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***PNN* and *KCNQ1OT1* Can Predict the Efficacy of Adjuvant Fluoropyrimidine-Based Chemotherapy in Colorectal Cancer Patients**

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The benefit of adjuvant chemotherapy in the early stages of colorectal cancer (CRC) is still disappointing and the prediction of treatment outcome quite difficult. Recently, through a transcriptomic approach, we evidenced a role of *PNN* and *KCNQ1OT1* gene expression in predicting response to fluoropyrimidine-based adjuvant chemotherapy in stage III CRC patients. Thus, the aim of this study was to validate in an independent cohort of stages II–III CRC patients our previous findings. *PNN* and *KCNQ1OT1* mRNA expression levels were evaluated in 74 formalin-fixed paraffin-embedded tumor and matched normal mucosa samples obtained by stages II–III CRC patients treated with fluoropyrimidine-based adjuvant chemotherapy. PININ, the protein encoded by *PNN*, was immunohistochemically evaluated in 15 tumor and corresponding normal mucosa samples, selected on the basis of a low, medium, or high mRNA expression tumor/mucosa ratio. *PNN* and *KCNQ1OT1* mRNA mean expression levels were significantly higher in tumor compared with normal tissues. Patients with high *PNN* or *KCNQ1OT1* tumor mRNA levels according to ROC-based cutoffs showed a shorter disease-free survival (DFS) compared with patients with low tumor mRNA gene expression. Also, patients with tumor mRNA expression values of both genes below the identified cutoffs had a significantly longer DFS compared with patients with the expression of one or both genes above the cutoffs. In a representative large cohort of stages II–III CRC untreated patients retrieved from GEO datasets, no difference in DFS was observed between patients with high and low *PNN* or *KCNQ1OT1* gene expression levels. These data confirm our previous findings and underscore the relevance of *PNN* and *KCNQ1OT1* expression in predicting DFS in early stages of CRC treated with fluoropyrimidine-based adjuvant chemotherapy. If further validated in a prospective case series, both biomarkers could be used to identify patients who benefit from this treatment and to offer alternative chemotherapy regimens to potential unresponsive patients. In relation to the suggested biological role of *PNN* and *KCNQ1OT1* in CRC, they might also be exploited as potential therapeutic targets.

Key words: Colorectal cancer; Adjuvant chemotherapy; Predictive biomarkers; Gene expression; *PNN*; *KCNQ1OT1*

INTRODUCTION

Colorectal cancer (CRC) is the third most frequently diagnosed type of cancer and the second leading cause of cancer-related death worldwide¹. Its prognosis is mainly related to disease stage that directly impacts therapeutic

choices and, in particular, the indication of adjuvant chemotherapy in nonmetastatic setting (about 75% of cases at diagnosis)². For stage III patients, drug treatment generally consists of a combination of fluoropyrimidine and oxaliplatin. For stage II patients, it is generally represented by a monotherapy with a fluoropyrimidine, in relation to

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the presence of biological and clinical–pathological risk factors³. Although adjuvant chemotherapy has reduced the risk of disease recurrence and prolonged survival in high-risk stage II and stage III CRC patients, around 40% develop metastases within 3 years after surgery². CRC is a biologically heterogeneous tumor, and this causes a highly stage-independent prognostic variability, with a survival ranging from 84% to 59% in patients with stage II disease and from 83% to 36% in patients with stage III disease⁴.

Many oncogenic signal transduction pathways are dysregulated in CRC due to mutations in various driver genes (e.g., *APC*, *p53*, *KRAS*, *NRAS*, *PIK3CA*, and *BRAF*)^{5,6}. However, only some of these mutations appear to be useful today as biomarkers⁷ and/or as potential drug targets^{8–11}.

Genomic, epigenetic, or immunological markers with a potential prognostic role in early stage CRC are, for instance, the status of microsatellites^{12,13}, *BRAF* and *KRAS* mutations^{13,14}, the CpG island methylator phenotype¹⁵, and the degree of infiltration of immune cells in the tumor^{16–18}.

However, other molecular markers of neoplastic progression, immunocompetence status, or alterations in the expression of signaling molecules and of oncogenic metabolism, and morphological–topographic markers of key immune cells in the tumor microenvironment^{19–21} as well as specific somatic mutations of CRC^{6,7,9,22} may play a role in response to approved and experimental therapies.

Results from clinical trials with selective inhibitors of molecular targets in stage III patients [e.g., use of immune checkpoint inhibitors associated with chemotherapy in deficient mismatch repair (dMMR) tumors] are awaited²³. Studies aimed at evaluating treatments with *BRAF* inhibitors associated with anti-EGFR monoclonal antibodies in *BRAF*-mutated stage III cancer patients may also be warranted based on positive results in the metastatic setting¹¹.

Through the years, candidate gene approaches as well as genome-wide approaches have been exploited with the aim of identifying prognostic and/or predictive CRC molecular biomarkers.

