

# Development of a prognostic signature for esophageal cancer based on a novel 7-DNA damage repair genes signature

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**Key words:** Esophageal cancer, DNA damage repair genes, Signature, Tumor immune infiltration

**Abstract:** Esophageal cancer (EC) was an aggressive malignant neoplasm characterized by high morbidity and poor prognosis. Identifying the changes in DNA damage repair genes helps to better understand the mechanisms of carcinoma progression. In this study, by comparing EC samples and normal samples, we found a total of 132 DDR expression with a significant difference. Moreover, we revealed higher expression of POLN, PALB2, ATM, PER1, TOP3B and lower expression of HMGB1, UBE2B were correlated to longer OS in EC. In addition, a prognostic risk score based on 7 DDR gene expression (POLN, HMGB1, TOP3B, PER1, UBE2B, ATM, PALB2) was constructed for the prognosis of EC. Meanwhile, EC cancer samples were divided into 3 subtypes based on 132 DDR genes expressions. Clinical profile analysis showed cluster C1 and C2 showed a similar frequency of T2, which was remarked higher than that in cluster 3. Moreover, we found the immune cell infiltration levels were significantly changed in different subtypes of EC. The infiltration levels of T cell CD8+, B cell and NK cells were greatly higher in cluster 2 than that in cluster 1 and cluster 3. The results showed T cell CD4+ infiltration levels were dramatically higher in cluster 1 than that in cluster 2 and cluster 3. Finally, we perform bioinformatics analysis of DEGs among 3 subtypes of EC and found DDR genes may be related to multiple signaling, such as Base excision repair, Cell cycle, Hedgehog signaling pathway, and Glycolysis/Gluconeogenesis. These results showed DDR genes may serve as new target for the prognosis of EC and prediction of the potential response of immune therapy in EC.

## Introduction

Esophageal cancer (EC) ranks the eleventh amid most common carcinomas, with an estimated 473,000 cases annually worldwide. And EC is the sixth widely occurred inducer of carcinoma-associated death, approximately 436,000 death tolls ([Global Burden of Disease Cancer et al., 2019](#)). EC consists of two major histological subtypes, including adenocarcinoma (EAC) and squamous cell carcinoma (ESCC). ESCC is the main histological type, accounting for 80% of the global EC cases ([Cancer Genome Atlas Research et al., 2017](#); [Gu et al., 2020](#); [Freedman et al., 2007](#)). Although the management and treatment of patients with EC have improved, the overall 5-year overall survival (OS) rate (about 10%) and 5-year OS after esophagectomy (within 15% and 40%) are still poor ([Huang and Yu, 2018](#)).

Alcohol, tobacco and acetaldehyde related to alcoholic beverages are all carcinogens of EC, leading to the occurrence and progression of ESCC ([Ohashi et al., 2015](#)).

Aldehyde Dehydrogenase 2 (ALDH2) polymorphism is also the contributor to ESCC development, especially in Asian population ([Lewis and Smith, 2005](#)). Obesity and Barrett's esophagus constitutes the main risk factors for EAC ([Turati et al., 2013](#)). The occurrence of EC is the result of a complex process involving changes in multiple genes.

One hallmark of carcinoma is the instability of genomic which is caused by various insults leading to DNA damage. Double strand breaks (DSBs) is the most dangerous and threatening genotoxic injury, resulting in chromosome rearrangement and cell death ([da Silva, 2021](#)). Defective components in DNA damage and repair toolset are the fundamental reason for the occurrence and development of different sorts of carcinomas ([da Silva, 2021](#)). DNA damage repair pathway work together in concert to eliminate DNA damage and sustain the stability and integrity of the genome ([da Silva, 2021](#)). DNA damage repair (DDR) genes exhibit pivotal roles during this process in human cells. As biochemical and mechanistic standards demonstrated, DDR genes mainly consist of 7 functional pathways ([Wang et al., 2020a](#)). Dysregulated DDR pathways functions importantly regarding carcinoma risk, carcinoma development and

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response to treatment (Curtin, 2012). DDR pathways with up-regulation were related to the resistance to DNA-damaging radiotherapy and chemotherapy. In particular, activated DSBs repair genes are one of the factors of tumor resistance to radiotherapy and chemotherapy (Curtin, 2012). DDR has also been shown to take part in the metabolism of carcinomas. The activation of DDR promotes nucleotide synthesis and glucose metabolism, whereas lessening glutamine anaplerosis (Turgeon et al., 2018). In melanoma, the genes with up-regulation in DDR pathways has a relation to tumor metastasis (Broustas and Lieberman, 2014). The mutations of DDR genes have an association with immune-related genes expression in ovarian carcinoma and muscle invasive bladder carcinoma (Vidotto et al., 2019). Herein, identifying the changes in DDR pathway helps to better understand the mechanisms of carcinoma progression. Here, our present article aims to comprehensively investigate the expression alteration of DDR pathway genes in EC. We plan to identify new prognostic model and novel molecular subtypes based on expression of DDR genes in EC. We think our results will prove DDR genes may serve as a newly generated target for the treatment of EC.

## Materials and Methods

### Data acquisition

The RNA sequence data of Esophageal were obtained from TCGA website (<https://portal.gdc.cancer.gov>), which included 13 normal samples and 182 EC samples. Meanwhile, the clinical information of these EC patients were also extracted.

### Analysis of differential DDR genes

A total of 229 DDR genes were retrieved from the TISIDB (Ru et al., 2019) database (<http://cis.hku.hk/TISIDB/index.php>).

### Construction of the prognostic Model Based on the DDR genes

The prognostic model was established via the “glmnet” R package. The survival analysis was performed with “survminer” R package, and the ROC curve analysis was performed via the “survival” and “timeROC” R packages.

### Tumor immune infiltration analysis

To further analyze the differences in immune cell infiltration of 3 subtypes of esophageal cancer, the TIMER (Li et al., 2017a) algorithm (<https://cistrome.shinyapps.io/timer/>) was used to investigate the differences in the proportion of 6 immune cell subsets (Gu et al., 2021).

### Bioinformatics analysis

The KEGG analysis was performed using DAVID system (<https://david.ncicrf.gov/>).

### Statistics

R software v4.1 (<https://www.r-project.org/>) was used for all analyses. *P*-value < 0.05 was regarded as statistically significant.

## Results

### Aberrant expression of DNA damage repair genes (DDR) in esophageal cancer

The present study aimed to identify the interaction between 229 DDR genes and esophageal cancers. Totally, the

expression of 132 DDR genes were observed to have a remarkably difference between EC and normal samples (Suppl. Table 1). 119 genes were overexpressed in esophageal cancer, whereas another 13 genes were suppressed in EC samples (Fig. 1). Among these DEGs, HAP1, RDM1, RAD54L, UBE2T, EXO1 were the top 5 up-regulated DDR genes, and NEIL1, REV3L, POLI, XPA, PER1 were the top 5 down-regulated DDR genes (Fig. 1).

