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#### ARTICLE

# A New Approach for Structural Optimization with Application to Wind Turbine Tower

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# ABSTRACT

This work takes the bionic bamboo tower (BBT) of 2 MW wind turbine as the target, and the nondominated sorting genetic algorithm (NSGA-II) is utilized to optimize its structural parameters. Specifically, the objective functions are deformation and mass. Based on the correlation analysis, the target optimization parameters were determined. Furthermore, the Kriging model of the BBT was established through the Latin Hypercube Sampling Design (LHSD). Finally, the BBT structure is optimized with multiple objectives under the constraints of strength, natural frequency, and size. The comparison shows that the optimized BBT has an advantage in the Design Load Case (DLC). This advantage is reflected in the fact that the overall stability of the BBT has increased by 2.45%, while the displacement of the BBT has decreased by 0.77%. In addition, the mass of the tower is decreased by 1.49%. Correspondingly, the steel consumption of each BBT will be reduced by 2789 Kg. This work provides a scientific basis for the structural design of the tower in service.

# **KEYWORDS**

Wind turbine tower; bionic bamboo tower; correlation analysis; multi-objective optimization

# **1** Introduction

Tower is the principal supporting component of the wind turbine, which structural strength will directly affect the power generation efficiency of the wind turbine. As the tower tends to towering development, and the manufacturing cost of the tower keeps increasing, higher requirements are put forward for the structural design of the tower in service [1,2].

The strength and manufacturing cost of the tower is mainly determined by its structural parameters [3]. Traditionally, in the design of tower structures, designers mainly adopt the test-error correction method. The design results depend on experience, and it is difficult to achieve the desired effect of optimal design [4]. Modern optimization methods are widely used in the structural design of towers [5–7]. Applying the improved gradient method to the optimal design of structural parameters of towers, more reasonable tower masses can be obtained [8,9]. The Taboo search algorithm is used to explore the variation pattern between the structural parameters and the mass of the tower. By optimizing the structural parameters of the tower, manufacturing costs can be reduced [10].



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Similarly, combining different optimization algorithms with finite element analysis (FEA) can lead to reasonable optimization solutions [11]. Subsequently, a global optimization method applicable to complex situations is proposed by combining FEM numerical analysis and genetic algorithm while considering parameters such as height and diameter of the tower [12]. FEA can also be performed for towers of different materials and geometries to optimize the design based on a multi-level decision scheme [13]. The support vector machine method combined with FEA is used for the lightweight design of the tower, which effectively improves the economy of the tower [14]. In addition, the bionic design with palm and bamboo as biological prototypes can also effectively improve the performance of the tower [15,16]. However, it should be noted that existing studies have mainly focused on single-objective optimization. The multi-objective optimization of the tower combined with extreme conditions needs to be further explored.

This work presents an effective approach to minimize the mass of the BBT and improve its safety simultaneously. The task obtains a set of reasonable structural parameters through NSGA-II. Correspondingly, it is verified by using the FEA that the optimum proposals are possible and effective. Ultimately, this work achieved the multi-objective optimization of the BBT, which provided a reference for the tower's structural design.

#### 2 BBT Structure

In the previous work, our team proposed the BBT structure [17]. It was applied to the 2 MW tower by mechanical structure bionic method. Further, this work optimizes the structure of the BBT to enhance its performance.

The BBT's main body is composed of 6 sections of the tower tube connected by flanges. Fig. 1 depicts the geometrical structure parameters of the BBT. Its bottom outer diameter is 4200 mm, and bottom inner diameter is 4116 mm, top inner diameter is 2977 mm, and top outer diameter is 3005 mm. It has a hub height of 80000 mm.

# 2.1 Material

It is made from hot-rolled steel which is welded together circumferentially and longitudinally. Flanges connect the beginning and the end of each section. The BBT, in this case, is made of Q345FT, with a modulus of elasticity  $E = 2.06 \times 10^{11}$  MPa, a yield strength  $\sigma = 345$  MPa, and density  $\rho = 7850$  kg/m<sup>3</sup>.

#### 2.2 Finite Element Model

To investigate the effect of structural parameters on the deflection of BBT, its finite element model was established. Specifically, the mesh size was determined to be 400 mm by mesh convergence verification, and 70041 cells and 142,243 nodes were obtained. Hence, more computing resources are devoted to solving analytical problems. In addition, the Multi-point constraints are used at flange connection surfaces. Finally, a concentrated mass point is adopted instead of the cabin and wind wheel.