The main results obtained by these approaches are represented, respectively, by genes involved in the pyrimidine pathway (e.g., *TYMS* and *DUT*)^{24,25} and by the more recent CRC molecular classifications (CMS and CRIS)^{26,27} whose clinical utility has recently been evaluated in stages II–III CRC patients with promising results^{18,28}.

However, the clinical value of these markers in predicting response to standard adjuvant chemotherapy is substantially unknown. Also, commercially available multigene assays based on the evaluation of the expression of selected genes, although prognostic for stages II–III survival parameters, have not been shown to predict the adjuvant chemotherapy benefit².

Recently, through a transcriptomic approach, we showed the ability of *PNN* or *KCNQ1OT1* gene expression to predict response to fluoropyrimidine-based

adjuvant chemotherapy in two extreme prognosis cohorts of stage III CRC patients²⁹. A successful validation of these findings was obtained in an independent cohort obtained from GEO datasets with similar clinical/pathological characteristics but unselected for prognosis. Only patients with low *PNN* or *KCNQ1OT1* mRNA tumor levels benefitted from adjuvant chemotherapy²⁹.

PNN codifies PININ, whereas *KCNQ1OT1* is a long noncoding RNA (*KCNQ1* opposite strand/antisense transcript 1). PININ is a 140-kDa phosphoprotein that was initially characterized as a desmosome-associated molecule³⁰, and it was later proposed to have a tumor suppressor function in renal cell carcinomas³¹. More recent studies have instead suggested a contribution of PININ in the activation of the EGFR/ERK signaling pathway^{32,33} and its involvement in cancer progression^{32–34}.

Long noncoding RNAs (lncRNAs) belong to a category of transcripts largely implicated in biological processes, and their aberrant expression is an important cause of cancer development and progression³⁵. *KCNQ1OT1* expression may be altered in Beckwith–Wiedemann syndrome (BWS)³⁶, although it has been found to be altered also in several malignant tumors of non-BWS patients where its oncogenic properties have been identified^{37–43}. For *KCNQ1OT1*, a potential role in tumor drug resistance has also been suggested^{44–49}.

On this basis, the validation of *PNN* and *KCNQ1OT1* as biomarkers predictive of chemotherapy outcome could allow the identification of patients with predicted unfavorable outcome who might benefit from alternative adjuvant chemotherapy regimens with existing or new drugs based on the presence of specific druggable targets, whereas patients with predicted favorable outcome to fluoropyrimidine-based adjuvant chemotherapy could be treated with these standard regimens.

Thus, the aim of this study was to confirm previous results and to further validate the predictive role of *PNN* and *KCNQ1OT1* in response to fluoropyrimidine-based adjuvant chemotherapy in a cohort of stages II–III CRC patients.

MATERIALS AND METHODS

Tissue Samples and Patients

Formalin-fixed paraffin-embedded (FFPE) stages II–III CRC tissues and their paired normal colonic mucosa were used for both quantitative PCR (RT-qPCR) and immunohistochemistry analyses. Overall, 74 FFPE CRC tissues and 57 FFPE normal colonic tissues were available. All samples (tumor and matched normal tissues) were obtained during primary surgery, thus prior to the starting of adjuvant chemotherapy.

CRC patients had been enrolled consecutively at a single institution according to criteria reported in Di

Paolo et al.⁵⁰. All patients had been treated with fluoropyrimidine-based adjuvant chemotherapy represented by the Machover's schedule including six cycles in which patients were treated with daily bolus injections of leucovorin (100 mg/m²) and 5-FU (370 mg/m²) for 5 days every 4 weeks⁵¹. Tissue specimens were obtained according to an institutional review board-approved protocol, and patients signed an informed consent for the use of their tissue samples and clinical/pathological data for research purpose.

RNA Extraction

Total RNA was isolated from FFPE CRC tissues and paired normal mucosa. Briefly, total RNA was isolated from a thin section (20 µm) by means of the NucleoSpin TotalRna FFPE XS kit according to the manufacturer's protocol (Macherey-Nagel, Düren, Germany). RNA was quantified by QubitTM 3.0 Fluorometer (Invitrogen-ThermoFisher Scientific, Waltham, MA, USA). After quantification, total RNA was analyzed to determine the quality of samples by the Agilent RNA 6000 Nano LabChip[®] kit with the Agilent 2100 Bioanalyzer (Agilent Technologies, Santa Clara, CA, USA). The accepted values of RNA integrity were ≥ 7 .

Quantitative Real-Time RT-PCR

The mRNA expression levels of *PNN* and *KCNQ1OT1* were analyzed by RT-qPCR using a RotorGene 3000 (Qiagen, Hilden, Germany) instrument. Primers were purchased from IDT (Dessau-Roßlau, Germany). Five hundred nanograms of total RNA from samples obtained as described above were retrotranscribed using iScript (Bio-Rad, Irvine, CA, USA) and amplified with specific primers: for *PNN*, GAACAGAAGGCGGAACAAGAAGAGGG (forward) and TCATTGTGCTGATTACCTGTCTCTCC (reverse), and *KCNQ1OT1*, GGGCACCATAAGAAGG CATGAAGCTG (forward) and CCTGGATTGCCTGG ACAAGGCTGAC (reverse). PCR amplification was carried out by SsoAdvancedTM Universal SYBR[®] Green Supermix (Bio-Rad) according to the manual instruction. In the present analysis, 18s rRNA was confirmed to be stable and was used as the normalizer: CGGC TACCACATCCAAGGAA (forward) and GTTATTTTT CGTCACTACCTCCCCGGG (reverse). The RT-qPCR was performed using the following procedure: 98°C for 2 min, 40 cycles of 98°C for 5 s, and 60°C for 10 s. The program was set to reveal the melting curve of each amplicon from 60°C to 95°C with a read every 0.5°C.