### The dysregulation of DNA damage repair genes (DDR) were correlated to shorter OS in esophageal cancer

We next performed Kaplan-Meier analysis to evaluate the association between DDR expression and OS time in EC. As present in Fig. 2, the results showed that higher expression of POLN, PALB2, ATM, PER1, TOP3B, and lower expression of HMGB1, UBE2B were related to longer OS time in EC (Figs. 2A–2G).

### Construction of a prognostic DDR genes signature

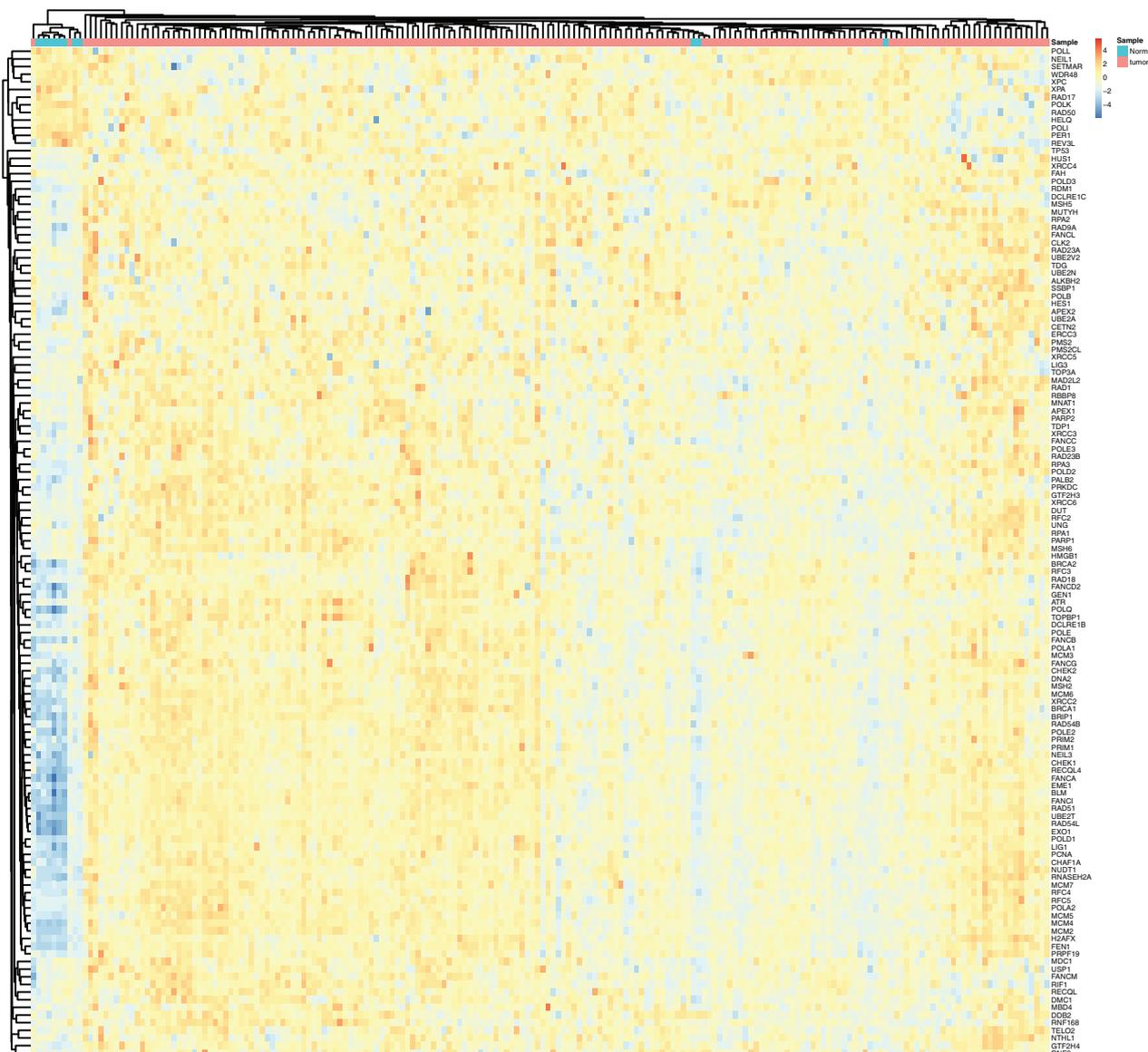
LASSO regression analysis was performed based on DDR expression, and 7 genes were retained according to the optimal lambda value, including POLN, HMGB1, TOP3B, PER1, UBE2B, ATM, PALB2 (Figs. 3A and 3B). Then, a prognostic model based on 7 DDR genes was developed as follows: Riskscore = (−0.4278) \* POLN + (0.3241) \* HMGB1 + (−0.0328) \* TOP3B + (−0.03) \* PER1 + (0.8504) \* UBE2B + (−0.0913) \* ATM + (−0.548) \* PALB2. The EC samples were classified into high- and low-risk groups based on the median value as cutoff (Fig. 3C). Kaplan-Meier analysis showed EC patients with higher risk scores had a shorter OS time (Fig. 3D). Next, the AUC for OS prediction was calculated. The AUC of the ROC curve reached 0.779 at 1 year, 0.725 at 3 years, and 0.705 at 5 years (Fig. 3E).

### Consensus clustering analysis for esophageal cancer based on the expression of DNA damage repair genes

We next performed consensus clustering for Esophageal cancer based on the expression of DNA damage repair genes. *k* = 3 was identified based on the similarity displayed by the expression levels of 132 DDR genes expressions (Figs. 4A and 4B). EC patients were divided into cluster 1, cluster 2 and cluster 3 (Fig. 4C). There were 81 EC patients in cluster 1, 27 EC patients in cluster 2, 54 EC patients in cluster 3. As present in Fig. 4D, we observed almost 90% of DDR genes expressions were down-regulated in cluster 2, indicating the genome instability may be higher in cluster 2 compared to other groups.

### Clinical profile of the 3 subtypes

To reveal the clinical relevance of DDR based subtypes, gender, race, T stage, N stage, M stage and grade were compared between patients with the 3 DDR subtypes (Table 1). Clinically, we observed cluster 1 and cluster 2 have a markedly higher proportion of male patients, while cluster 3 have a markedly higher proportion of female patients (Fig. 5A). Cluster 1 has a markedly higher proportion of Asian patients and cluster 2 and cluster 3 have a markedly higher proportion of white patients (Fig. 5B). Clusters C1 and C2 showed a similar frequency of T2, which was remarked higher than that in cluster 3



**FIGURE 1.** DNA damage repair genes (DDR) was differently expressed in esophageal cancer. The heatmap analysis of DDR genes in EC.

(Fig. 5C). However, N stage, M stage and was not different among the 3 groups (Figs. 5D–5F).

