

A New Reward System Based on Human Demonstrations for Hard Exploration Games

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Abstract: The main idea of reinforcement learning is evaluating the chosen action depending on the current reward. According to this concept, many algorithms achieved proper performance on classic Atari 2600 games. The main challenge is when the reward is sparse or missing. Such environments are complex exploration environments like Montezuma's Revenge, Pitfall, and Private Eye games. Approaches built to deal with such challenges were very demanding. This work introduced a different reward system that enables the simple classical algorithm to learn fast and achieve high performance in hard exploration environments. Moreover, we added some simple enhancements to several hyperparameters, such as the number of actions and the sampling ratio that helped improve performance. We include the extra reward within the human demonstrations. After that, we used Prioritized Double Deep Q-Networks (Prioritized DDQN) to learning from these demonstrations. Our approach enabled the Prioritized DDQN with a short learning time to finish the first level of Montezuma's Revenge game and to perform well in both Pitfall and Private Eye. We used the same games to compare our results with several baselines, such as the Rainbow and Deep Q-learning from demonstrations (DQfD) algorithm. The results showed that the new rewards system enabled Prioritized DDQN to out-perform the baselines in the hard exploration games with short learning time.

Keywords: Deep reinforcement learning; human demonstrations; prioritized double deep q-networks; atari

1 Introduction

Reinforcement learning (RL) achieved many successes in sequential decision-making problems by adopting the Markov Decision Process (MDP). A famous example of these successes is the DQN agent [1] that can play Atari games and score higher than the human player in many of them. Also, RL achieved many successes in hard-exploration domains such as Montezuma's Revenge and Pitfall games, in which the rewards are sparse or missing. There are many works such as DQfD [2], LFSD [3], and Go-explore [4] that used human demonstrations to cover the problem of spared reward and providing state-of-art in these games.



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Many difficulties and limitations on the algorithms that worked to solve hard-exploration environments made them inappropriate and inapplicable. One of these problems is complexity. All previous approaches suggested very complex algorithms and techniques that made it challenging to apply and get the same results. The second problem is the learning time which requires reaching the required performance. In DQfD, they trained their agent with 200 million steps for each game. LFSD was needed over two weeks to get the reported result. The last problem is the complexity of the hardware required to implement these approaches. LFSD used 128 GPU devices to run their system. Go-explore used sixteen GPUs per run. For these reasons, we wanted to build a simple system that provides good performance in hard-exploration domains. Furthermore, the system must be easy to implement and require short learning time with simple devices.

In this work, we used independent rewards that provide feedback to the agent even when the environment rewards miss or rare. The environment's reward was deprecated. These new rewards are simply covering the problem of sparse and missing rewards. The external rewards implicitly within the demonstrations. The human demonstrations range from 6,107 to 27,908 transitions. Our agent learns from a small demonstration compared to other similar work. As DQfD learns from 10,475 to 35,347 transitions on similar games, AlphaGo [5] learns from 30 million human transitions. We used Prioritized DDQN, a classical RL algorithm, to learn from these demonstrations. The Prioritized DDQN agent calculates its target network using the Double DQN (DDQN) algorithm and selecting its experience using a prioritized experience replay (PER) mechanism.

Also, we showed that the agent's performance could be enhancement with simple changes in the game features and algorithms hyper-parameters. For game features, we reduced the number of the action in the action space. For the hyper-parameters, we increased the ratio of the importance samples while selecting the examples from the experiences. These two simple enhancements greatly affected the agent's performance and improved its results.

We tested our agent on five different games: Montezuma's Revenge, Pitfall, Private Eye, Pong, and Breakout. The performance of our agent in hard-exploration games out-performs pure reinforcement learning algorithms DQN, DDQN, Prioritized DDQN, Dueling DDQN, Distributional DQN, Noisy DQN, and Rainbow. In addition, our agent out-performs the pre-training phase in DQfD on all five games.

2 Related Work

Learning from demonstration is essentially concentrated on learning the demonstrator performance. One of the popular algorithms in this field is DAGGER [6]. DAGGER repeatedly designs new policies depending on polling the demonstrator policy outside its original state space. That leads to no regret over validation data in the online learning sense. DAGGER asks the demonstrator to give additional feedback to the agent, which means it requires the demonstrator to be available during training. In addition, it does not learn to improve beyond the demonstrator. Deeply AggreVaTeD [7] allows DAGGER to work with continuous robot control and dependency parsing on raw image data by adding deep neural networks and continuous action spaces. Deeply AggreVaTeD requires the administrator to be available during the training to provide action and value function as DAGGER does. Similar to DAGGER, Deeply AggreVaTeD cannot learn to improve upon the demonstrator.