Immunohistochemistry

Immunohistochemistry analysis of PININ protein levels was performed on 15 tumor and corresponding normal mucosa samples, selected on the basis of low, medium, or high *PNN* mRNA expression ratio.

Sections from FFPE tissue blocks were incubated at 60°C for 12 h, then deparaffinized and hydrated in descending concentrations of ethanol and finally in ddH₂O. To expose masked epitopes, the sections were microwaved in citrate buffer (pH 6.0) for 2×5 min and washed in PBS for 2 min. After blocking of nonspecific epitopes with 1.5% blocking serum in PBS for 10 min, primary rabbit polyclonal anti-PININ antibody (ABCAM, Cambridge, MA, USA) was added and then incubated at 4°C overnight. After washing with PBS, a horseradish peroxidase-labeled secondary anti-rabbit antibody (ABCAM) was added. After 30 min, the sections were rinsed with PBS, and immune reaction was revealed by the VECTASTAIN[®] Elite[®] ABC HRP kit (Vector Laboratories, Burlington, ON, Canada). All runs also included negative controls in which PBS replaced the primary antibody.

From each section, five microscopical fields were photographed at 20× final magnification with a light microscope (Eclipse E200; Nikon, Tokyo, Japan) equipped with a digital camera (DS-F12; Nikon) under fixed lighting conditions. On each image, three different square regions, each measuring about 2,000 µm², were chosen at random with the only criterion to exclude large stromal tissue areas. These were used to perform quantitative analysis of the optical density of immunostaining by means of the ImageJ open source software (<https://imagej.nih.gov>).

Retrieval of Untreated Stages II–III CRC Patients From GEO Datasets

To confirm that *PNN* and *KCNQ1OT1* gene expression levels play a predictive role of response to fluoropyrimidine-based chemotherapy, untreated stage II and stage III CRC patients were retrieved from three Gene Expression Omnibus (GEO) datasets (i.e., GSE14333, GSE39582, GSE103479) (<https://www.ncbi.nlm.nih.gov/gds>). These datasets satisfied the following criteria: availability of untreated CRC patients, knowledge of disease stage, date of disease recurrence, and follow-up duration. Overall, 332 untreated stage II patients and 109 untreated stage III CRC patients for a total of 441 patients were retrieved from the abovementioned datasets without performing any selection to avoid the risk of introducing bias. Thus, the untreated cohort was analyzed to evaluate relationships between *PNN* and *KCNQ1OT1* gene expression levels and disease-free survival (DFS).

Statistical Analysis

Potential differences in clinical/pathological characteristics of CRC patients or in gene or in protein between tumor and normal tissues were analyzed by the Student's *t*-test. Univariate and multivariate Cox proportional hazard regression analyses were used to correlate clinical/pathological characteristics and gene expression with patient DFS. Kaplan–Meier survival plots and the log-rank test were

Table 1. Main Clinical/Pathological Characteristics of Study Colorectal Cancer (CRC) Patients ($N=74$)

Characteristics	No. (%)
Age [median (range)]	63 (39–77)
Gender	
Male	42 (56.8%)
Female	32 (43.2%)
Grading	
1	1 (1.4%)
2	61 (82.4%)
3	12 (16.2%)
Stage	
IIA	19 (25.7%)
IIIA	3 (4.1%)
IIIB	22 (29.7%)
IIIC	30 (40.5%)
Adjuvant therapy	74 (100.0%)
Primary tumor site	
Left colon	26 (35.1%)
Right colon	20 (27.1%)
Colon (site unspecified)	2 (2.7%)
Rectum	26 (35.1%)

used to assess differences in DFS between patients with low and high gene expression levels determined according to a receiving operating characteristic (ROC) curve analysis. Kaplan–Meier survival plots and the log-rank test were also used to assess differences in DFS between patients belonging to the selected GEO datasets whose tumor expressed low or high levels of *PNN* and *KCNQ1OT1* according to the gene expression median value of datasets. DFS time was calculated from the date of diagnosis until disease recurrence. Analyses were carried out using the SPSS v.26 software. Values of $p < 0.05$ were considered significant.

