_{D22}^* , λ_{12}^* , μ_{12}^* , λ_{22}^* , and μ_{22}^* are tuned by CBAS. The optimization target is to minimize the tracking error of inverter and rectifier control and the entire control costs, as follows:

$$\begin{array}{l}
\text{Minimize } f = \sum_{\text{Three cases}} \int_{0}^{T} (\left| V_{\text{dc1}} - V_{\text{dc1}}^{*} \right| + \left| Q_{1} - Q_{1}^{*} \right| + \left| Q_{2} - Q_{2}^{*} \right| + \left| P_{2} - P_{2}^{*} \right| + c_{1} \left| u_{\text{d1}} \right| + c_{2} \left| u_{\text{q1}} \right| \\
+ c_{3} \left| u_{\text{d2}} \right| + c_{4} \left| u_{\text{q2}} \right| \right) \text{d}t \\
\text{subject to} \begin{cases} 0 \leq K_{\text{Pij}} \leq 200 \\ 0 \leq K_{\text{Iij}} \leq 400 \\ 0 \leq K_{\text{Dij}} \leq 20 \\ 0 \leq \lambda_{ij} \leq 2 \\ 0 \leq \lambda_{ij} \leq 2 \\ -0.8 \leq u_{\text{di}} \leq 0.8 \\ -0.8 \leq u_{\text{qi}} \leq 0.8 \end{cases} \tag{34}$$

where the weights c_1 , c_2 , c_3 , and c_4 are adopted to scale the magnitude of control costs which are selected to be 1/4, simulation time T = 2s. Besides, the convergence criteria is maximum iteration number $k_{\text{max}}=100$.

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In addition, the Oustaloup approximation [35] is used for a recursive distribution of zeros and poles, which gives

$$s^{\alpha} \approx K \prod_{n=-N}^{N} \frac{1 + \left(\frac{s}{\omega_{z,n}}\right)}{1 + \left(\frac{s}{\omega_{p,n}}\right)} , \quad \alpha > 0$$
(35)

where 2*N*+1 denotes the number of zeros and poles; *K* is the gain which causes both sides of Eq. (35) to have unit gain at 1 rad/s. $\omega_{z,n}$ and $\omega_{p,n}$ are given as

$$\omega_{z,n} = \omega_{\rm b} \left(\frac{\omega_{\rm h}}{\omega_{\rm b}}\right)^{(n+N+(1-\alpha)/2)/(2N+1)} \tag{36}$$

$$\omega_{p,n} = \omega_{\rm b} \left(\frac{\omega_{\rm h}}{\omega_{\rm b}}\right)^{(n+N+(1+\alpha)/2)/(2N+1)} \tag{37}$$

In Eqs. (36) and (37), lower limit ω_b and upper limit ω_h normally satisfy $\omega_b \omega_h = 1$ and $k = \omega_h^{\alpha}$. Lastly, the entire controller structure of PoFoPID can be depicted in Fig. 3.



Figure 3: The entire controller structure of PoFoPID

5 Case Studies

For the sake of assessing the control performance of PoFoPID, two typical controllers, i.e., PID control [36] and feedback linearization sliding-mode control (FLSMC) [37] control are compared under three cases, namely, (a) active and reactive power tracking; (b) 5-Cycle line-line-line-ground (LLLG) fault at AC bus 1; and (c) offshore wind farm integration. In addition, AC grid frequency is 50 Hz, and the detailed parameters of VSC-HVDC systems can be referenced in literature [29]. Meanwhile, the control parameters of three

controllers are both optimally tuned by CBAS in 30 repetitions, as shown in Tab. 1. And the maximum iteration number k_{max} is set to be 100. Tab. 2 gives the statistical outcomes of CBAS acquired by these three controllers. Comparison of the convergence performance and statistical results of fitness function of all controllers are depicted in Fig. 4, and Fig. 5, respectively. One can easily find that PoFoPID has the lowest fitness function and iteration number as well as the shortest convergence time among three controllers. Especially, the introduction of fractional-order mechanism in PoFoPID significantly improves the dynamic response performance such that the mean convergence time of PoFoPID is 16.22% and 8.11% lower than that of PID and FLSMC, respectively. In addition, the simulation is carried out on MATLAB/SIMULINK 2019a through a personal computer with an Intel^R CoreTMi5 CPU at 3.4 GHz and 16 GB of RAM.