Figure 1: The BBT configuration

# 2.3 Ultimate Loads

In general, the possibility of structural damage to the tower is higher under extreme operating conditions [14]. According to the environment of the wind farm, it is known that strong winds often occur when the tower works. Therefore, in this work, the static properties of the BBT were investigated for three different extreme conditions (DLC1.3, DLC3.2, DLC6.1) [18]. The loads for these three conditions are obtained from GH-Bladed, which are shown in Table 1.

Table 1. Ottimate loads				
Conditions	DLC1.3	DLC3.2	DLC6.1	
$M_x/kN\cdot m$	-4924.9	2628.9	-3328.4	
$M_y/kN\cdot m$	-116.2	-561.5	-868.5	
$M_z/kN\cdot m$	678.9	338.8	889.4	
$F_x/kN$	19.2	-84.9	-511.2	
$F_y/kN$	-13.3	429.8	8.32	
$F_z/kN$	1056.2	-1231.7	1425.8	

Table 1: Ultimate loads

## **3** Static Properties

Obtaining the response of the BBT is a prerequisite for optimal design under the initial structural parameters. Furthermore, the structural parameters directly affect stress, displacement, inherent frequency, and fatigue life. Therefore, the present work applies FEA to investigate the stresses and displacements of the tower in extreme conditions. Ignoring the effects of their frequencies and fatigue.

Specifically, a Q345FT is assigned to the material properties of the BBT structure. The coordinate system of the top of the BBT is established [19]. To avoid an even more refined mesh, the relative mesh of 400 mm is chosen for the upcoming tests. Therefore, more computational resources on solving the analysis. In addition, the total assembly model consists of hexahedral and tetrahedral meshes, and 70317 cells and 144707 nodes are obtained after mesh convergence verification. The bonded is used at the flange connection, and the flange connection surface is in the form of Multi-point constraints (MPC). Finally, the cabin and wind wheel are simplified to centralized mass points coupled to the top of the BBT by the rigid body, and three wind condition download load is adopted to the BBT, as well as taking into account the impact of the overall gravity of the tower. According to the above steps, the stress and displacement are listed in Table 2.

 Table 2: Static properties

	Stress/MPa	Displacement/mm
DLC1.3	54.99	129.30
DLC3.2	94.43	465.30
DLC6.1	116.98	715.76

In Table 2, it can be noticed that the static response of the BBT is maximum under the DLC6.1. To achieve a better optimization effect, DLC6.1 is taken as the target condition. According to the material safety factor, the maximum permissible stress of the BBT can be obtained as 313.6 MPa [20]. On the other hand, from Table 2, it can be seen that the BBT still has a large margin in stress response. Consequently, it is not considered as the optimization target. In addition, the displacement reaches 715.76 mm, which may affect the wind turbine's safety, so that it will be taken as the optimization target.

#### **4** Correlation Analysis

In practical optimization, if all the structural parameters of the BBT are used as design variables, the number of sample points will be enormous. Thus, correlation analysis was used to screen the parameters that retained a significant degree of influence on the mass and displacement. The sample size can be reduced, and the solution accuracy can be improved by eliminating the parameters with less impact.

In the work, the input is the BBT's structural parameter and the output is the response of the stress and mass of the BBT. Specifically, the correlation coefficient is denoted as r.

$$r = \frac{\sum \left(X - \bar{X}\right) \left(Y - \bar{Y}\right)}{\sqrt{\sum \left(X - \bar{X}\right)^2} \sqrt{\sum \left(Y - \bar{Y}\right)^2}} \tag{1}$$

$$= \frac{\sum xy}{\sqrt{\sum x^2}\sqrt{\sum y^2}}$$
$$= \frac{S_{xY}}{S_x S_y}$$

In Eq. (1),  $x = X - \bar{X}$ ,  $y = Y - \bar{Y}$ ;  $S_{XY}$  is the total variation of the sample,  $S_X$  is the sample standard deviation of X, and  $S_Y$  is the sample standard deviation of Y.