RESULTS

Patient Characteristics

Clinical and pathological characteristics of the study cohort including 19 CRC stage II and 55 CRC stage III patients are reported in Table 1. About 57% of the patients were males and 64.9% of patients were affected by colon cancer. Among these, tumor was located in the right colon in 43.5% of the cases with a known site. All patients were treated with fluoropyrimidine-based adjuvant chemotherapy. As expected, the Kaplan–Meier curve showed a statistically significant difference between DFS of stage III and stage II CRC patients ($p = 0.049$) (Fig. 1). Median DFS was not reached for stage II CRC patients (median follow-up 69.4 months), whereas it was 19.2 months for stage III CRC patients. The main clinical and pathological characteristics of patients belonging to the selected GEO datasets are reported in Table 2.

PNN and *KCNQ1OT1* Gene Expression Levels in Study Mucosa and Tumor Tissue Samples

Overall, *PNN* was detectable in 72 FFPE tumor tissues and in 55 FFPE matched normal mucosa, whereas *KCNQ1OT1* in 61 FFPE tumor tissues and 53 FFPE matched normal mucosa. The study of the mRNA expression of *PNN* and *KCNQ1OT1* in tumor and in the adjacent mucosa showed that the mRNA expression levels of both genes were significantly higher in tumor samples compared with those in normal mucosa (Fig. 2A–D). These findings were reported for *PNN* and *KCNQ1OT1* when all the available cases were analyzed ($p = 0.0002$ for both genes, respectively) (Fig. 2A and C) and were confirmed when the comparison was limited to patients for whom both tumor and paired normal tissues were

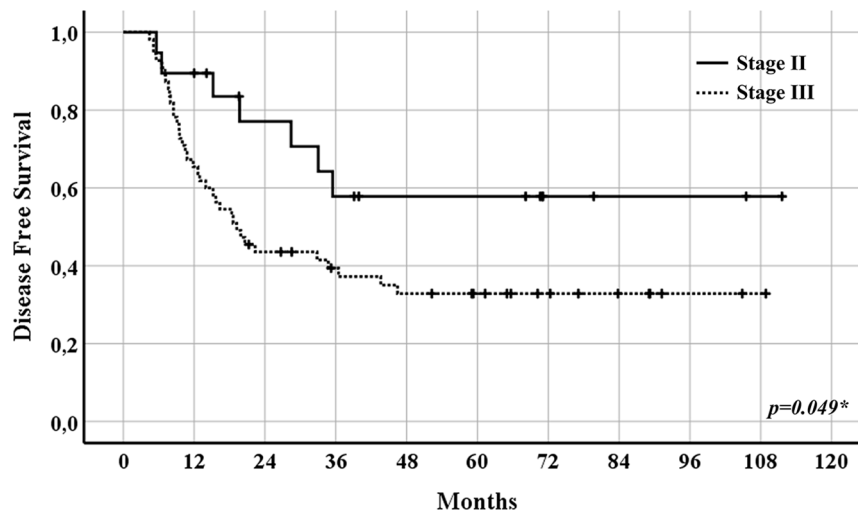


Figure 1. Overall survival of colorectal cancer (CRC) patients according to disease stage (stage II, $n = 19$; stage III, $n = 55$). *Log-rank test.

Table 2. Main Clinical/Pathological Characteristics of the GEO CRC Cohort

Characteristics	GSE14333 (N = 100)	GSE39582 (N = 257)	GSE103479 (N = 84)	Total (N = 441)
Gender				
Male	53 (53.0%)	146 (56.8%)	48 (57.1%)	247 (56.0%)
Female	47 (47.0%)	111 (43.2%)	36 (47.9%)	194 (44.0%)
Mean age (SD, range)	71 (12.66; 30–92)	73 (12.8; 24–94)	75.9 (9.38; 53.2–93)	73 (12.56; 24–94)
Primary tumor localization				
Left sided	39 (39%)	140 (54.47%)	32 (38.09%)	211 (49.72%)
Right sided	47 (47%)	117 (45.52%)	34 (40.47%)	198 (43.57%)
Rectum	14 (14%)	–	18 (21.42%)	32 (6.14%)
TNM				
T _{NA}	NA	10 (3.48%)	–	NA
T2	NA	9 (3.13%)	3 (3.57%)	NA
T3	NA	186 (64.8%)	61 (72.61%)	NA
T4	NA	52 (18.11%)	20 (23.8%)	NA
N _{NA}	NA	10 (3.89%)	–	NA
N+	NA	2 (0.69%)	–	NA
N0	NA	195 (67.94%)	57 (67.85%)	NA
N1	NA	34 (11.84)	17 (20.23)	NA
N2	NA	17 (5.92%)	10 (34.84%)	NA
N3	NA	–	–	NA
M0	NA	247 (86.06%)	44 (52.38%)	NA
Mx	NA	10 (3.48%)	40 (47.61%)	NA
Stage AJCC				
II	72 (72%)	203 (78.98%)	57 (67.85%)	332 (75.28%)
III	28 (28%)	54 (21.01%)	27 (32.14%)	109 (24.71%)

Displayed are numbers and percentages for each group. NA, not available.