Unlike learning from demonstration, reinforcement learning allows the agent to explore in the environment and learn from trial and error without the need for the demonstrator. Many RL agents successfully built to learn how to play Atari games. The first deep RL agent was introduced by [8]. The agent learned control policies to play six different games from game frames and scores only. After that, [1] introduced a notable RL agent, known as DQN, that can play 49 different Atari games and score in many of them higher than the super-human. The DQN saves the previous experience as a dataset and uses them in training the convolutional neural networks. This strategy alleviated the correlation and led to superior performance.

Later works added many improvements to the DQN to enhance the agent's performance. Reference [9] proposed adapting the DON algorithm to reduce the O algorithm's overestimation and increase stable and reliable learning. Their approach is DDQN and used the existing architecture of the DQN algorithm and the same number of parameters. Reference [10] proposed a new method for sampling the previous experiences in DQN. Rather than uniformly sampling, PER proposed to reply to importance transitions more frequently and learn more efficiently. Reference [11] proposed a new architecture, Dueling Network, for the neural network instead of the architecture used in all previous works. The dueling network contains two estimators instead of one estimator in DQN architecture. One of these estimators for the state, and the other is for the action taken in that state. If the state is not valuable, there is no reason to learn the effect of each action at each state. A3C [12] presented asynchronous four standard reinforcement learning algorithms and showed that parallel actor-learners stabilize training, allowing all four methods to train neural network controllers successfully. Distributional Q-learning [13] learns a categorical distribution of discounted returns instead of estimating the mean. Noisy DQN [14] uses stochastic network layers for exploration. Finally, Rainbow [15] combined all six previous extensions of the DQN algorithm and examined all these extensions' performance.

Despite these enhancements, the RL agent is still performing poorly in environments where the feedback is unavailable or intermittent. Generally, the RL agent learns good policies in many games where there is feedback from the environment. Many environments do not come with such features. Montezuma's Revenge and Pitfall are good examples of such environments. In these games, the game reward comes after performing a sequence of actions. For covering this gab, many works suggested using human demonstrations in the learning. Human demonstrations provide many other advantages like reducing the training time and solve problems that do not come with accurate simulators.

DQfD [2] introduced a deep RL agent that uses human demonstrations to learn to play Atari games. Their algorithm accelerated the learning process and automatically assessed the necessary ratio of demonstration data. Also, DQfD achieved acceptable results in sparse rewards environments. Reference [16] train an agent to accomplish the same states seen in a YouTube video of the game, where [17] combines a sophisticated version of Q-learning to maximize the likelihood of actions taken in a demonstration. The LFSD [3] also proposed using the human demonstration to cover the sparse rewards problem in Montezuma's Revenge games by starting their agent from demonstration states rather than from the game standard start states. The aim of this process is to breaks down the complex exploration problem into a curriculum of subtasks. The Go-explore [4] agent played well in Montezuma's Revenge and Pitfall games by remembering the state it visited. The agent is starting the exploration from a new promising state. After that, it is building the policy by imitation learning.

3 Background

Learning from demonstrations consists of providing examples of the optimal behavior (actions). The agent is learning from these demonstrations to behave like the demonstrator even in new situations. The demonstrating agent is called the expert, and the learning agent is then called

the apprentice. This model has already been applied in several fields such as robotics. Generally, the learning from demonstrations paradigm is placed in the Markov decision process (MDP) framework. Here the agent solving the problem using a finite set of demonstration instead of the data generated by itself. The type of guidance used in learning from demonstration is very different from the one used in RL, which depends mainly on the trial and error [18].

The RL agent must select the correct action among many actions. The main key point in an RL model that there is no dataset for learning, so there is no answer key. The RL agent must learn from his own experiences. The only way to evaluate an action is the reward provided by the environment. The standard MDP becomes a framework for solving RL problems with discrete actions. The main components of MDP are S, A, R, and S', where S is a set of states representing the agent's current position. A is a set of possible actions that the agent can perform in the environment. Depending on the selected action, the environment feedbacks the agent with reward R and transfers the agent from the current state to the next state S'.