#### *Landscape of immune infiltration in 3 subtypes of esophageal cancer*

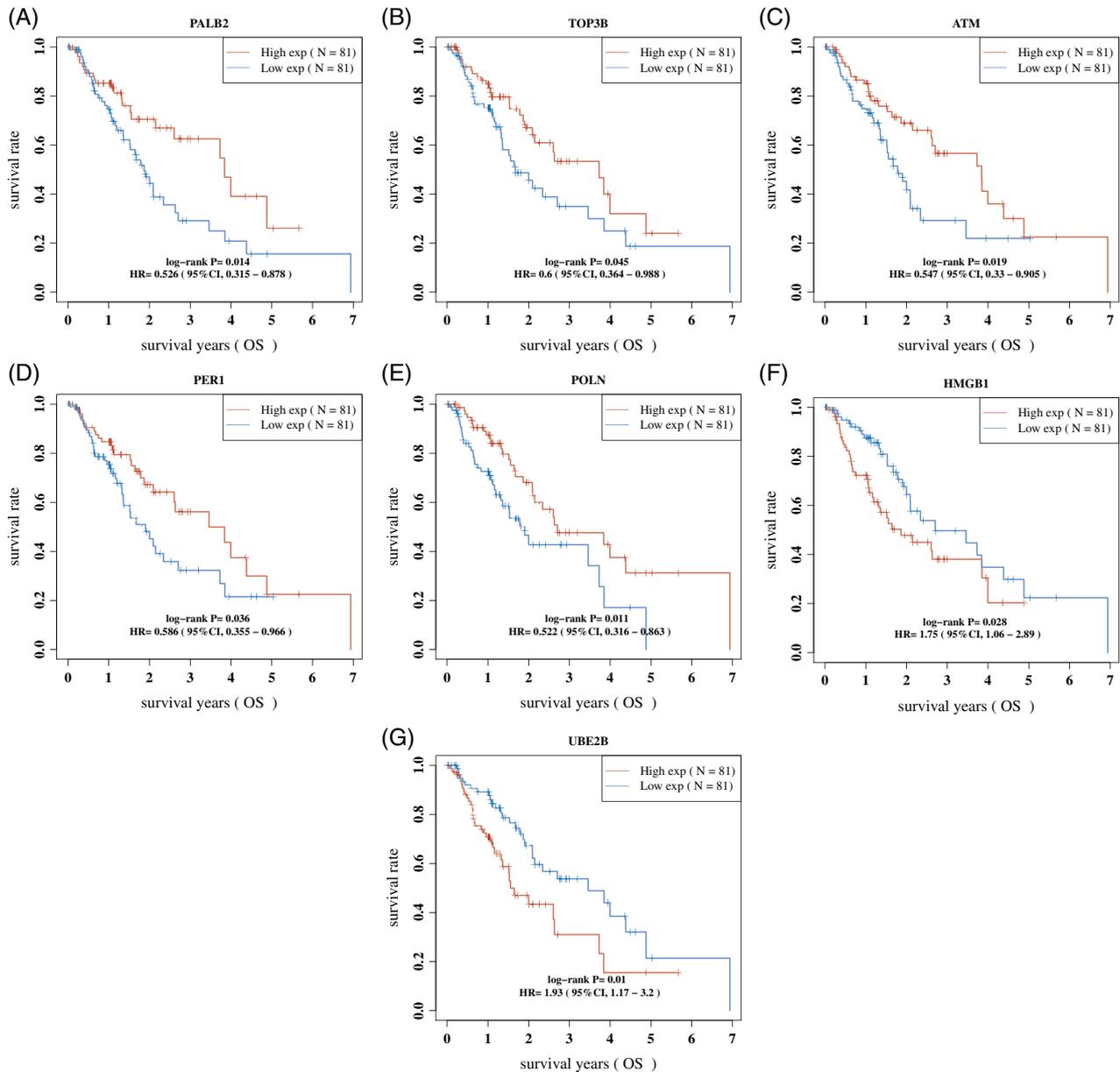
To further analyze the differences in immune cell infiltration of 3 subtypes of esophageal cancer, the TIMER algorithm was used to investigate the differences in the proportion of 6 immune cell subsets. The results showed infiltration levels of T cell CD8+, B cell and NK cells were markedly higher in cluster 2 than that in cluster 1 and cluster 3. The results showed T cell CD4+ infiltration levels were markedly higher in cluster 1 than that in cluster 2 and cluster 3 (Figs. 6A and 6B). The infiltration levels of Endothelial cell were markedly higher in cluster 2 and 3 than that in cluster 1 (Figs. 6A and 6B). Fig. 6 presents the immune cell distribution in the EC samples.

#### *Identification of differently expressed genes among 3 subtypes of esophageal cancer*

Then, we identified differently expressed genes among 3 subtypes. As present in Fig. 6, 1071 overexpressed and 215 suppressed genes were found in cluster 1 in comparison

with cluster 2, 419 overexpressed and 378 suppressed genes were identified in cluster 1 in comparison with cluster 3, and 48 overexpressed and 142 suppressed genes were identified in cluster 2 in comparison with 3 (Figs. 7A, 7D and 7G). The KEGG analysis showed these DEGs were related to multiple pathways regulation.

For example, the DEGs between cluster 1 and cluster 2 were significantly related to Base excision repair, Cell cycle, DNA replication, Cellular senescence, Fanconi anemia pathway, Homologous recombination, Mismatch repair, Nucleotide excision repair, Wnt pathway, p53 pathway, IL–17 pathway, Insulin resistance, Fat digestion and absorption, Fatty acid degradation (Figs. 7B and 7C). The DEGs between cluster 1 and cluster 3 were significantly related to Hedgehog, Hippo, PI3K–Akt, and Wnt signaling, Glycolysis/Gluconeogenesis, Glycosphingolipid biosynthesis, IL–17 signaling pathway (Figs. 7E and 7F). the DEGs between cluster 2 and cluster 3 were significantly related to Fatty acid degradation, Gastric acid secretion, Glycolysis/Gluconeogenesis, PPAR signaling pathway, Cell cycle, Cellular senescence, IL–17 pathway, NF–kappa B signaling (Figs. 7H and 7I).