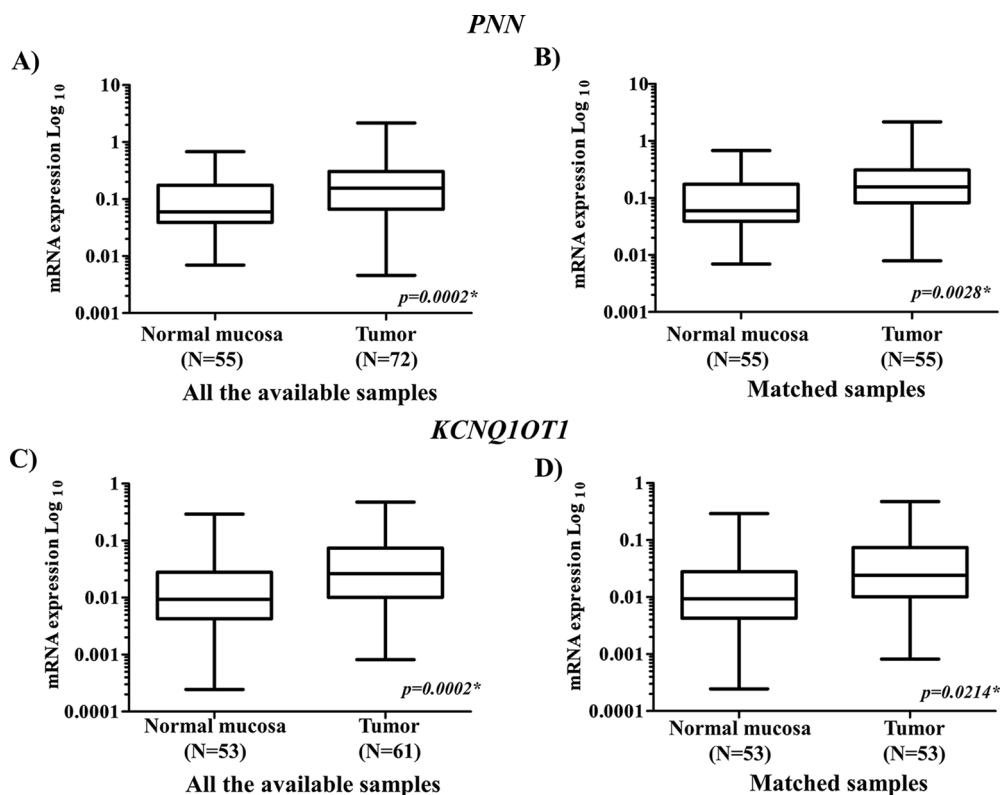


Figure 2. mRNA expression levels of *PNN* (A, B) and *KCNQ1OT1* (C, D) in CRC and normal mucosa. *Student's *t*-test.

available ($p=0.0028$ and $p=0.0214$, respectively) (Fig. 2B and D).

Also, *PNN* and *KCNQ1OT1* expression levels were both higher in stage III tumors compared with stage II tumors, although this difference was statistically significant only for the *KCNQ1OT1* gene ($p=0.133$ and $p=0.020$, respectively) (data not shown).

Correlations Between Tumor PNN and KCNQ1OT1 Gene Expression Levels and DFS in Stages II–III CRC Patients Treated With Adjuvant Chemotherapy

The accuracy of tumor gene expression in predicting DFS (i.e., the identification of the optimal cutoff value for differentiation of patients with presence or absence of disease recurrence following fluoropyrimidine-based treatment) was evaluated by receiver operating characteristic (ROC) analysis. Cutoff values able to significantly differentiate recurrent from nonrecurrent disease were 0.251 and 0.042 for *PNN* and *KCNQ1OT1*, respectively. According to the identified respective gene expression level cutoff (Fig. 3A and C), patients with higher mRNA tumor expression of

PNN or *KCNQ1OT1* showed a shorter DFS compared with patients with lower mRNA expression levels ($p=0.009$ and $p=0.001$, respectively) (Fig. 3B and D).

In 59 patients for whom mRNA expression levels were detectable for both genes, a DFS analysis by grouping patients according to specific cutoff value criteria (i.e., both genes above or both genes below the *PNN* or *KCNQ1OT1* cutoffs, or one of the two genes above its respective cutoff) (Fig. 4) was also performed (Fig. 5). Patients who had mRNA expression values of both genes below their respective cutoff (i.e., 0.251 for *PNN* and 0.042 for *KCNQ1OT1*) had significantly longer DFS compared with that of patients with at least one gene whose expression was above its cutoff ($p=0.012$).

By using the above-reported cutoffs, statistically significant differences in tumor gene expression levels of *PNN* or *KCNQ1OT1* were observed also when patients were subanalyzed grouped for tumor stage (i.e., $p=0.0035$ and $p=0.0127$, respectively, for stage II and $p<0.0001$ for both genes for stage III; data not shown). According to stage, both *PNN* and *KCNQ1OT1* maintained their predictive

