

ARTICLE

# Minimally Invasive Surgical Technique in Double Aortic Arch with Distal Atretic Left-Side in Infant: From a Single-Surgeon Clinical Experience

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## ABSTRACT

**Background:** Double aortic arch (DAA) with distal left-sided aortic arch atresia (LAAA) can form complete vascular ring by ligamentum connection. We aimed to introduce an uncommon DAA-LAAA diagnosis and treatment by the minimally invasive surgical technique (MIST). **Methods:** We retrospectively reviewed 7 cases of DAA-LAAA that were treated from January 2017 to July 2021. All infant patients underwent surgical repair by minimally invasive surgical technique. Mean follow-up was 14.43 months (range, 5–21 months). **Results:** There were seven patients with DAA-LAAA, including six males and one female. Median age was 19.29 months (range, 9.0–29.0 months). Median weight was 11.30 kg (range, 9.6–13.0 kg). Three patients were found severe tracheal compression by cardiac computed tomography angiography (cCTA). Six patients with isolated DAA-LAAA were performed operations through left subaxillary minithoracotomy, and one patient with ventricular septal defect (VSD) was performed operation concurrently under the cardiopulmonary bypass (CPB) through right subaxillary minithoracotomy. All patients had symptom improvement in the postoperative period and discharged successfully. Follow-up data showed good results in short-term. **Conclusions:** We introduce a new surgical pathway for DAA-LAAA treatment with good symptomatic relief in short-term. MIST is a safe, feasible and economical approach for infant patients.

## KEYWORDS

Double aortic arch; complete vascular ring; minimally invasive surgical technique

## Abbreviation

DAA	Double aortic arch
LAAA	Left-sided aortic arch atresia
MIST	Minimally invasive surgical technique
cCTA	Cardiac computed tomography angiography
VSD	Ventricular septal defect
CPB	Cardiopulmonary bypass

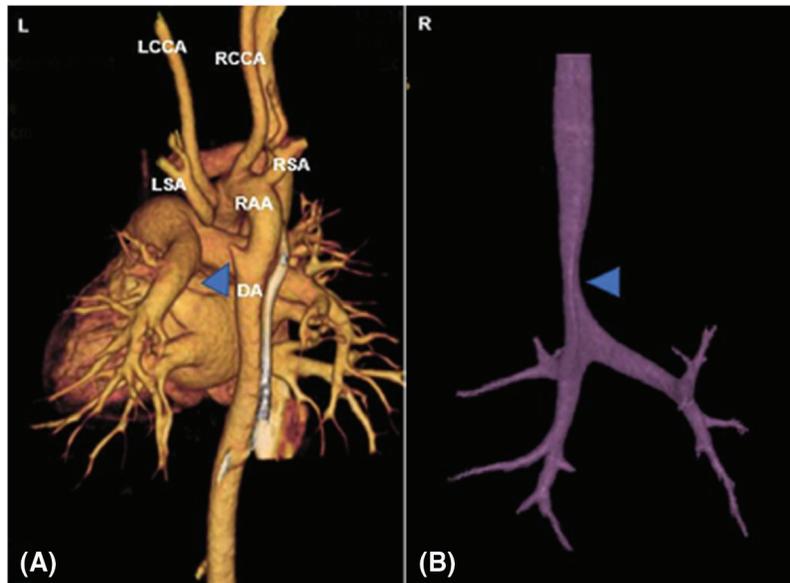
## 1 Introduction

Double aortic arch (DAA) is a common structure of complete vascular ring that may compress trachea and esophagus, constitutes about 46%–76% in all symptomatic vascular ring [1]. DAA with distal left-sided



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aortic arch atresia (LAAA) is an extremely rare DAA variant. DAA-LAAA may be result of persistence of bilateral fourth pharyngeal aortic arch and abnormal interruption of left-sided aortic arch in the embryonic period. LAAA commonly originated from the ascending aorta, giving rise to the left common carotid artery and left subclavian artery in sequence. The interrupted site located at the distal of left subclavian artery with ligamentum connection (Fig. 1). The patient with DAA-LAAA may present compression symptoms of airway and esophagus in infancy, including vomiting, wheezing, shortness of breath, dysphagia, irritable cough, recurrent respiratory tract infection and so on.