Table 1: The control parameters of three controllers optimally tuned by CBAS in 30 repetitions

Method	Rectifier control			Inverter control				
PID	$K_{\rm rP1} = 124$	$K_{\rm rP2} = 4$	$K_{\rm rI1} = 286$	$K_{\rm rI2} = 27$	$K_{iP1} = 128$	$K_{iP2} = 6$	$K_{iI1} = 282$	$K_{\rm iI2} = 29$
FLSMC	$\rho_1 = 65$	$\rho_2 = 37$	$k_1 = 23$		$\rho_3 = 67$	$\rho_4 = 72$		
PoFoPID	$K_{\rm P11}^* = 156$	$K_{\rm I11}^* = 351$	$K_{\rm D11}^{*} = 16$	$K_{\rm P21}^* = 162$	$K_{\rm P12}^* = 163$	$K_{112}^* = 369$	$K_{\rm D12}^{*} = 14$	$K_{\rm P22}^{*} = 178$
	$K_{\rm I21}^* = 362$	$K_{\rm D21}^{*} = 18$	$\lambda_{11}^* = 1.73$	$\mu_{11}^* = 1.39$	$K_{\rm I22}^* = 376$	$K_{\rm D22}^{*} = 19$	$\lambda_{12}^* = 1.65$	$\mu_{12}^* = 1.83$
	$\lambda_{21}^* = 1.86$	$\mu_{21}^* = 1.42$			$\lambda_{22}^* = 1.72$	$\mu_{22}^* = 1.69$		

Table 2: The statistical results of CBAS acquired by three controllers in 30 repetitions

Algorithm	Fitness function (p.u.)		Convergence time (Hour)			Iteration number of convergence			
	Max.	Min.	Mean	Max.	Min.	Mean	Max.	Min.	Mean
PID	3.52	1.87	2.92	0.41	0.34	0.37	82	76	89.25
FLSMC	3.25	1.26	2.67	0.39	0.32	0.34	79	55	64.38
PoFoPID	3.52	0.85	1.96	0.35	0.29	0.31	58	42	51.62

5.1 Active and Reactive Power Tracking

A series of step changes of active and reactive power are implemented at t = 0.2 s and t = 0.4 s, which are restored to the primary value a t = 0.6 s. Meanwhile, DC voltage is maintained at its rated value $V_{dc1}^* = 1.0$ p.u. Fig. 6 compares the system responses of three controllers. It can be seen that PoFoPID can obtain a consistent control performance with the fastest tracking speed and the smallest active and reactive power overshoot. Especially, the maximum overshoot of active power P_1 of PID is 36.10% and 33.71% during the second and third step variation, while PoFoPID can realize smooth active and reactive power tracking. Meanwhile, the convergence time of P_1 of PID, FLSMC, and PoFoPID are 0.16s, 0.10s, and 0.08s during the second step variation, respectively [38].

5.2 5-Cycle Line-Line-Ground (LLLG) Fault

A 5-cycle LLLG fault occurs at AC bus 1 at when t = 0.1 s. As shown in Fig. 7, PID has the most drastic power and DC voltage oscillation due to its design based on local linearization of specific operation point. While PoFoPID can restrain the active power and reactive power oscillations effectively with the smallest recovery time because the introduction of fractional-order operators provides more degree of freedom for control system [39]. More specifically, the maximum overshoot of active power and reactive power of

PoFoPID is 62.63% and 54.53% of that of PID, respectively. And the recovery time of DC voltage V_{dc1} of PID, FLSMC, and PoFoPID are 0.46 s, 0.39 s, and 0.26 s, respectively. Moreover, Fig. 8 gives the perturbation estimation of observers, which demonstrates that the developed SMSPO and SMPO can realize satisfactory tracking performance.



Figure 4: Statistical results of fitness function generated in 30 repetitions



Figure 5: Comparison of the best convergence performance of three control schemes generated in 30 repetitions

5.3 Offshore Wind Farm Integration

Offshore wind farm has a more strong and constant wind speed than the onshore wind farm, which are the promising development trend in coming decades. In particular, AC side of offshore wind farm integration is as same as a weak power, which voltage u_{s1} is a time-varying function. Hence, this case simulates a voltage fluctuation $u_{s1} = 1 + 0.15$ sin $(0.2\pi t)$ during t = 0.15 s to t = 1.05 s. Fig. 9 shows that PoFoPID has the



strongest robustness with a smooth tracking performance. Moreover, the maximum overshoot of control input u_{d1} and u_{g1} of PoFoPID is 32.56% and 57.58% of that of PID, respectively.

Figure 6: System responses generated under active and reactive power tracking

5.4 Comparative Studies

The integral absolute error (IAE) indices describe the error accumulation of the controlled variable relative to its reference value during time *T*. And Tab. 3 compares IAE indices of three controllers under above three cases, where $IAE_{Q_1} = \int_0^T |Q_1 - Q_1^*| dt$, $IAE_{V_{dc1}} = \int_0^T |V_{dc1} - V_{dc1}^*| dt$, $IAE_{Q_2} = \int_0^T |Q_2 - Q_2^*| dt$, and $IAE_{P_2} = \int_0^T |P_2 - P_2^*| dt$. Obviously, PoFoPID obtains the smallest IAE indices in all conditions, which proves that the proposed control strategy can realize the excellent control performance among all methods. Particularly, the IAE_{Q_1} of PoFoPID is merely 55.10% and 89.72% of that of PID and FLSMC in active and reactive power tracking. And the $IAE_{V_{dc1}}$ of PoFoPID are only 55.10% and 89.72% of that of PID and FLSMC in 5-cycle LLLG fault.