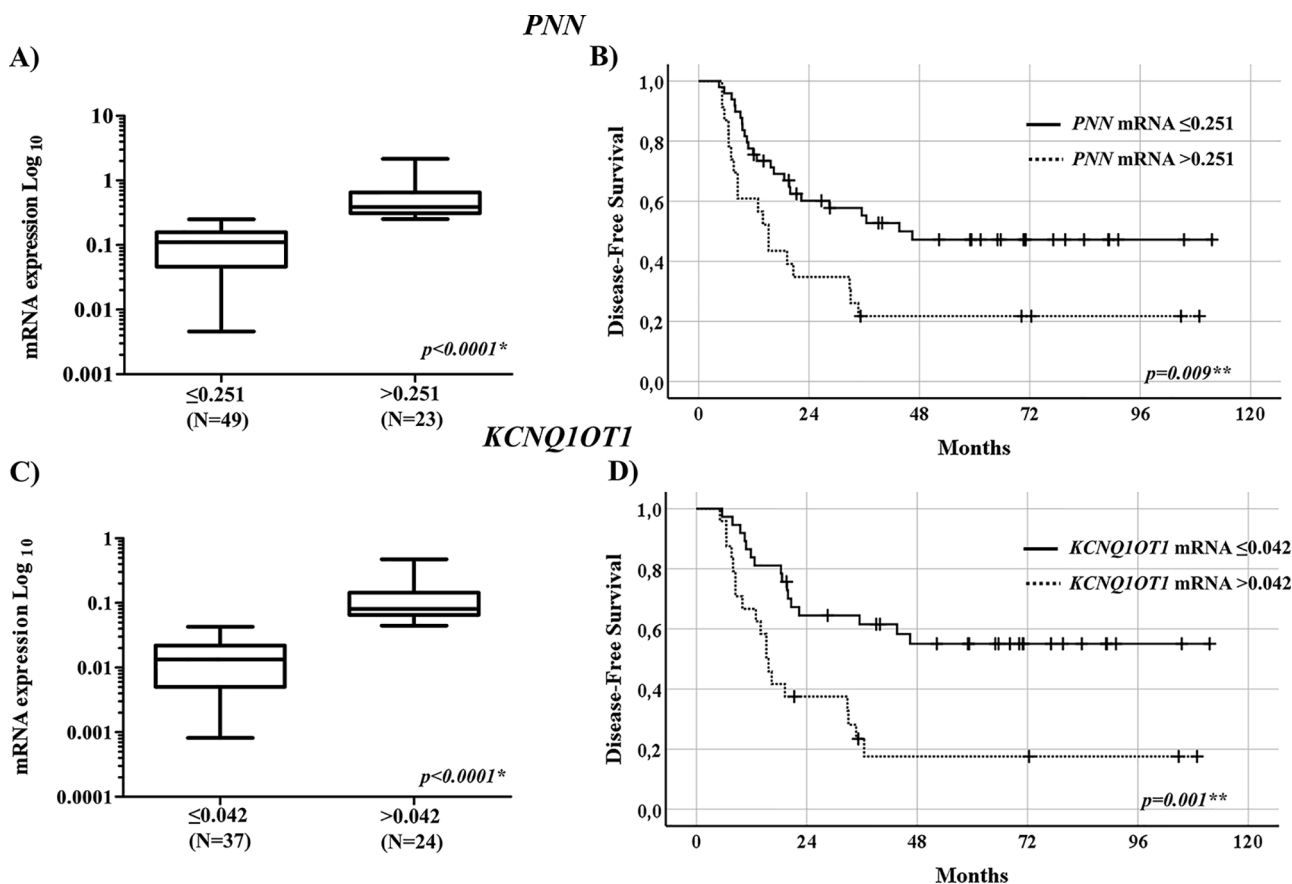


Figure 3. *PNN* (A) and *KCNQ1OT1* (C) tumor gene expression subdivided according to the disease recurrence (DR) cutoff obtained by ROC curves. Kaplan–Meier curves of disease-free survival (DFS) indicating probability of DR for patients with *PNN* (B) and *KCNQ1OT1* (D) tumor gene expression above or equal/below the DR cutoff. *Student's *t*-test; **Log-rank test.