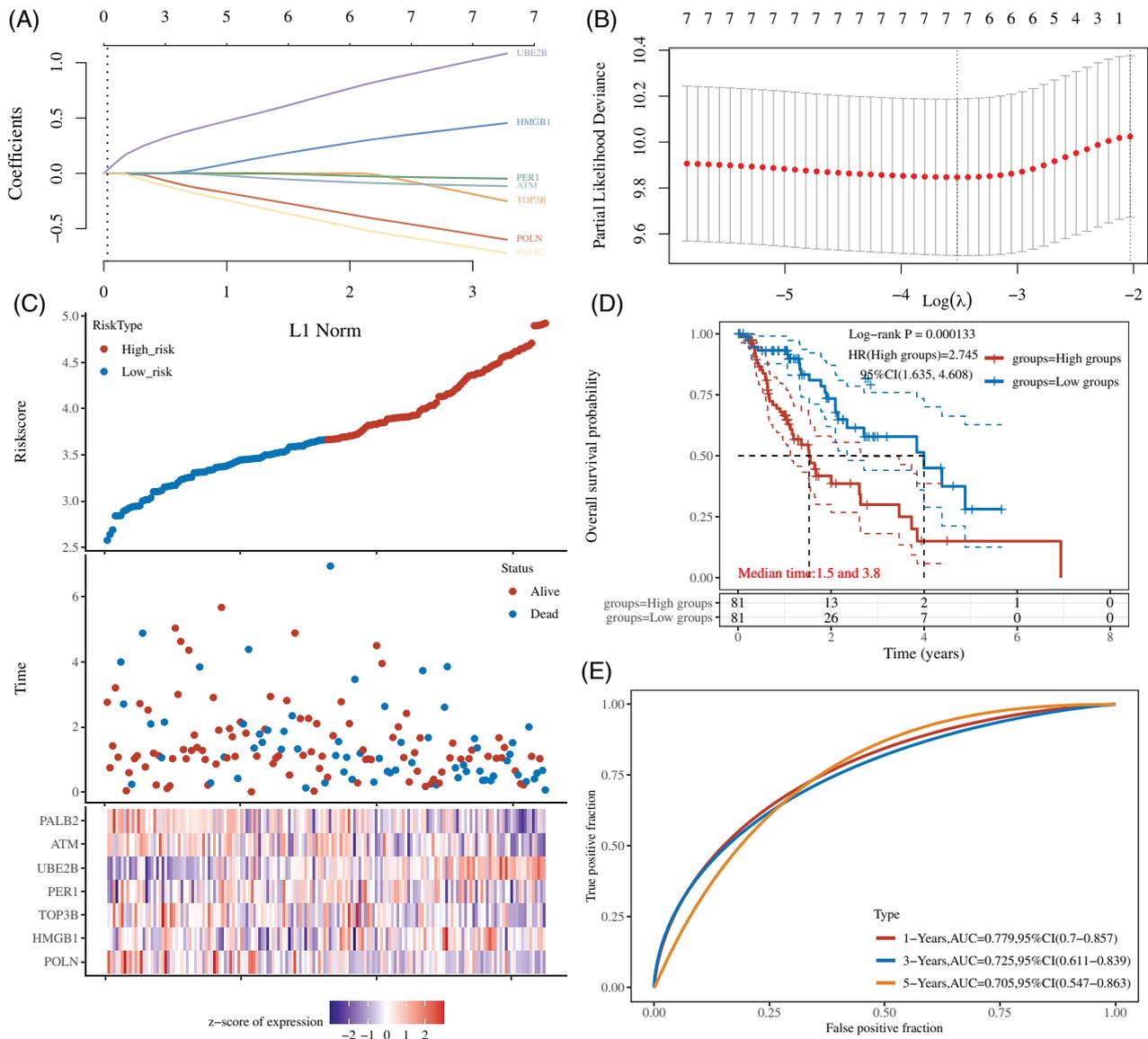
**FIGURE 2.** The dysregulation of DDR genes were correlated to shorter OS in Esophageal cancer. (A–G) The dysregulation of were PALB2 (A), TOP3B (B), ATM (C), PER1 (D), POLN (E), HMGB1 (F), and UBE2B (G) correlated to shorter OS in Esophageal cancer.

## Discussion

EC is an aggressive malignant neoplasm characterized by high morbidity and poor prognosis. Alcohol along with its metabolite, acetaldehyde, and ALDH2 have been reported to exhibit an associated with esophageal carcinogenesis. In recent years, much advance has been made in EC's diagnosis and treatment, but EC's prognosis is yet poor (Wang et al., 2020a). The mechanism underlying in EC's tumorigenesis and development stays unclear. In this study, by comparing EC samples and normal samples, we found a total of 132 DDR expression with a significant difference. Moreover, we revealed higher expression of POLN, PALB2, ATM, PER1, TOP3B and lower expression of HMGB1, UBE2B were correlated to longer OS in EC. In addition, a prognostic risk score based on 7 DDR gene expression was constructed for the prognosis of EC. Meanwhile, EC cancer samples were divided into 3 subtypes based on 132 DDR genes expressions.

Finally, we perform bioinformatics analysis of DEGs among 3 subtypes of EC to understand the mechanisms underlying the regulation of DDR in EC progression.

Previous studies had demonstrated that DDR signaling played important regulatory role in EC. For example, in ESCC, polymorphisms of base excision repair (BER) genes were probably related to ESCC's susceptibility (Yang et al., 2015). Genetic variants of the nucleotide excision repair (NER) gene had a connection with Chinese ESCC patients' survival outcome (Zhang et al., 2018). In addition, the genetic polymorphisms of XRCC6 displayed a link to a high risk of ESCC (Li et al., 2015). Besides, hypermethylation of the promoter of mismatch repair (MMR) gene MLH1 is of importance for maintaining genome stability, indicating a potential indicator of male ESCC patients' prognosis (Wu et al., 2017). The present study, we revealed 119 genes with up-regulation and 13 genes with down-regulation in EC, such as DEGS, HAP1,