The structural parameters include the diameter d, thickness t, and height h of each segment. For optimization, the total height of the BBT is mainly determined by the working environment and the installed capacity of the wind turbine, so the value is considered as a constant. Considering that the top of the tower coupled with the cabin and the bottom fits with the fundamental ring. Therefore, the first section's bottom diameter and the sixth section's top diameter are regarded as constants. In addition, the first section is a thin-walled structure with uniform thickness, which means that the bottom diameter is the same as the top diameter, so the top diameter of the first section is set as a constant.

The correlation coefficient reflects the degree of correlation between the parameter and the response. Based on the correlation analysis, 4 parameters were filtered out, the most relevant in each response, and the values were listed in Table 3.

Parameter	Displacement	Parameter	Mass
$r_2$	-0.574	$t_1$	0.329
$r_1$	-0.502	$r_1$	0.323
<i>r</i> <sub>3</sub>	-0.376	$t_8$	0.260
<i>r</i> <sub>4</sub>	-0.137	$t_7$	0.248

 Table 3: Correlation coefficient

According to Tables 3 and 4 parameters with the most significant absolute values were screened as design variables to reduce the sample point size. Namely, the top diameter of the third section  $r_2$ , the top diameter of the second section  $r_1$ , the top diameter of the fourth section  $r_3$ , and the bottom thickness of the first section  $t_1$  were used as the optimized design variables.

Table 4: Sample points of the LHSD

	$t_1/\text{mm}$	$r_1/\text{mm}$	$r_2/\text{mm}$	<i>r</i> <sub>3</sub> /mm	M/Kg	<i>D</i> /mm
1	42.000	1926.522	1705.041	1703.562	166789.0	789.28
2	43.344	2010.270	1893.951	1690.974	175639.2	710.20
3	37.968	1950.450	1944.327	1615.446	166034.2	770.16
• • •		•••		•••	•••	
21	46.032	1938.486	1931.733	1741.326	175754.5	715.36
22	39.984	1842.774	1730.229	1766.502	161758.6	848.09
23	44.016	1806.882	1843.575	1753.914	165831.3	847.38

### 5 Multi-Objective Optimization

The optimization variables were determined based on correlation analysis. Furthermore, the Kriging model, LHSD, and NSGA-II were combined to achieve multi-objective optimization of the BBT structure.

#### 5.1 Kriging Model

Kriging model consists of two parts: regression model and correlation model, which has high fitting accuracy, and its mathematical model is shown in Eq. (2).

$$Y = \beta F(s) + z(s) \tag{2}$$

In which F(s) is the known regression model,  $\beta$  is the corresponding regression coefficient, and  $\beta F(s)$  forms a fit to the design space. In addition, z(s) is the correlation function, representing the design space's approximation of local deviations. Correspondingly, the covariance between  $z(s_i)$  and  $z(s_i)$  can be described as:

$$\operatorname{cov}\left[z\left(s_{i}\right), z\left(s_{j}\right)\right] = \sigma^{2} R\left[r\left(s_{i}, s_{j}\right)\right]$$
(3)

where *R* is the correlation coefficient matrix.  $r(s_i, s_j)$  is the distance function between sample points  $s_i, s_j$ , which indicates the spatial correlation between different variables, and its Gaussian function is expressed as:

$$r\left(s_{i}, s_{j}\right) = \exp\left(-\sum_{k=1}^{n} \theta_{k} \left|s_{ik} - s_{jk}\right|^{2}\right)$$

$$\tag{4}$$

Here *n* is the number of elements of the design variable,  $\theta_k$  is the correlation coefficient to be determined, and  $s_{ik}$  and  $s_{jk}$  denotes the components of  $s_i$  and  $s_j$  in the *k*-direction.

Based on the correlation analysis,  $t_1$ ,  $r_1$ ,  $r_2$ , and  $r_3$  were determined as the optimization variables. The Latin Hypercube Test was conducted with the mass (*M*) and displacement (*D*) of the BBT as the target, and 23 sets of samples were generated as shown in Table 4.

The Kriging model is constructed based on Table 4, and its accuracy is evaluated by the complex correlation coefficient  $R^2$ . To obtain a satisfactory Kriging model, the value of  $R^2$  should be close to 1. By solving, the  $R^2$  values of mass and displacement were obtained as 0.98 and 0.95, respectively, which are close to 1. This indicates that the accuracy of the model meets the requirements. However, there is a deviation in  $R^2$  [21]. To evaluate the model's accuracy more accurately, 12 sample points were randomly selected as verification points by Cross-validation to verify the fitting accuracy of the model, and the results are shown in Figs. 2 and 3.