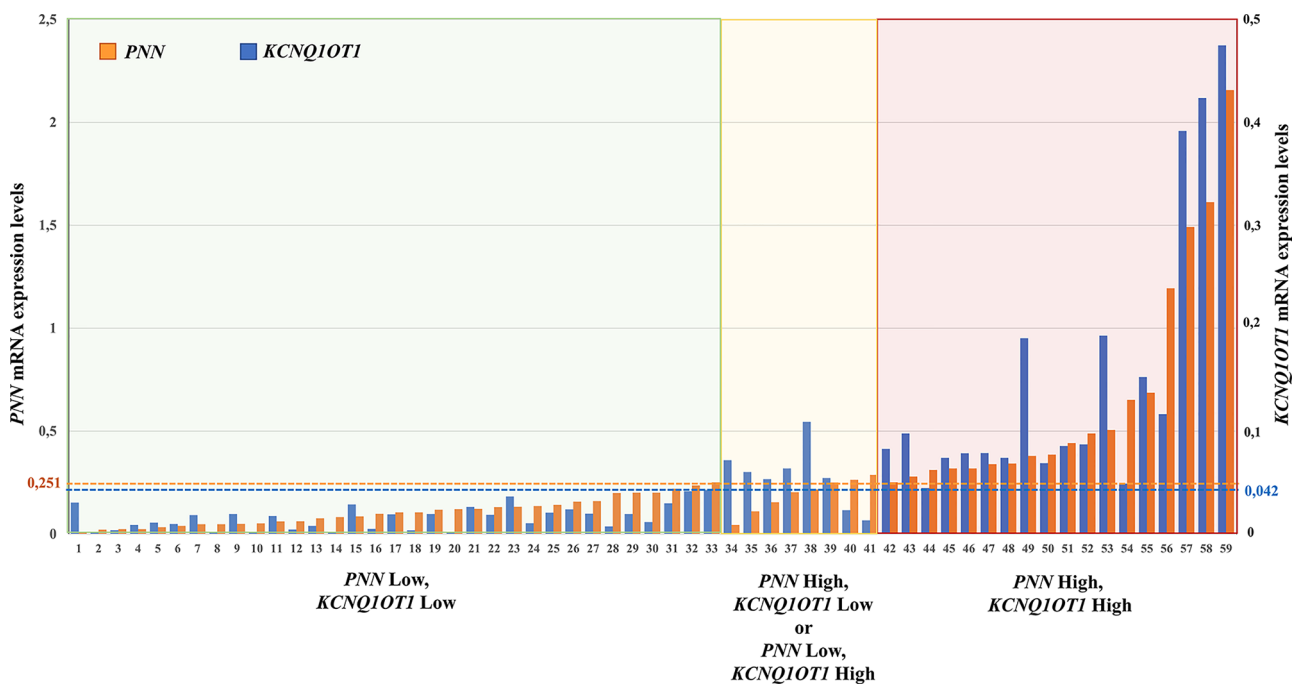


Figure 4. Patients grouped in low *PNN* and *KCNQ1OT1* mRNA expression levels (n=33) (left), high *PNN* and *KCNQ1OT1* mRNA expression levels (n=18) (right), and low *PNN* and high *KCNQ1OT1* or high *PNN* and low *KCNQ1OT1* mRNA expression levels (n=8) (middle) in CRC tissues.

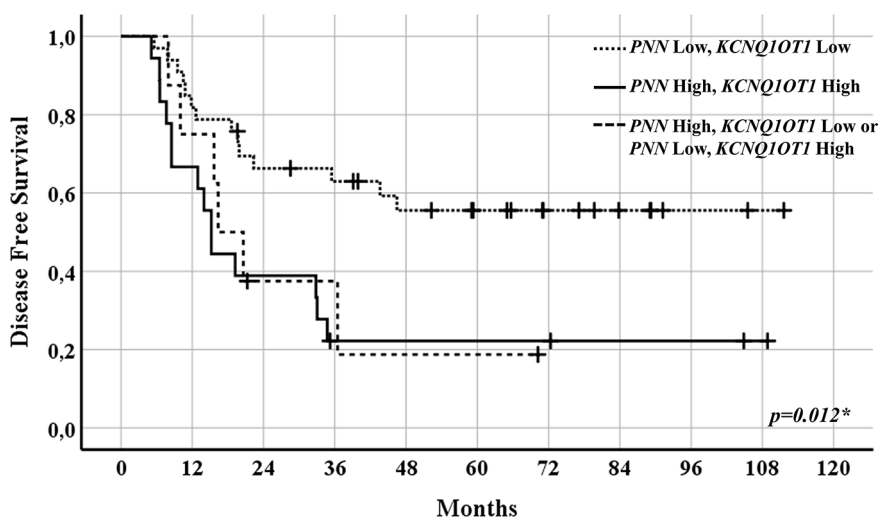


Figure 5. Kaplan–Meier curves of disease-free survival (DFS) indicating probability of disease recurrence (DR) for CRC patients treated with adjuvant chemotherapy according to *PNN* and *KCNQ1OT1* DR cutoffs. *PNN* and *KCNQ1OT1* mRNA expression levels below DR cutoffs (dotted line) (n=33); *PNN* and *KCNQ1OT1* mRNA expression levels above DR cutoffs (solid line) (n=18); *PNN* or *KCNQ1OT1* mRNA expression levels above DR cutoffs (dashed line) (n=8). *Log rank test.

role, that is, patients with higher mRNA tumor expression of *PNN* or *KCNQ1OT1* showed a shorter DFS compared with patients with lower mRNA expression levels. These differences were found to be statistically significant in stage II patients ($p < 0.001$ for both genes), whereas only

a trend was observed in stage III patients ($p = 0.258$ and $p = 0.055$ for *PNN* and *KCNQ1OT1*, respectively) (data not shown). The behavior observed when DFS of the entire case series was evaluated according to the above-mentioned specific cutoff value criteria was confirmed

when the same parameters were analyzed in stage II and stage III patients, separately ($p=0.001$ and $p=0.242$ for stage II and stage III, respectively; data not shown).

Univariate and Multivariate Analyses of Clinical, Pathological, and Molecular Parameters and Treatment Outcome

Among the study clinical/pathological and experimental variables, univariate analysis showed statistically significant differences for *PNN* and *KCNQ1OT1* tumor gene expression ($p=0.011$ and $p=0.002$, respectively) and only a marked trend for stage ($p=0.056$) (Table 3).