The main goal of the agent is to maximize the total reward. For example, in video games, the total reward accumulates spread rewards from the begging of the game to the final state (game over). The total reward for one game is

$$R = r_1 + r_2 + \dots + r_n \tag{1}$$

The agent must choose the action which returns the highest reward each time. Regarding the randomness in the environments, a discount factor, $\gamma \in [0, 1]$, is usually used to reduce the reliance on future rewards [19]. Therefore, the maximum reward is calculating by:

$$R_t = r_t + \gamma^1 r_{t+1} + \gamma^2 r_{t+2} + \dots + \gamma^{n-t} r_n$$
(2)

To define the maximum future reward as a function of the current state and the action taken in that state, we can use Q-learning [20]. Now the problem of maximizing the total reward is to solve the Bellman equation [2]:

$$Q^{*}(s,a) = E\left[R(s,a) + \gamma \sum_{s'} P(s' \mid s,a) \max_{a'} Q^{*}(s',a')\right]$$
(3)

where a' refers to the next action, Q^* is the optimal value function that provides maximal values in all states. This equation performed using traditional dynamic programming algorithms. In environments like video games, the state size is large and requires more than one state to represent the movement directions and speed of the environment objects. DQN [1] solved this problem by using a deep convolutional neural network. The input is the screen states and outputs the q-value for each action in the action space. The mean two features that made the DQN agent work are the separate target network and saving experiences as samples used later in training.

The DDQN update [9] covered the overestimate, which occurs in action values using the online network to select the action and estimate its value by the target network. The DDQN target is:

$$J_{DQ} = R(s,a) + \gamma Q\left(s_{t+1}, a_{t+1}^{max}; \theta'\right)$$

$$\tag{4}$$

$$a_{t+1}^{max} = \operatorname{argmax}_a Q\left(s_{t+1}, a; \theta\right) \tag{5}$$

where θ is the weights of the online network, θ' is the weights of the target network. PER [10] enabled the DQN agent to select the important samples more frequently. These samples are the

(6)

samples that do not fit well with the current estimate of the DQN. These are the samples that the DQN can learn most from them. Each sample will contain an error. The error is the distance between the current network output and the target output. The samples and their errors will store in the agent's memory, and the errors will update with each learning step. Proportional prioritization is an approach from PER. In proportional prioritization, the error converted to the priority depending on this formula:

$$p_s = (error + \epsilon)^{\alpha}$$

where epsilon \in is a positive number with a minimal value to ensure each sample will assign to a positive value. The alpha α is a value between 0 and 1. The alpha controls the ratio of prioritization used. When α equals 0, it means that the samples will select by randomness. The formula to calculate the probability of being chosen from the error of the sample:

$$P_s = \frac{p_s}{\sum_k p_k} \tag{7}$$

4 Our Agent

The previous RL agents required a long training time until starting to perform an acceptable behavior. That behavior needs additional learning to be suitable to be used in the real world. This training time sometimes becomes more expansive than the benefit of the application. In addition to these disadvantages, the RL agents require complex algorithms and techniques to learn in environments where the rewards are missed or rare. The goal of our agent is to learn fast using simple methods to play complex exploration games. Using simple techniques requires feedback from the environment to enable the agent to evaluate the selected action. To make that possible in the complex exploration environments, we supplied our agent with an external reward and ignored the environment feedback.

The external rewards gave to the selected action and the other actions in the action space. These rewards would give realistic values to all state actions even if the demonstration did not cover all state space. The realistic values prevent the network from update toward ungrounded variables. To implement that, in building the demonstrations, we selected only the correct action and rewarded it with 1, and gave the rest actions in the action space rewards equal to 0.

As we mentioned before, we use simple and already used methods in implementing our agent. We used the Convolutional neural network CNN from DQN [1]. The CNN contains three convolutional layers and two fully connected layers with a single output for each action. We trained the agent with two different sizes of input: four states (St-3, St-2, St-1, St) and two states (St-1, St). The information with two states enables the agent to perform well but not like the four states. Also, we trained the agent with a few action numbers for environments that contain many useless actions. For example, it is enough to play Montezuma's Revenge game with eight actions only rather than playing it with eighteen actions. Action's curtailments helped the agent to learn faster and perform better.