Furthermore, the overall control costs $IAE_u = \int_0^T (|u_{d1}| + |u_{q1}| + |u_{d2}| + |u_{q2}|)dt$ of three controllers under three cases are illustrated in Fig. 10. It indicates that PoFoPID has the lowest entire control costs in all cases because of the integration of real-time perturbation estimation and FoPID structure. Hence, PoFoPID can provide strong robustness to handle system nonlinearities, parameter uncertainties, and unmodelled dynamics. And the overall control costs of PoFoPID are only 52.97% and 28.60% of that of PID and FLSMC in offshore wind farm integration.



Figure 7: System responses generated under 5-cycle LLLG fault at AC bus 1



Figure 8: Estimation error of observers generated under 5-cycle LLLG fault at AC bus 1



Figure 9: System responses generated under offshore wind farm integration

Method	Case								
	Active and reactive power tracking								
	IAE _{Q1}	$IAE_{O_1} IAE_{V_{de1}} IAE_{O_2} IAE_{P_2}$							
PID	$4.12 imes 10^{-2}$	$4.26 imes 10^{-3}$	$2.58 imes10^{-2}$	$2.97 imes10^{-2}$					
FLSMC	$2.53 imes 10^{-2}$	$2.16 imes 10^{-3}$	$2.47 imes 10^{-2}$	$2.68 imes 10^{-2}$					
PoFoPID	$2.27 imes10^{-2}$	$2.07 imes10^{-3}$	$2.26 imes10^{-2}$	$2.13 imes 10^{-2}$					
Method	Case								
	5-cycle L	LLG fault	Offshore wind farm integration						
	IAE _{Q1}	$IAE_{V_{dc1}}$	IAE _{Q1}	$IAE_{V_{dc1}}$					
PID	$2.73 imes10^{-2}$	$2.36 imes 10^{-3}$	$4.47 imes 10^{-3}$	$4.37 imes 10^{-3}$					
FLSMC	$1.87 imes 10^{-2}$	$4.37 imes 10^{-3}$	$4.29 imes 10^{-3}$	$3.56 imes 10^{-3}$					
PoFoPID	$1.62 imes 10^{-3}$	$1.25 imes10^{-3}$	$3.92\times\mathbf{10^{-4}}$	$5.93\times\mathbf{10^{-4}}$					

Table 3: IAE indices (in p.u.) of three controllers computed in different cases



Figure 10: The entire control costs of three control schemes required in different cases

6 Conclusions

In this paper, a new PoFoPID controller is designed for VSC-HVDC systems, which with the purpose of enhancing the robustness and control performance of the system. The major novelties/contributions can be concluded as follows:

- PoFoPID control is able to dramatically boost dynamical responses of VSC-HVDC systems integrated with offshore wind farm, which owns great robustness against various uncertainties owing to real-time compensation of perturbation;
- (2) Compared to original BAS algorithm, CBAS algorithm can remarkably improve optimization efficiency via a cooperative group of multiple beetles instead of a single beetle. Besides, it can also acquire a high-quality optimum through a dynamic and suitable balance mechanism between local exploitation and global exploration. CBAS algorithm is utilized to optimally tune PoFoPID controller parameters, such that the overall tracking error can be minimized under various operating conditions;
- (3) Simulation results validate that PoFoPID controller can achieve the highest tracking speed and lowest tracking error, along with the lowest entire control costs compared with that of other two controllers. Especially, in active and reactive power tracking, the convergence time of P_2 of PID, FLSMC, and PoFoPID are 0.048 s, 0.16 s, and 0.13 s during the second step variation, respectively; the maximum overshoot of active power and reactive power of PoFoPID is 62.63% and 54.53% of that of PID, respectively. In 5-cycle LLLG fault, the recovery time of DC voltage V_{dc1} of PoFoPID is 56.52% and 84.78% of that of PID and FLSMC, respectively; the maximum overshoot of control input u_{d1} and u_{q1} of PoFoPID is 32.56% and 57.58% of that of PID, respectively. And in offshore wind farm integration, the convergence time of Q_1 of PID, FLSMC, and PoFoPID are 0.03 s, 0.13 s, and 0.09 s, respectively; the maximum overshoot of control input u_{d1} and u_{a1} of PoFoPID is 32.56% and 57.58% of that of PID, respectively. Moreover, PoFoPID can obtain the smallest IAE indices and overall control costs in all cases, which has the highest efficiency and feasibility. Particularly, the IAE_{O_1} of PoFoPID is only 8.77% and 9.14% of that of PID and FLSMC in offshore wind farm integration, respectively; the overall control costs of PoFoPID are only 75.99% and 84.38% of that of PID and FLSMC in offshore wind farm integration, respectively.

Future study will undertake a dSpace based hardware-in-loop (HIL) experiment for PoFoPID control of VSC-HVDC system, which aims to validate the implementation feasibility of the proposed approach.

Besides, it can employ PoFoPID control for multiterminal VSC-HVDC systems via CBAS with offshore wind integration in future researches.

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