It is shown that there is a high similarity between the predicted and the simulated values in the Kriging model (Figs. 2 and 3). For both graphs, A and C are simulated values. At this time, the difference between the simulated values and the predicted value reaches the maximum, which is 3.23% and 0.79%, respectively. Similarly, B and D are simulated values, and the difference between the simulated value and the predicted value is the smallest at 0.46% and 0.02%. Combined with Figs. 2 and 3, it can be illustrated that the accuracy of the Kriging model is credible and can replace the BBT model for structural optimization.



Figure 2: Comparison of predicted and simulated values of displacement



Figure 3: Comparison of predicted and simulated values of mass

# 5.2 Mathematical Modeling and Solving

The optimized design variables were determined based on correlation analysis.

$$x = (t_1, r_1, r_2, r_3)$$
(5)

The target is to minimize the total mass and displacement of the BBT.

$$F = \min\{M, D\} \tag{6}$$

Design constraints include the following 3 aspects:

1) Strength constraint

To ensure the BBT safety, the maximum stress  $\sigma$  max under external loads should be less than the material yield

$$\sigma < [\sigma] \tag{7}$$

In Eq. (7),  $\sigma$  is von Mises stress, [ $\sigma$ ] is allowable stress. In addition, [ $\sigma$ ] = $\sigma_s/n_s$ ,  $\sigma_s$  is the material yield, and  $n_s$  is the safety factor, which is 1.1 [20].

2) Frequency constraint

The resonance between the wind rotor and the BBT when 1P (rotor rotation frequency,  $\omega_{1P}$ ) or 3P (blade passing frequency,  $\omega_{3P}$ ) is close to the natural frequency of the BBT, which lead to safety accidents. For this reason, the natural frequency of the BBT is specified to avoid 1P and 3P turbine excitation frequency ranges [22]. In this work, the design speed range of the wind wheel involved is  $8.33 \sim 15.95$  r/min, so the range of First-order natural frequency *f* of the BBT can be written as

$$f \in \begin{cases} (0, 0.2392) \\ (0.29238, 0.37476) \\ (0.45804, \infty) \end{cases}$$
(8)

3) Size constraint

According to the actual working condition requirements, the BBT structure parameters should be taken within a certain range, and its parameter variation range is shown in Table 5.

			-	
Parameter/mm	$r_1$	$r_2$	<i>r</i> <sub>3</sub>	$t_1$
Initial	2001.0	1873.5	1732.0	42.0
Lower limit	1800.9	1686.2	1558.8	37.8
Upper limit	2100.0	2001.0	1873.5	46.2

 Table 5: Parameter value range

NSGA-II was used to solve the Kriging model. Specifically, the initial population is 100, the genetic algebra is 600, the crossover probability is 0.9, and the mutation probability is 0.1. Further, the flowchart outlining the procedure for optimization is shown in Fig. 4.

# 5.3 Result Discussion

# 5.3.1 Structure Comparison

After optimization, a reasonable set of structural parameters was obtained and is listed in Table 6.

Table 6 illustrates that the diameter  $r_1$ ,  $r_2$ , and  $r_3$  of the BBT after optimization slightly increased compared with the initial value, while the thickness of the first section of the tower  $t_1$  decreased. To further explore the influence of the optimized structural parameters on the overall quality and the quality of each section of the BBT. Combined with Table 6 and actual requirements, the optimized model is established, of which the brief mass information for each section is shown in Table 7.



Figure 4: Flowchart outlining the procedure for optimization

$r_1$	<i>r</i> <sub>2</sub>	<i>r</i> <sub>3</sub>	$t_1$	
2001	1873.5	1732	42	
2004.8	1901.2	1776.4	37.9	
	$r_1$ 2001 2004.8	$\begin{array}{c ccc} r_1 & r_2 \\ \hline 2001 & 1873.5 \\ 2004.8 & 1901.2 \\ \end{array}$	$r_1$ $r_2$ $r_3$ 20011873.517322004.81901.21776.4	$r_1$ $r_2$ $r_3$ $t_1$ 20011873.51732422004.81901.21776.437.9

 Table 6: Comparison of structural parameters

Table 7 clearly shows that the optimized BBT mass was reduced by 1.49% (2789 Kg) compared with the initial total mass, and the reduced mass is concentrated in the first section of the tower. In addition, based on the mass distribution of the sections of the BBT before and after optimization, a scheme can be provided for the actual structural optimization of the tower.