**Figure 1:** (A) Three-dimensional reconstruction images described anatomy of vascular ring. The stump of DA was showed by a sign ( $\Delta$ ). RAA, right aortic arch; RSA, right subclavian artery; LSA, left subclavian artery; RCCA, right common carotid artery; LCCA, left common carotid artery; DA, descending aorta. (B) Tracheal reconstruction by cCTA. Trachea compression by DAA-LAAA was showed by a sign ( $\Delta$ )

At present, the left posterolateral thoracotomy pathway and video-assisted thoracoscopic technique are both feasible and safe for vascular ring division [2]. However, the left posterolateral thoracotomy as a traditional pathway is inaeesthetic and more invasive. The video-assisted thoracoscopic technique is not widely spread, especially in the developing countries. At our center, we applied a new subaxillary minithoracotomy pathway to perform operation for infant patients. This study introduced a minimally invasive surgical technique (MIST) for a broad range of congenital heart disease for infant patients.

## 2 Material and Methods

### 2.1 Study Population

We conducted an observational, retrospective, single-surgeon (Z.D.H) study. This study reviewed seven patients with DAA-LAAA performed operations from January 2017 to July 2021. This study was approved by the Institutional Review Board of Fuwai Hospital (Approval No. 2021-1506) and the patient consent was waived due to its retrospective nature. The symptoms were evaluated by the self-made DAA symptoms scores (Table 1) on admission. All patients were taken echocardiography and cardiac computed tomography angiography (cCTA) during hospital stay. Three-dimensional reconstruction images by cCTA provided a detailed assessment of the aortic arch, branch vessels and trachea. The operations were

performed through subaxillary minithoracotomy. Follow-up data were collected by DAA symptoms scores and echocardiography during routine clinical visits and telephone visits.

**Table 1:** DAA symptoms scores

*Respiratory symptom timing	Score	†Digestive symptoms timing
Asymptomatic	0	Asymptomatic
Respiratory tract infection	1	Quick eating
Drastic crying/Strenuous exercises	2	Eating
Crying/Mild exercises	3	Tardy eating
Eating	4	Drinking
Calm	5	Calm

Notes: \* Respiratory symptom including wheezing, stridor, shortness of breath, irritable cough.  
 † Digestive symptoms including vomiting, dysphagia.

## 2.2 DAA Symptoms Scores

The self-made DAA symptoms scores can effectively evaluate obstruction status of tracheal and esophagus by DAA for infant patients. The symptoms include respiratory and digestive systems. The obstruction status is scored from 0 to 5 with different causes. It can conveniently describe compressing status when the onset of symptoms is caused by particular timing. The causes of symptoms were collected and summarized by medical history of patients.

## 2.3 Statistical Analysis

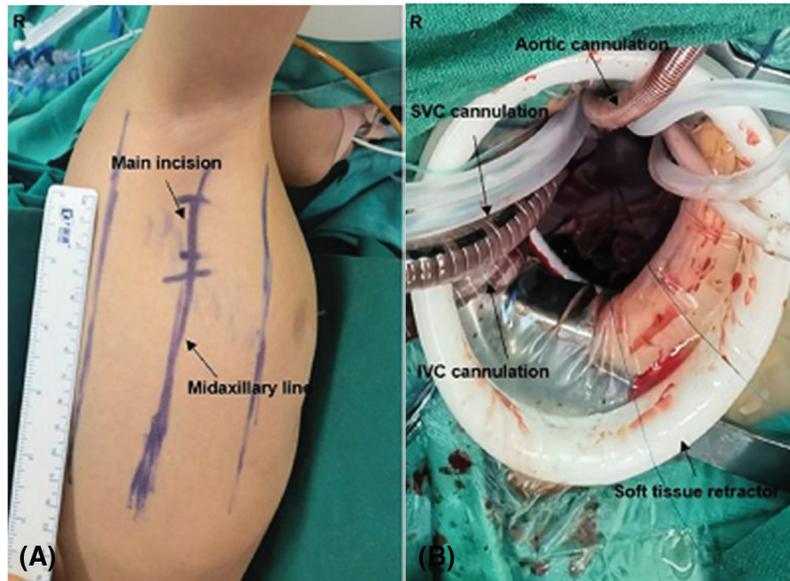
Continuous data were expressed as median and interquartile range. Categorical data were expressed as counts. Statistical analyses were performed by using the Statistical Package for Social Sciences, version 22.0 (SPSS, Inc., Chicago, IL, USA).