For calculating the target value, we used the DDQN algorithm. After calculating the target value, we can find the loss function by applying this equation:

$$J_Q = \left(J_{DQ} - Q(s, a; \theta)\right)^2 \tag{8}$$

The second part represents the current output of our model. Finally, we used the proportional prioritization approach to select the samples from the demonstration data to the mini-batches. In

previous works, they sampled the important samples with different ratios. In [10], they sampled the important samples with 60 percent only ($\alpha = 0.6$). In Rainbow [15], they sampled the important samples with 50 percent only ($\alpha = 0.5$). DQfD [2] sampled the important samples with 40 percent only ($\alpha = 0.4$). These percent increase annealed linearly to reaching 100 percent, which means selecting all samples with priority. We selected the important samples with 90 percent ($\alpha = 0.9$) and only 10 percent at random for our agent. Also, we increased this percent in the same way. The reason to select $\alpha = 0.9$ is that all the samples in the demonstration are important to us, and we want to learn from all of them. We compared between $\alpha = 0.9$ and $\alpha = 0.6$ in one game. Both the 90 percent and 60 percent allowed our agent to score the same max score but with different training times. The pseudocode of our agent is showing in Algorithm 1.

Algorithm 1:

1: Input: D^{buffer}: empty buffer for storage of the demonstrations. θ : weights for initial behavior network (random), θ' : weights for target network (random), τ : frequency at which to update target net, st: human demonstrations steps. k: number of training gradient updates.

2: for steps $t \in \{1, 2, \dots, st\}$ do

- 3: Select action by the human player.
- 4: Play action a on state s and observe (s').
- 5: Set the reward of the selected action with r = 1 and the rest with r = 0.
- 6: Store (s, a, r, s') into D^{buffer} .
- 7: $s \leftarrow s'$

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8: end for.
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- 9: for steps $t \in \{1, 2, ..., k\}$ do
- 10: Sample a mini-batch of n transitions from D^{buffer} with prioritization.
- 11: Calculate loss using the target network.
- 12: Perform a gradient descent step to update θ .
- 13: if t mod $\tau = 0$ then $\theta' \leftarrow \theta$ end if
- 14: **end for.**

5 Our Agent

We now describe the demonstration, hyper-parameters, and setup used for evaluating our agent.

5.1 Demonstrations

We built our agent to play all Arcade Learning Environment (ALE) [21] games. The ALE becomes a standard benchmark for RL agents after using it by DQN. Our agent plays the Atari games from a downsampled 84×84 image of the game screen converted to grey-scale as in previous works. The scores reported are the scores in the Atari game, regardless of how the agent represents the reward internally.

As we mentioned before, we tested our agent on five different games: Montezuma's Revenge, Pitfall, Private Eye, Pong, and Breakout. The human demonstrations are generated by playing the game and selecting the actions directly from the keyboard. In each step, the environment waits for us to choose an action that allows us to select the correct actions only without the need for a super player. We provide demonstrations for each game to our algorithm that we recorded by playing the game. For episode number, total steps, and scores obtained in our demonstration for each game, see Tab. 1.

Game	Best	Worst	Transitions	Episodes
Montezuma's revenge	32000	30000	15900	5
Pitfall	35711	3971	27908	5
Private eye	20700	20300	8636	5
Breakout	79	17	10289	9
Pong	1	-1	6107	3

Table 1: The demonstration episodes number, total steps, and scores for each game

5.2 Evaluation Methodology

The previous works evaluated their agents in two ways. The first way is evaluating the agent during training to find the best agent snapshot, such as in [1,9], and [15], where they are suspending the learning after every one million steps and evaluated the last weights for 500K steps. The second way [15] is by taking the best agent snapshot and re-evaluated it by testing it on many episodes and taking the average game score in all episodes.

In this paper, we trained our agent for 250K steps only. We saved and updated the weights after each 10K steps. At the end of the training, we have 25 different weights. To find the best agent snapshot (best weight), we test each weight for each game on ten episodes, and we took the maximum and average score for these ten episodes. Result section showing the maximum and the average score per weight for each game.

5.3 Comparison to Published Baselines

We compared our results to the popular baseline published algorithms: DQN, DDQN, Prioritized DDQN, Dueling DDQN, Distributional DQN, Noisy DQN, and Rainbow. It is impossible to train and test all these algorithms on our devices due to the hardware features and training time requirements. To achieve a fair comparison, we followed the same evaluation settings of the published results that we took from [15]. Also, we compared our agent with the phase one agent from the DQfD algorithm on the same games with the same demonstrations. Many reasons enabled us to train and test the agent from DQfD phase one:

- The agent is learning from human demonstrations only in phase one.
- The training time is 750,000, which is a short time compared with other baselines requiring about 200 million steps.
- The memory size requires during the training is small. During phase one, only the demonstrations need to store. On the other baselines, they kept 1 million samples which impossible to implement with our device.