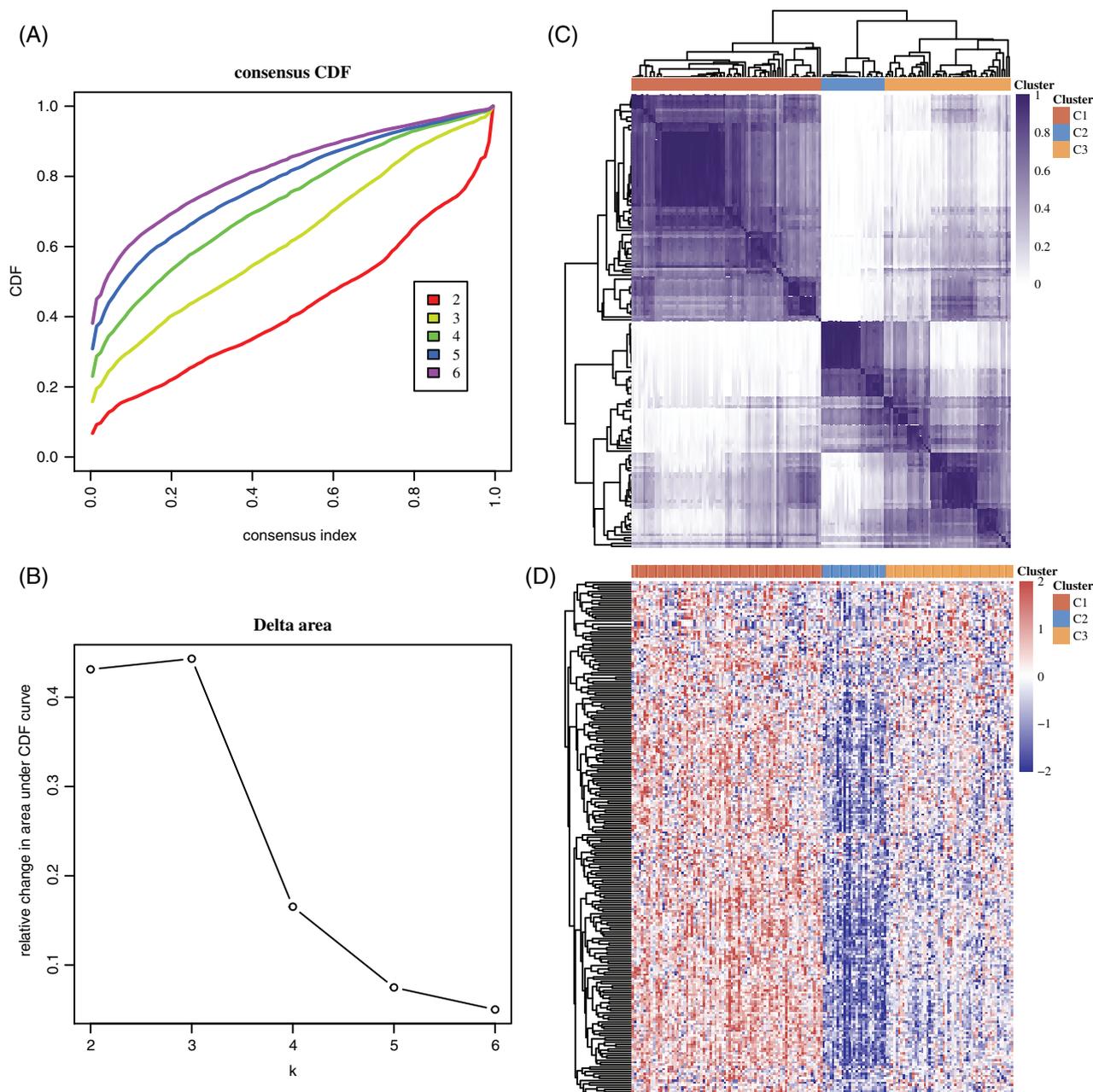


**FIGURE 3.** Construction of a Prognostic DDR genes Signature. (A) LASSO coefficients profiles were performed in EC. (B) LASSO regression were calculated using minimum lambda value. (C) The EC samples were classified into high- and low-risk groups. (D) Kaplan-Meier analysis showed EC patients with higher risk scores had a shorter OS time. (E) The AUC for OS prediction was calculated.

RDM1, RAD54L and POLI, XPA, PER1, further demonstrating the crucial roles of DDR in EC.

Till now, many genes, such as VEGF, and miR-21 have been confirmed to have important clinical significance in the prediction of EC prognosis. Even so, more clinical studies are needed to obtain more biomarkers related to the prognosis of EC, thus providing a preliminary basis for clinical management of EC. Of note, in this study, we revealed higher expression of POLN, PALB2, ATM, PER1, TOP3B and lower expression of HMGB1, UBE2B were correlated to longer OS in EC. These results showed that dysregulation of DDR genes may serve as potential prognostic markers for EC. DNA topoisomerases was considered to be an important anti-cancer drug target (Bai *et al.*, 2016). Type IA (TOP3A and TOP3B) enzymes are the only ones relaxing negative supercoiling. Convincing evidence shown that they interact with SGS1 helicase (Bai *et al.*, 2016) and other members of RecQ family (Staker *et al.*, 2002),

indicating that they have the function of maintaining genomic stability. UBE2B have been reported to be related to survival in esophageal adenocarcinoma (EAC). It also took part in worse OS for EAC patients. UBE2B was greatly higher in EAC patients of stage II than stage I, suggesting it was probably increased with the progression of EAC at early stage (Wang *et al.*, 2020b). Li *et al.* (2017b) showed that HMGB1 had an obvious link to OS in the ESCC cohort. And HMGB1 was adversely prognostic genes in ESCC. PALB2 exhibits importantly in the maintenance of genomic integrity. PALB2 has become a crucial tumor suppressor related to genetic susceptibility to breast and pancreatic carcinomas, since being identified as a BRCA2 interaction partner (Nepomuceno *et al.*, 2017). Li *et al.* (2016) indicated that circadian protein PER2 level was largely raised, while E-cadherin was greatly reduced in the tissues of human metastatic EC when compared with non-metastatic EC. PER2 overexpression in EC cells markedly inhibited the



**FIGURE 4.** Consensus clustering analysis for esophageal cancer based on the expression of DNA damage repair genes. (A–B)  $k = 3$  was identified based on the similarity displayed by the expression levels of 132 DDR genes expressions. (C) EC patients were divided into cluster 1, cluster 2 and cluster 3. (D) The expression of DDR genes in different clusters of EC was shown.

**TABLE 1**

**Clinical profile of the 3 subtypes**

	<b>Characteristics</b>	<b>C1</b>	<b>C2</b>	<b>C3</b>	<b>P_value</b>
Status	Alive	50	15	32	0.846
	Dead	31	12	22	
Age	Mean (SD)	58 (10.7)	67 (14.3)	66.2 (10.5)	0
	Median [MIN, MAX]	56 [36,90]	71 [27,86]	67 [36,84]	
Gender	FEMALE	7	2	14	0.01
	MALE	74	25	40	
Race	ASIAN	28	2	8	0.002
	BLACK	6			
	WHITE	41	21	38	