# 5.3.2 Static Strength

What needs to be pointed out is that static strength refers to the power of the BBT structure to resist deformation and stress response under the external load, and it is the primary factor that reflects the structural performance of the BBT. To identify the advantages of the optimization tower, the FEA was applied to explore the static performance of BBT under DLC6.1 and compare it with the initial static performance, the results of which are shown in Table 8.

	Initial/Kg	Optimal/Kg	Differentials/Kg
1	52992	49208	-3784
2	33237	33261	24
3	31279	31524	245
4	25216	25713	497
5	16909	17138	229
6	12719	12719	0
Total	172352	169563	-2789

Table 7: Comparison of the mass of each section of the BBT

 Table 8: Comparison of static performance

	Initial/mm	Optimal/MPa
Maximum displacement	715.76	710.28
Maximum stress	116.98	127.10

Indeed, the maximum displacement of BBT decreases by 0.77%, and the maximum stress increases by 8.65% after optimization (Table 8). It is illustrated that reducing the displacement is incompatible with reducing stress when the objective is to reduce mass. On the other hand, the stress of the BBT is 127.10 MPa after optimization, and the value is still far from the allowable stress (313.6 MPa) of the material. This indicates that the optimized BBT not only reduces the displacement but also improves the utilization efficiency of materials.

#### 5.3.3 Dynamic Performance

In practice, due to the influence of the tower's initial structural defects (geometric defects and structural defects), the structural instability is usually caused by the failure of the extreme point of the load. For this reason, nonlinear buckling analysis is used to explore the stability of the BBT and consider the structural nonlinearity and the effects of initial imperfections to obtain a realistic buckling load [23].

When the FEA is used for nonlinear buckling analysis, the gravity of the cabin, wind wheel, and tower is not considered, and axial load along the opposite direction of the z-axis is applied on the top of the BBT, and the bottom is fully constrained. First, set the mesh size to 400 mm, the bonded is used at the flange connection, and the flange connection surface is in the form of MPC. Secondly, turn on the large deflection and weak springs in the ANSYS environment to perform static analysis to solve the element stress stiffness matrix of the BBT. Next, add a nonlinear buckling analysis module, and expand the results after the study is completed to obtain the First-order buckling mode and buckling factor of the BBT. Finally, the First-order modes and buckling factors of the two towers are shown in Fig. 5.



Figure 5: First-order mode and buckling factor

For Fig. 5, the comparison shows that the First-order buckling factor of the optimized BBT increases by 2.45%, indicating that the optimized BBT has an advantage in stability. On the other hand, based on the increased stability, the adaptability of the BBT to the environment has been improved, which means a broader for installation.

# 6 Conclusions

The 2 MW wind turbine BBT was taken as a structure optimization case. LHSD explored the influence between design variables and objective functions. Subsequently, a Kriging model of BBT mass and deformation was established as the basis of the multi-objective optimization of the tower. The NSGA-II was employed to find the optimal solution for mass and deformation. Based on the FEA, the static and dynamic performance advantages of the optimized BBT are considered comprehensively, and the following conclusions are drawn:

- (1) After optimization, the mass of the BBT decreased by 1.49% compared with the initial, and the corresponding steel consumption was reduced by 2789 Kg. Specifically, the reduced mass is concentrated in the first section of the tower, which provides a reference scheme for the tower's structural design in service.
- (2) Under DLC 6.1, the displacement of the optimized BBT decreases by 0.77%. On the other hand, the maximum stress reaches 127.10 MPa, which means that the maximum stress is increased by 8.65%, but there is still a large margin compared to the allowable material stress of 313.6 MPa. This indicates that the optimization of the BBT improves not only the static strength but also the efficiency of the material utilization.
- (3) The BBT stability after optimization is improved by 2.45%, which means that compared with the original BBT, the optimized BBT is more adaptable to the environment. Hence, there are more options for the siting range of the wind farm.

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