5.4 Hyper-Parameters

Here are the parameters used for our algorithm. For the DQfD agent, we followed the parameters in the original paper.

• Pre-training steps k = 250,000 mini-batch updates.

- Batch size n = 32.
- $\gamma = 0.99$.
- Prioritized replay importance sampling exponent $\alpha = 0.9$.
- Prioritized replay constants $\epsilon = 0.01$.
- Target network update period $\tau = 10,000$.
- RMSprop learning rate = 0.00025.
- Target network update frequency = 10000.

Finally, the machine which we used to train algorithms is simple. It contains 6 CPU cores, 16 GB of RAM with a single GPU device. The GPU device is Nvidia GTX 1060 (6 GB memory).

6 Result and Discussion

First, we compared the performance of the agent with and without the enhancements that we added. For enhancements comparison, we used Montezuma's Revenge game only. We have three different comparisons: eighteen vs. eight actions number, 0.6 PER vs. 0.9 PER, and four states vs. two states input size. Fig. 1 showing the results of testing the agent with eight actions and eighteen actions. The maximum score for the eighteen actions is 12,100, and the agent could not finish the first level compared with the eight action results. Fig. 2 shows the results of testing the agent by selecting important samples with 0.9 and 0.6 rates. The agent with 90 percent scored the maximum reward after only 50,000 updates, while it requires 120,000 updates to achieve it with 60 percent. Finally, Fig. 3 shows the difference between using four states and two states as an input to CNN. It is common to use four frames to represent the state of the games in all RL works. The four states can determine the direction and the speed of the objects on the screen. It is successful to use only two frames to represent the state of the game. The agent can play the game, scoring very acceptable results but with a maximum score of 12,100.

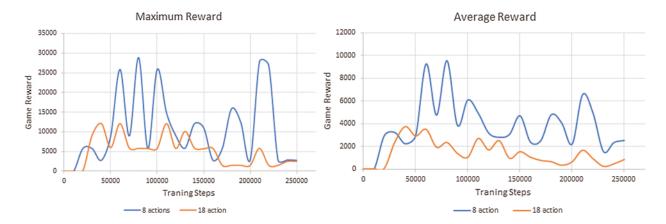


Figure 1: Different between 8 actions and 18 action results. The left plot shows the maximum scores. The right plot shows the average scores

Figs. 4–8 shows the maximum and average reward for our agent on Montezuma's Revenge, Pitfall, Private Eye, Breakout, and Pong games with eight actions, 0.9 PER, and four input states. The Pong contains six actions, and the Breakout game has four actions only. So, it is unnecessary to reduce their action number.

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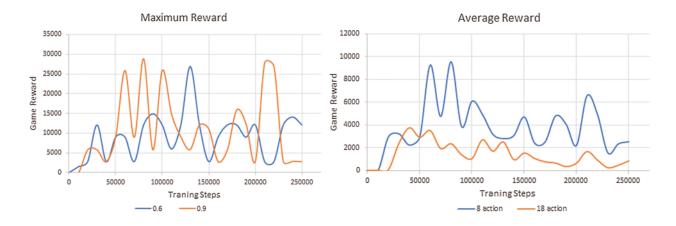


Figure 2: Different between 0.9 PER and 0.6 PER results. The left plot shows the maximum scores. The right plot shows the average scores

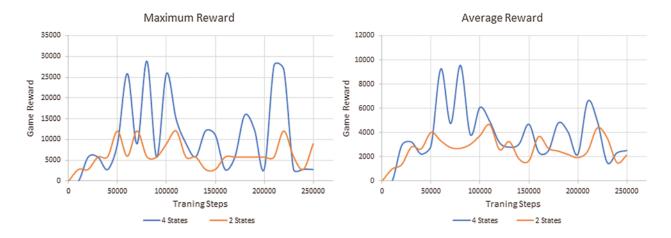


Figure 3: Different between input with four states and two states results. The left plot shows the maximum scores. The right plot shows the average scores