## 2.4 Surgery Technique

After the induction of general anesthesia, the patients were taken the right side-lying position for isolated DAA-LAAA. The left arm was wrapped and elevated over the head to expose the axillary region with a balloon prop. The skin incision took place in the left midaxillary line from 2<sup>nd</sup> to 4<sup>th</sup> rib. The vertical incision was about 3–4 cm in length. We entered thoracic cavity at 3<sup>rd</sup> intercostal space. The lung was compressed and pushed posteriorly to expose the aortic arch by a wet sponge. The soft tissue retractor (HTKD Medical, Beijing, China) was used to protect skin and optimize surgical exposure. The pleura overlying left-sided aortic arch was dissected carefully. The aortic arch and branch vessels were dissociated completely. Care was taken to distinguish the arterial ligament and not injure the vagus nerve. The ligamentum connection which located the distal of left-sided aortic arch was clamped and divided with a running suture ([Video 1](#)).

For a patient additional perimembranous VSD, the patient took the left side-lying position. The skin incision was placed in the right midaxillary line from 2<sup>nd</sup> to 5<sup>th</sup> rib. The vertical incision was about 4–5 cm in length. Firstly, we perform operation at 3<sup>rd</sup> intercostal space for DAA-LAAA division. The thymus and pleura covering the ascending aorta, right aortic arch and descending aorta were detached carefully. The descending aorta was usually in the deep side neighboring the thoracic duct and recurrent laryngeal nerve. The ligamentum connection was clamped and divided, and the ends were closed with a running suture. Finally, we switched to 4<sup>th</sup> intercostal space to expose the pericardium. The pericardium was opened and hung by three stay sutures. The CPB was established and commenced under mild

hypothermia. The cardioplegia was achieved by the antegrade infusion. The VSD was repaired through the incision of right atrial (Fig. 2).



**Figure 2:** (A) The patient was placed in the left lateral position for repair of DAA-LAAA with VSD. (B) The surgical field from surgeon's view for repair of DAA-LAAA with VSD. IVC, inferior vena cava; SVC, superior vena cava

### 3 Results

A total of seven patients underwent operations by MIST. Table 2 summarized perioperative data for all patients. There were six males and one female in our study. Median age was 19.29 months (range, 7.0–29.0 months). Median weight was 11.30 kg (range, 9.6–13.0 kg). All patients were hospitalized with significant symptoms, such as wheezing, shortness of breath, vomiting, dysphagia, recurrent respiratory tract infection or irritable cough. Median respiratory symptoms scores was 2.29 (range, 1, 0–3.0) and digestive symptoms scores was 1.0 (range, 0–2.0). One patient was auscultated with heart murmur. Echocardiography revealed perimembranous VSD (4 mm). The cCTA found serious tracheal compression in three patients with significant respiratory symptoms. The tracheal compression site usually located upward side of tracheae bifurcation.

The operations were taken by MIST. The median operation time of isolated DAA-LAAA was 65.17 min (range, 49.3–88.5 min). For the DAA-LAAA with VSD, the operation time was 192 min. The CPB time was 53 min and aortic cross-clamp time was 35 min. Median ventilation support time was 5.43 h (range, 3.0–9.0 h). No one happened bleeding, chylothorax, vocal cord paresis and in-hospital death during the postoperative period. Most patients had a short stay in pediatric intensive care unit (PICU) (38 h in average), whereas the youngest patient (3 months old) had a long stay (124 h) with severe pneumonia. All patients had good symptom improvement during hospitalization. Length of hospital stay in average was 12.57 d (range, 11.0–13.0 d). At clinic visit within 1 month after discharge, the echocardiography revealed aortic arch was with normal anatomic structure. We took telephone visits for all patients by DAA symptoms scores in short-term follow-up. All infant patients reported resolution in symptoms with good healthy growth.