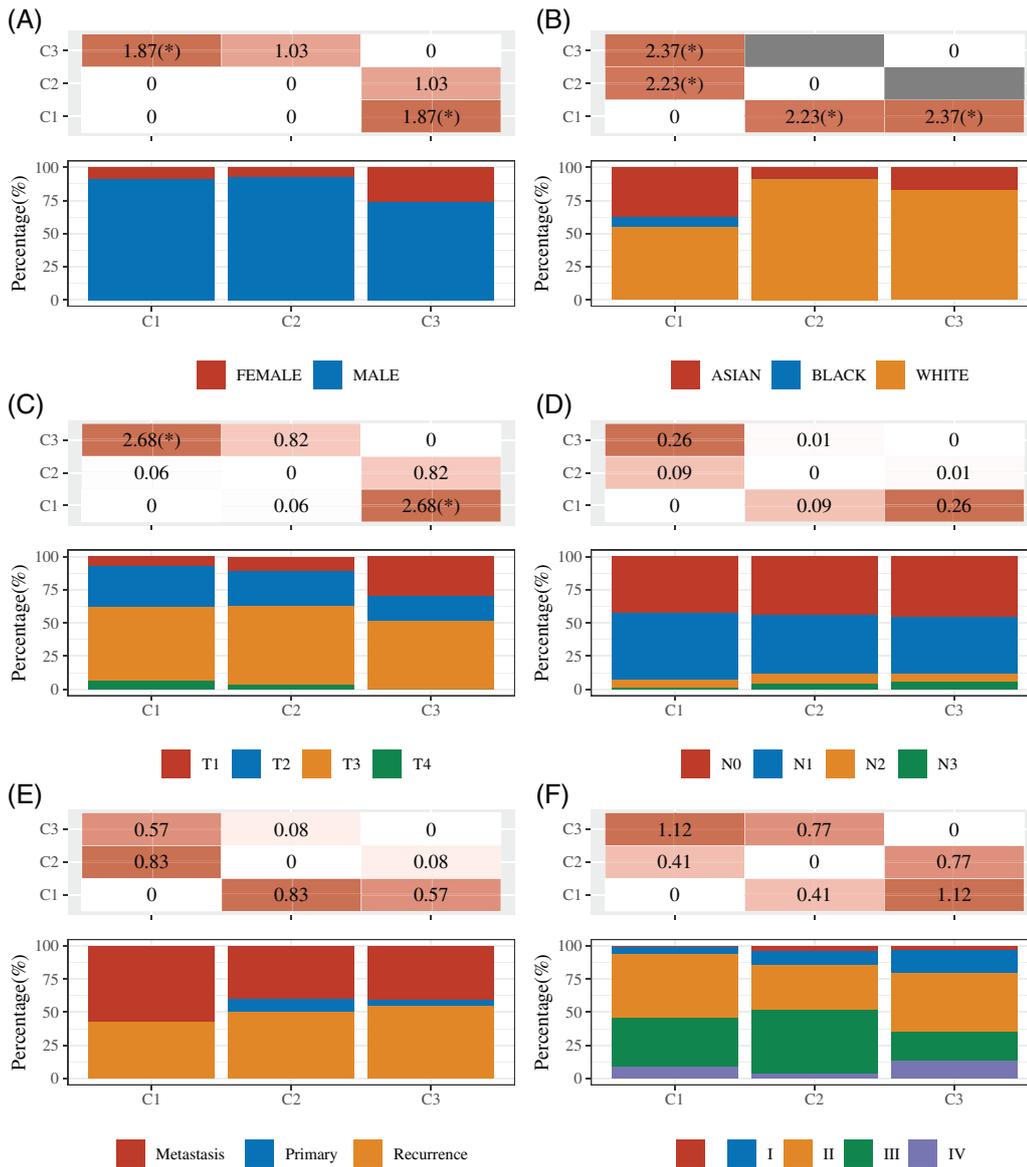
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Table 1 (continued)					
	Characteristics	C1	C2	C3	P_value
pT_stage	T1	6	3	16	
	T2	24	7	10	
	T3	44	16	28	
	T4	5			
	TX	2			
	T4a			1	0.017
pN_stage	N0	30	11	24	
	N1	36	11	23	
	N2	4	2	3	
	N3	1	1	3	
	NX	10	2	1	0.515
pM_stage	M0	67	21	40	
	M1	7		2	
	M1a	1	1	4	
	MX	5	5	5	
	M1b			1	0.156
pTNM_stage	I	1		6	
	IA	1	1	2	
	IB	2	2	1	
	IIA	22	7	13	
	IIB	17	2	11	
	III	17	5	3	
	IIIA	7	5	4	
	IIIB	4	2	2	
	IIIC	2	1	3	
	IV	6		3	
	IVA	1	1	3	
IVB			1	0.494	

expression of E-cadherin. Compared with non-metastatic EC tissues, pHDAC1 was more common in human metastatic EC tissues. After PER2 overexpression, the repressors of pHDAC1 and E-cadherin at the E-cadherin promoter locus were increased. Overexpressing PER2 significantly heightened the migration ability of EC cells, while inhibiting HDAC1 eliminated this migration ability, all data suggested that PER2 played importantly in the metastasis of EC cells. Based on above, we presumed that PER1 might function the same as PER2 in EC. More researches needs to be further verified. In addition, a prognostic risk score based on 7 DDR gene expression was constructed for the prognosis of EC. Kaplan–Meier (K–M) plots shown that patients with high risk scores had poor OS. It is worth noting that EC patient were classified into three subtypes in the light of DDR genes expression. Clinically, we observed these subtypes were significantly enriched in different stages of EC.

Recently, researches on immune checkpoint inhibitors in ESCC (e.g., PD-1/PD-L1 inhibitors, CTLA-4 inhibitors, etc.) presented satisfactory results. Additionally, combining immune checkpoint inhibitors and traditional strategies against

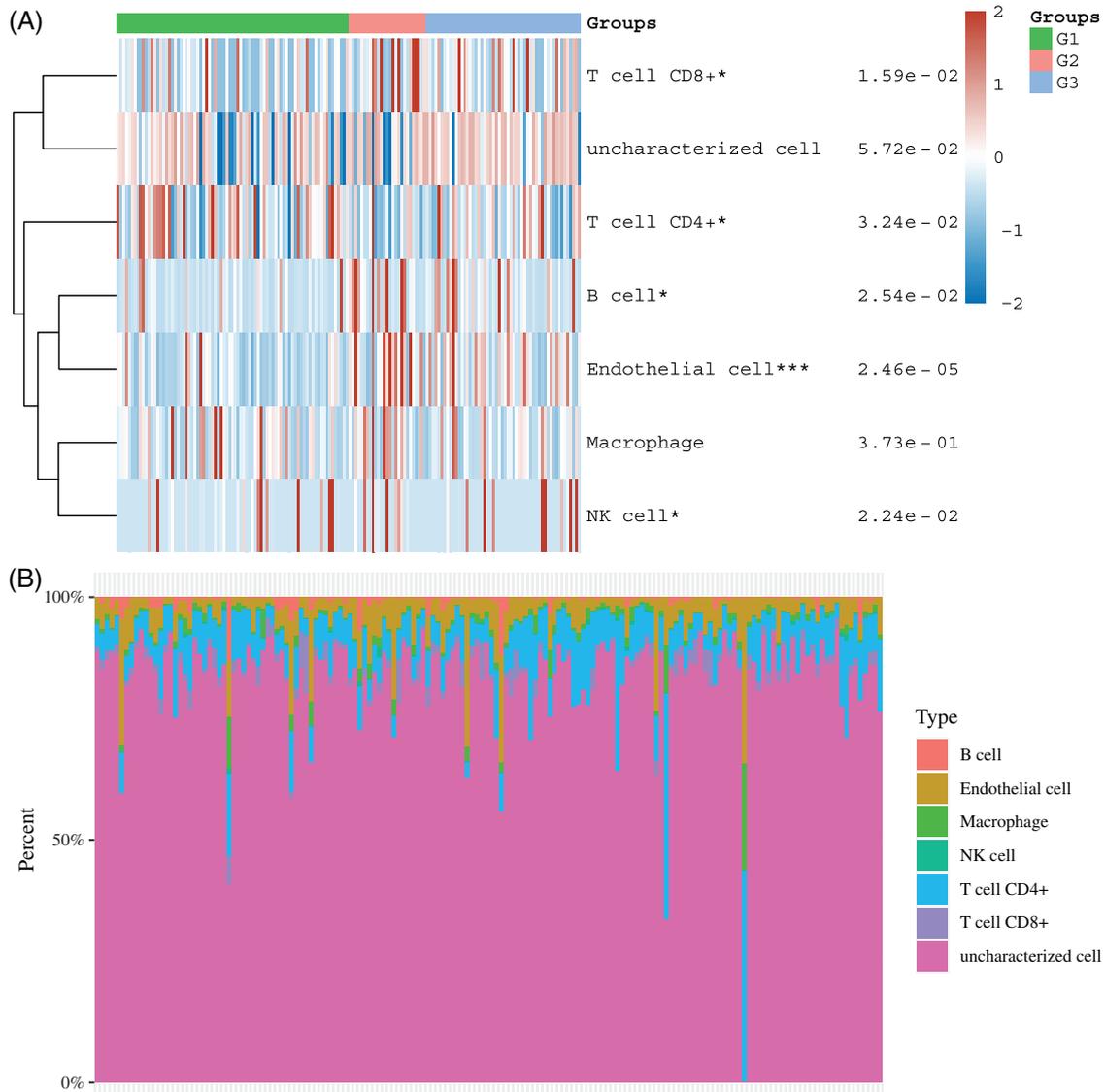
neoplasms to treat ESCC has aroused widespread interest, and the results are encouraging. As previously described, PD-L1 expression, TMB, MSI-H, as well as other biomarkers displayed a relation to the immunotherapy's efficacy (Jiao *et al.*, 2019). More and more evidence showed that TMB was an important factor in carcinogenesis with regard to EC (Yuan *et al.*, 2020). TMB displayed an association with abundant neoantigens and enhanced immunogenicity (Yuan *et al.*, 2020), along with high immunogenicity (Champiat *et al.*, 2014). High TMB was a marker of associated antigen mutations, indicating an increase in lymphocyte infiltration in the tumor microenvironment. High TMB group exhibited higher level of Treg cell infiltration than low TMB group in EC patients free of radiotherapy. Nevertheless, no difference of Treg cell infiltration was observed in EC patients with radiotherapy. Some researchers indicated that, however, high TMB generated a plenty of neoantigens that motivated immune responses against neoplasms (Rizvi *et al.*, 2015), and that high TMB displayed a relation to genomic instability, bringing about induced immune responses to neoplasms (Rizvi *et al.*, 2015). The significance of PD-L1 expression