In Montezuma's Revenge game, our agent required only 80,000 steps of training to its maximum score of 28,900. This score is meaning that the agent successfully finished the first level of the game. The weight with 80,000 training steps is the best snapshot of the agent in Montezuma's Revenge game. In the Pitfall game, our agent has a more stable performance. The agent required only 60,000 steps of training time to its maximum score of 7782. The weight with 60,000 training steps is the best snapshot of the agent in the Pitfall game. In the Private Eye game, the performance of the agent is excellent. The agent required only 40,000 steps of training time to scoring even higher than the demonstration score. In demonstrations of the game, the higher score was 20,700. The higher score of the agent is 28,374. Also, each weight of the 25 weights can score the maximum score of the demonstrations. The weight with 40,000 training steps is the best snapshot of the agent is 28,374.

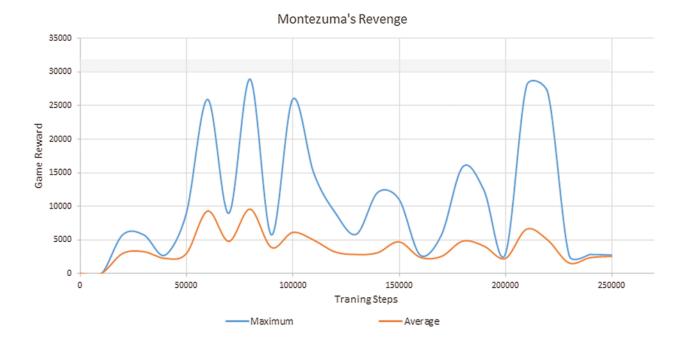


Figure 4: Maximum and average scores for our agent on Montezuma's revenge game. The gray area represents the minimum and maximum scores of the demonstrations used in training

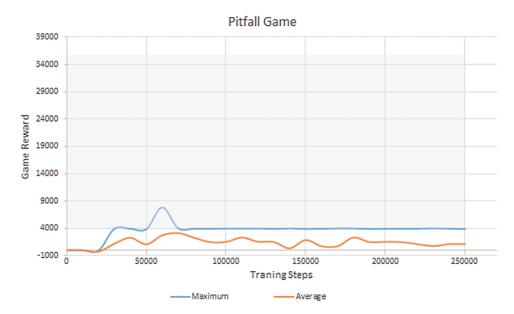


Figure 5: Maximum and average scores for our agent on the Pitfall game. The gray area represents the minimum and maximum scores of the demonstrations used in training

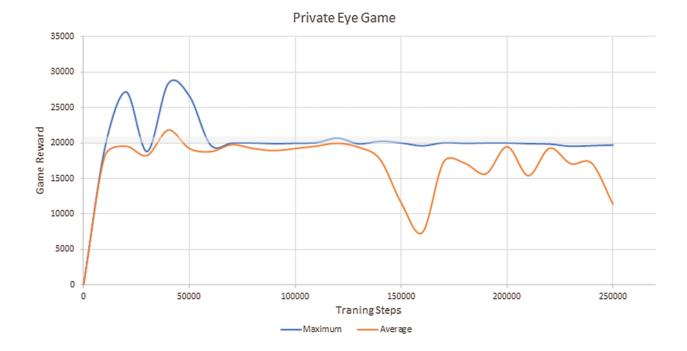


Figure 6: Maximum and average scores for our agent on the private eye game. The gray area represents the minimum and maximum scores of the demonstrations used in training

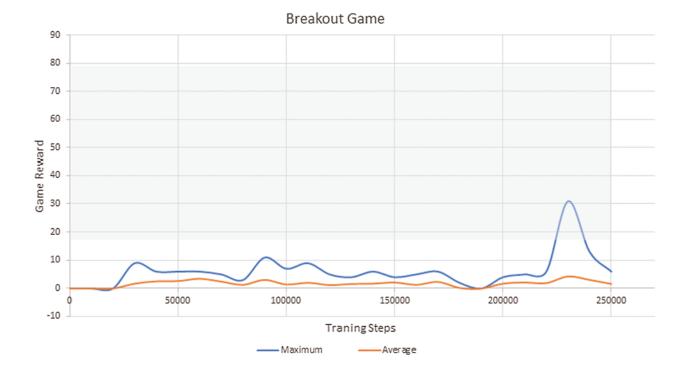


Figure 7: Maximum and average scores for our agent on the breakout game. The gray area represents the minimum and maximum scores of the demonstrations used in training