**Table 2:** Patients data

Variables patients (n = 7*)	
Male sex	6*
Age, mon	19.29 (7.0–29.0)†
Weight, kg	11.3 (9.6–13.0)†
BMI, kg/m <sup>2</sup>	17.34 (15.0–20.83)†
DAA symptoms scores (preoperative)	
Respiratory symptoms scores	2.29 (1.0–3.0)†
Digestive symptoms scores	1.0 (0–2.0)†
Tracheal compression	3*
Incision site	
Right side	1*
Left side	7*
Operation time, min	83.29 (53.0–93.0)†
Combined VSD	
Operation time, min	192
CPB time, min	53
Aortic cross-clamp time, min	35
Ventilation support time, hr	5.43 (3.0–9.0) †
Length of PICU stay, hr	50.29 (28.0–52.0) †
Length of hospital stay, d	12.57 (11.0–13.0) †
DAA symptoms scores (postoperative)	
Respiratory symptoms scores	0
Digestive symptoms scores	0
Follow-up time, mon	14.43 (5.0–21.0)†

Note: Values are presented as n \* or medians (interquartile range) †.

#### 4 Discussion

At present, the left posterolateral thoracotomy pathway and video-assisted thoracoscopic technique are both feasible approaches for DAA treatment [2,3]. The left posterolateral thoracotomy as a traditional pathway is inaesthetic and more invasiveness. And the serratus anterior muscle injury can increase risk of musculoskeletal deformities [4]. The video-assisted thoracoscopic technique can effectively avoid complications of scoliosis, shoulder muscle dysfunction and chest wall deformity comparing with left posterolateral thoracotomy pathway [4,5]. However, video-assisted thoracoscopic technique possibly increased risk of bleeding [2]. Furthermore, thoracoscopic technique needs more special sized instruments for infants in different age [2]. As a result, the younger infant patients with low weight maybe limitation [2].

The subaxillary minithoracotomy is a covert and cosmetic pathway in the midaxillary line. Thoracic muscles and nerves can be spared during the procedure. The soft tissue retractor can protect the skin and optimize the surgical exposure so that it can make a good balance between minimal incision and feasibly operating under direct vision. The coronal distance of thoracic cavity is shallow for infant, which is suitable for operating with regular instruments. The blood pressure monitoring of upper and lower limbs

can help us to distinguish the ligamentum connection, arterial ligament and descending aorta, especially for the younger infant. We reported a DAA-LAAA patient with VSD through right subaxillary minithoracotomy. It is feasible to divide DAA at 3<sup>rd</sup> intercostal space. By switching to 4<sup>th</sup> intercostal space, we established CPB and VSD repair with enough space.

The lung injury is common but not serious in the postoperative period. The younger infant patients with early respiratory symptoms may present a high risk of ventilator-associated lung injury and pneumonia after operation [6]. The DAA symptoms scores can help us to investigate symptoms effectively, and it can reflect serious compression of airway and esophagus with higher scores. Primary airway malacia is also not rare among the infants, with an estimated incidence of at least 1 in 2100 [7]. It can be evaluated by the cCTA. We should pay more attention to the DAA patients with serious airway symptoms in the preoperative period, because they may tend a high risk of respiratory complication during postoperative period.

## 5 Conclusion

At our center, subaxillary minithoracotomy pathway is a routine approach for infant patients with congenital heart diseases, such as atrial septal defect, VSD, patent ductus arteriosus, partial anomalous pulmonary venous connection, Tetralogy of Fallot and so on [8]. We aim to pursue a better pathway for infant patients which is characteristic with cosmetic incision, less injury and economical treatment. Minimal subaxillary thoracotomy is a safe pathway for DAA-LAAA treatment. And it was also good choice for DAA-LAAA with intracardiac anomalies. DAA symptoms scores is an effective method to assess compression status of airway and esophagus for infants. MIST is a safe, feasible and economical approach with good results in short-term.

**Authors Contribution:** Qi Liu: Data collection, manuscript redaction; Shoujun Li: Funding acquisition, supervision, visualization; Zhongdong Hua: Conceptualization, supervision, visualization.

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**Conflicts of Interest:** The authors declare that they have no conflicts of interest to report regarding the present study.

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