**FIGURE 5.** Clinical profile of the 3 subtypes were analyzed. (A–F) The status of Gender (A), race (B), T stage (C), N stage (D), M stage (E) and grade (F) were compared between patients with the 3 DDR subtypes. \* $P < 0.05$ .

levels in tumor cells remained controversial. A majority of researches suggested that PD-L1 expression in neoplastic cells was the most expected biomarker for immune therapy. Nonetheless, immune therapies have shown good efficacy in some PD-L1 negative patients, but ineffective in some PD-L1 positive patients. The inconsistency of these results was mainly related to the heterogeneity of PD-L1 expression, samples variation and inconsistent detection standards, etc. (Yuan et al., 2020). Besides, several clinical researches of PD-1/PD-L1 inhibitors along with CTLA-4 inhibitors for treating EC are also in progress (Champiat et al., 2014). As previous study shown, MSI-H has a positive correlation with high TMB in the immunotherapy for colorectal carcinoma (Fabrizio et al., 2018). Le et al. (2017) (Asaoka et al., 2015) discovered that mismatch repair status could be utilized as a predictor of the effect of pembrolizumab in clinical, and found that deficiencies mismatch repair (dMMR) neoplasms had an association with prolonged progression-free survival (PFS) relative to mismatch repair-proficient neoplasms, regardless of the carcinomas' origin tissues. Even though the incidence of

MSI-H in ESCC stayed low, with estimated 8%, the function of this biomarker is extremely important since it perhaps exert an effect on the efficiency of immune checkpoint inhibitors (Le et al., 2017). Immune cell infiltration has been linked to EC, and it has been shown to have a significant impact on tumor progression, treatment, and patient outcomes. More recent studies have shown that immune cell infiltration is a potential predictive marker for immune therapy response in human malignancies, including EC (Liu et al., 2021; Zhang et al., 2020). A few regulators have been linked to immune cell infiltration; for example, Liu et al. (2021) found that COL9A3, GFRA2, and VSIG4 were linked to levels of infiltration of CD4 memory T cells, M1 and M2 macrophages. Moreover, we found the immune cell inflation levels were significantly changed in different subtypes of EC. The results showed infiltration levels of T cell CD8+, B cell and NK cells were greatly higher in cluster 2. The results showed T cell CD4+ infiltration levels were dramatically higher in cluster 1. These results showed DDR based subtypes could predict the potential response of immune therapy in EC.