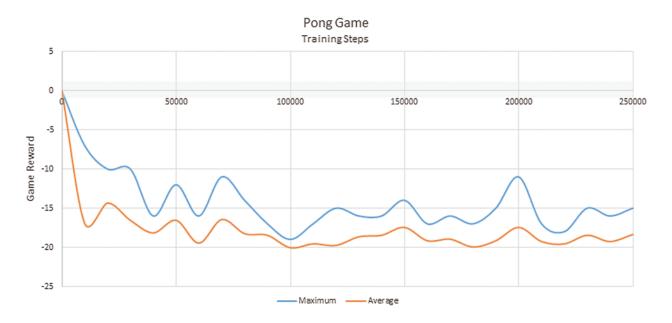


Figure 8: Maximum and average scores for our agent on the pong game. The gray area represents the minimum and maximum scores of the demonstrations used in training

The performance of our agent is not good in environments where the reward available. We trained and tested our agent on two popular games, Breakout and Pong. The classical RL algorithms achieved perfect performance in these environments. Unlike the hard-exploration games, the states of these two simple environments changing depending on each action, and it is impossible to cover all these states with the demonstrations. However, our agent's performance is good compared to the duration of training and demonstration size. For the Breakout game, our agent scored 31 as a maximum score with 240,000 steps of training. The weight with 240,000 training steps is the best snapshot of the agent in the Breakout game. For the Pong game, our agent scored -10 (our agent scored 11 from 21 total scores) after 20,000 steps of training. The weight with 20,000 training steps is the best snapshot of the agent in the Breakout game.

As we mentioned before, we compared our results to the popular baseline published algorithms: DQN, DDQN, Prioritized DDQN, Dueling DDQN, Distributional DQN, Noisy DQN, and Rainbow. Also, we compared our agent with the DQfD agent (phase 1) that we trained in our device with the same training period in the original paper (750,000 steps). To achieve a fair comparison, we followed the same evaluating procedure of the published results that we took from [15]. We test our best agent snapshot that we obtained previously and the DQfD agent that we trained on 200 episodes, and we started each episode with up to 30 no-op actions. For results, see Tab. 2.

Our agent out-performs all the other baselines in all hard explore environments that we selected. Of course, the average results of the 200 episodes were lower than the average of the ten episodes shown in previous Figs. 4–8. Due to the 30 no-op actions and the number of the episodes. The DQfD agent out-performs the baseline performance in the Private Eye game only. The score of the DQfD agent published in their manuscript is much higher than the results shown in Tab. 2. The reason is that we trained the DQfD agent for 750,000 steps only for each game while they reported their results after 200 million steps of training.

Table 2: No-op starts evaluation regime: Raw scores across all games averaged over 200 testing episodes from the agent snapshot that obtained the highest score during training. We report the published scores for DQN, DDQN, prioritized DDQN, dueling DDQN, distributional DQN, Noisy DQN, and rainbow. For DQfD and our agents, we report our evaluations of the agents

Game	Montezuma's revenge	Pitfall	Private eye	Pong	Breakout
DQN	0.0	-286	146	19.5	385.5
DDQN	0.0	-29.9	129	20.9	418.5
PDDQN	0.0	0.0	200	20.7	381.5
Duel DDQN	0.0	0.0	103	21.0	345.3
Distrib DQN	384	-2.1	15172	20.8	612.5
Noisy DQN	206	-18.2	3966	21.0	459.1
Rainbow	384	0.0	4234	20.9	417.5
DQfD	206	265	8438	-19.5	1.99
Our agent	5035	2034.3	23152	-14.5	2.39

Our agent performance is not good in simple environments comparing with the baselines. However, it is still providing better performance than the DQfD agent. DQfD agent has needed 200 million steps of training to achieve good performance in such games.

7 Conclusion

The traditional RL algorithms faced a grand challenge in hard-exploration environments. The alternative algorithms were complex and hard to implement. This work proposes a new approach that enables the Prioritized DDQN, a traditional RL algorithm, to perform well in such environments. The main idea of our approach is to cover the problem of missing rewards by implying external rewards in human demonstrations and using those demonstrations to train the RL algorithm. The result showed the ability of the Prioritized DDQN algorithm with the external rewards to play different hard-exploration environments. Furthermore, the new approach reduces training time and requires simple machines to be implemented compared with previously published approaches.

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