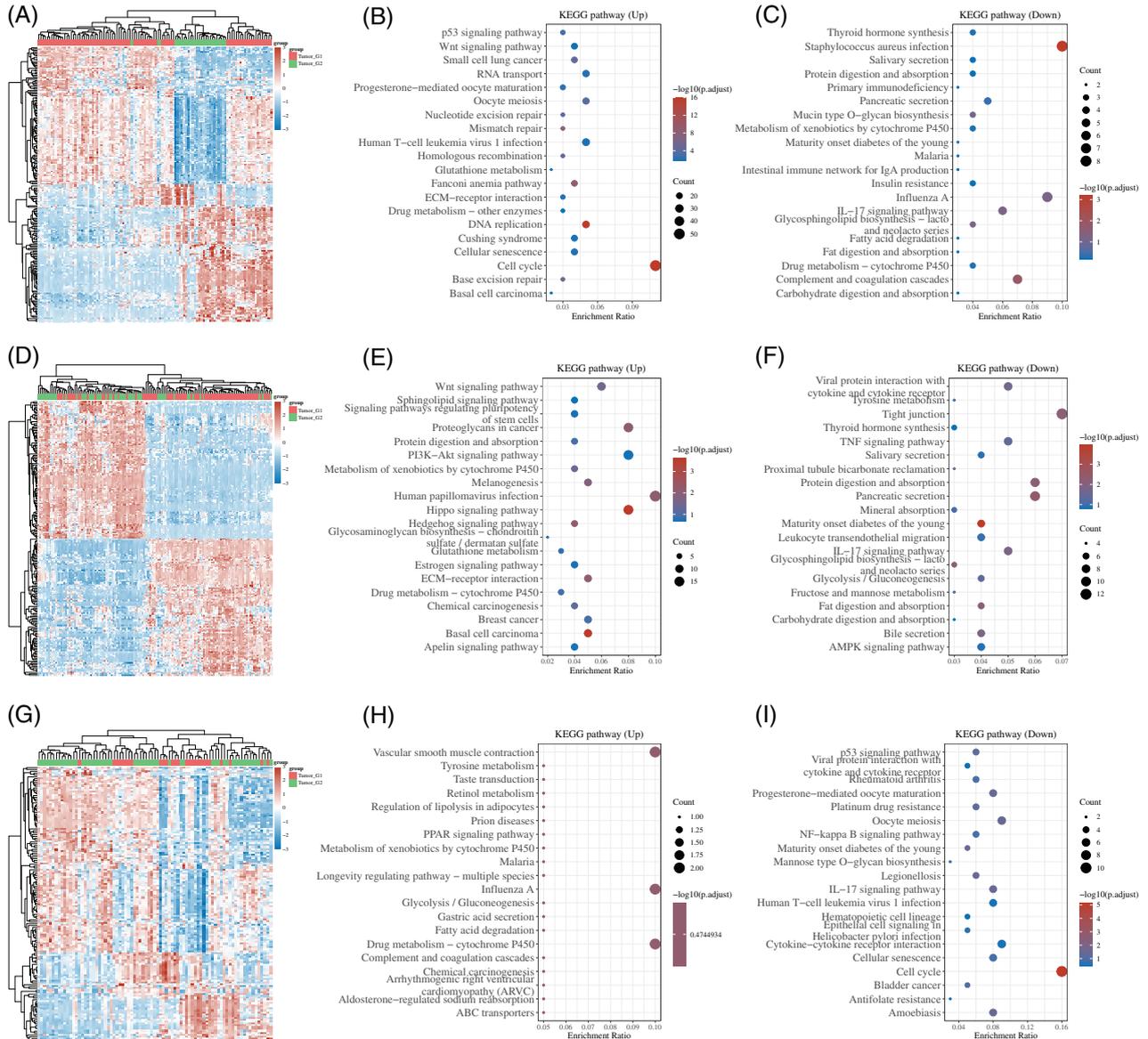


**FIGURE 6.** Landscape of immune infiltration in 3 subtypes of esophageal cancer. (A–B) The immune cell distribution in the different clusters of EC samples were shown. \* $P < 0.05$ ; \*\*\* $P < 0.001$ .

In order to reveal the underlying mechanism in different subtypes of EC. We identified differently expressed genes and performed functional analysis based on these DEGs. Our results showed the DEGs between cluster 1 and cluster 2 were significantly related to Base excision repair, Cell cycle, Cellular senescence, DNA replication, DNA damage repair related signaling. The DEGs between cluster 1 vs. 3 were significantly related to Hedgehog, Hippo, PI3K–Akt signaling. The DEGs between cluster 2 vs. 3 were significantly related to Glycolysis/Gluconeogenesis, PPAR signaling pathway. Previous research has found that the HH pathway is highly activated in esophageal cancer (Wang *et al.*, 2019). In esophageal cancer, several key members of the HH pathway, such as GLI1 and PTCH1, are significantly overexpressed (Wang *et al.*, 2019). The Hedgehog (HH) pathway is linked to cancer stemness and therapy resistance (Wang *et al.*, 2019). Inhibiting the HH pathway was linked to fewer cells with a cancer stem cell-associated phenotype (CD44+/CD24-), decreased spheroid formation, and increased radiosensitivity, according to a recent study (Wang *et al.*, 2019). The glycolytic pathway is important

in the occurrence and progression of esophageal cancer (Zhu *et al.*, 2021). Previous research has shown that glycolysis can affect EAC immunotherapy by altering the microenvironment caused by genetic mutations (Zhu *et al.*, 2021). CLDN9, GFPT1, HMMR, RARS, and STMN1 are glycolysis-related gene signatures that predict prognosis in patients with esophageal adenocarcinoma. DNA damage repair pathways are essential for genome integrity (Kang *et al.*, 2020). A large number of DNA damage repair genes, such as RAD54B, and PRKDC, were significantly amplified and overexpressed in esophageal cancer. Amplification of DSB repair pathway genes are associated with poorer outcome in EC. Inhibiting the DSB repair pathway can improve ESCC radiosensitivity. APE1-mediated DNA damage repair promoted EC survival in response to acidic bile salts, according to a recent study (Hong *et al.*, 2016).

Several limitations of this study should be noted. Firstly, only TCGA was analyzed in this study. In the future study, more validation will be performed using clinical samples. Secondly, several hub DDR genes were identified, such as



**FIGURE 7.** Identification of differently expressed genes among 3 subtypes of esophageal cancer. (A) Heatmap analysis of DEGs between cluster 1 and cluster 2. (B–C) KEGG analysis of DEGs between subtype 1 and subtype 2. (D) Heatmap analysis of DEGs between subtype 1 and subtype 3. (E–F) KEGG analysis of DEGs between subtype 1 and subtype 3. (G) Heatmap analysis of DEGs between subtype 2 and subtype 3. (H–I) KEGG analysis of DEGs between subtype 2 and subtype 3.

RAD54B, NBS1, RAD51B. However, the molecular functions of these DDR genes were not confirmed. The experimental validation of these genes will be performed in the future study. Thirdly, the findings of this article are still a long way from clinical practice. More translational medicine work will be done in the future study.

## Conclusion

In summary, our findings showed DDR genes were significantly differently expressed in EC and correlated to the OS time in EC. Moreover, a prognostic risk score based on 7 DDR gene expression was constructed to predict OS of patients with EC. In addition, EC cancer samples were divided into 3 subtypes based on 132 DDR genes expressions. We found the immune cell inflation levels were significantly changed in different subtypes of EC. These results showed DDR genes may serve as new target for the treatment of EC.

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**Availability of Data and Materials:** All the data were extracted from TCGA dataset.

**Author Contribution:** The authors confirm contribution to the paper as follows: study conception and design: Daowen Jiang; data collection: Jiaming Zhan; analysis and interpretation of results: Jiaming Zhan, Weihua Wang, Yanlei Tang and Ning Zhou; draft manuscript preparation: Jiaming Zhan, Weihua Wang, Yanlei Tang and Ning Zhou. All authors reviewed the results and approved the final version of the manuscript.

**Ethics Approval:** None to declare.

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**Conflicts of Interest:** The authors declare that they have no conflicts of interest to report regarding the present study.

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## Supplementary Materials

TABLE S1

Clinical profile of the 3 subtypes

	Characteristics	C1	C2	C3	P_value
Status	Alive	50	15	32	0.846
	Dead	31	12	22	
Age	Mean(SD)	58(10.7)	67(14.3)	66.2(10.5)	0
	Median[MIN,MAX]	56[36,90]	71[27,86]	67[36,84]	
Gender	FEMALE	7	2	14	0.01
	MALE	74	25	40	
Race	ASIAN	28	2	8	0.002
	BLACK	6			
	WHITE	41	21	38	
pT_stage	T1	6	3	16	0.017
	T2	24	7	10	
	T3	44	16	28	
	T4	5			
	TX	2			
	T4a		1		
pN_stage	N0	30	11	24	0.515
	N1	36	11	23	
	N2	4	2	3	
	N3	1	1	3	
	NX	10	2	1	
pM_stage	M0	67	21	40	0.156
	M1	7		2	
	M1a	1	1	4	
	MX	5	5	5	
	M1b			1	
pTNM_stage	I	1		6	0.494
	IA	1	1	2	
	IB	2	2	1	
	IIA	22	7	13	
	IIB	17	2	11	
	III	17	5	3	
	IIIA	7	5	4	
	IIIB	4	2	2	
	IIIC	2	1	3	
	IV	6		3	
	IVA	1	1	3	
	IVB			1	