Multivariate analysis showed *KCNQ1OT1* mRNA expression levels as the unique variable predictive of DFS in the entire cohort of patients ($p=0.031$) and *PNN/KCNQ1OT1* in the subgroup of patients analyzed according to the above-reported specific cutoff value criteria ($p=0.012$) (data not shown).

PININ Immunohistochemistry Staining in Mucosa and Tumor Tissue Samples

In order to evaluate the concordance between the mRNA expression of *PNN* and the expression of its product, PININ protein expression levels were analyzed in 15 CRC and 15 paired normal mucosa tissue samples, chosen according to *PNN* tumor and normal tissue mRNA fold variation. On this basis, five samples with tumor mRNA/normal tissue mRNA ratio ≥ 4 , five samples with tumor mRNA/normal tissue mRNA ratio ranging between <4 and >0.75 , and five samples with tumor mRNA/normal tissue mRNA ratio <0.75 were analyzed. The results are shown in Figure 6A. Overall, a 93.3% of concordance between *PNN* mRNA and PININ protein expression levels was observed. Only in one case, belonging to the first group (i.e., tumor mRNA/normal tissue mRNA ratio ≥ 4), a higher protein expression level in mucosa compared with the paired tumor tissue was observed. Two

representative immunohistochemically stained 20 \times magnification photographs are shown in Figure 6B, in which differences in nuclear PININ expression between tumor and paired normal mucosa are highlighted.

Correlations Between Tumor PNN or KCNQ1OT1 Gene Expression Levels and DFS in Untreated Stages II–III CRC Patients From GEO Datasets

The study of correlations between *PNN* or *KCNQ1OT1* gene expression levels and DFS showed no statistical difference between untreated patients belonging to GSE14333, GSE39582, and GSE103479 datasets whose tumors expressed high or low expression of the two study genes (*PNN*, $p=0.145$, *KCNQ1OT1*, $p=0.447$) (Fig. 7). This observation further validated the predictive role of *PNN* or *KCNQ1OT1* gene expression levels in the study cohort of CRC patients treated with fluoropyrimidine-based chemotherapy.

DISCUSSION

Although the administration of adjuvant chemotherapy provides advantages in high-risk stage II and stage III CRC patients (i.e., a fluoropyrimidine alone and a fluoropyrimidine plus oxaliplatin, respectively), the 5-year overall survival is still disappointing since about 40% of them develop metastases within 3 years after surgery².

Although, genomic, epigenetic, or immunological markers have been suggested to have a potential prognostic role in early stage CRC^{12–18}, none of them have entered yet the clinical routine. Also, the implementation of molecular CRC classifications, such as CMS²⁶ and CRIS²⁷, whose prognostic role has been evidenced, cannot be easily translated into the clinic.

We recently identified, by RNA sequencing, *PNN* and *KCNQ1OT1* as predictive biomarkers of response to fluoropyrimidine-based adjuvant chemotherapy in stage III CRC patients²⁹. These genes belong to a cluster of 108 genes we found to be differentially expressed between two extreme cohorts of stage III patients (good prognosis cohort, DFS longer than 5 years; poor prognosis group, DFS shorter or equal to 3 years; GSE122246)²⁹. Among 108 differentially expressed genes, *PNN* or *KCNQ1OT1* were successfully validated in a GEO-independent cohort of patients, similar for clinical/pathological characteristics to the identification cohort but unselected for prognosis. Results showed that patients with low *PNN* or *KCNQ1OT1* mRNA tumor expression levels benefitted from adjuvant chemotherapy²⁹.

Based on these previous findings, we have now analyzed *PNN* and *KCNQ1OT1* mRNA expression levels in an independent cohort of stages II and III CRC patients who underwent standard adjuvant chemotherapy. The observed statistically significant difference in the *PNN* and *KCNQ1OT1* mRNA expression levels between mucosa and tumor tissues as well as between stage II

Table 3. Univariate Analysis* of Main Clinical/Pathological Parameters of Stages II–III CRC Patients and *PNN*, *KCNQ1OT1*, and *PNN/KCNQ1OT1* Tumor Gene Expression in Relation to Disease-Free Survival

	<i>p</i>	HR	CI 95%
Age	0.220	0.687	(0.377–1.252)
Gender	0.225	0.682	(0.367–1.266)
Site of tumor	0.316	0.878	(0.680–1.133)
Histopathological grade	0.633	0.832	(0.390–1.772)
Stage	0.056	2.207	(0.981–4.965)
<i>PNN</i>	0.011	2.224	(1.202–4.112)
<i>KCNQ1OT1</i>	0.002	2.942	(1.495–5.788)
<i>PNN/KCNQ1OT1</i> †	0.004	0.699	(0.547–0.893)

*Cox proportional hazard regression.

†*PNN/KCNQ1OT1* mRNA expression levels grouped according to *PNN* and *KCNQ1OT1* disease recurrence cutoffs.

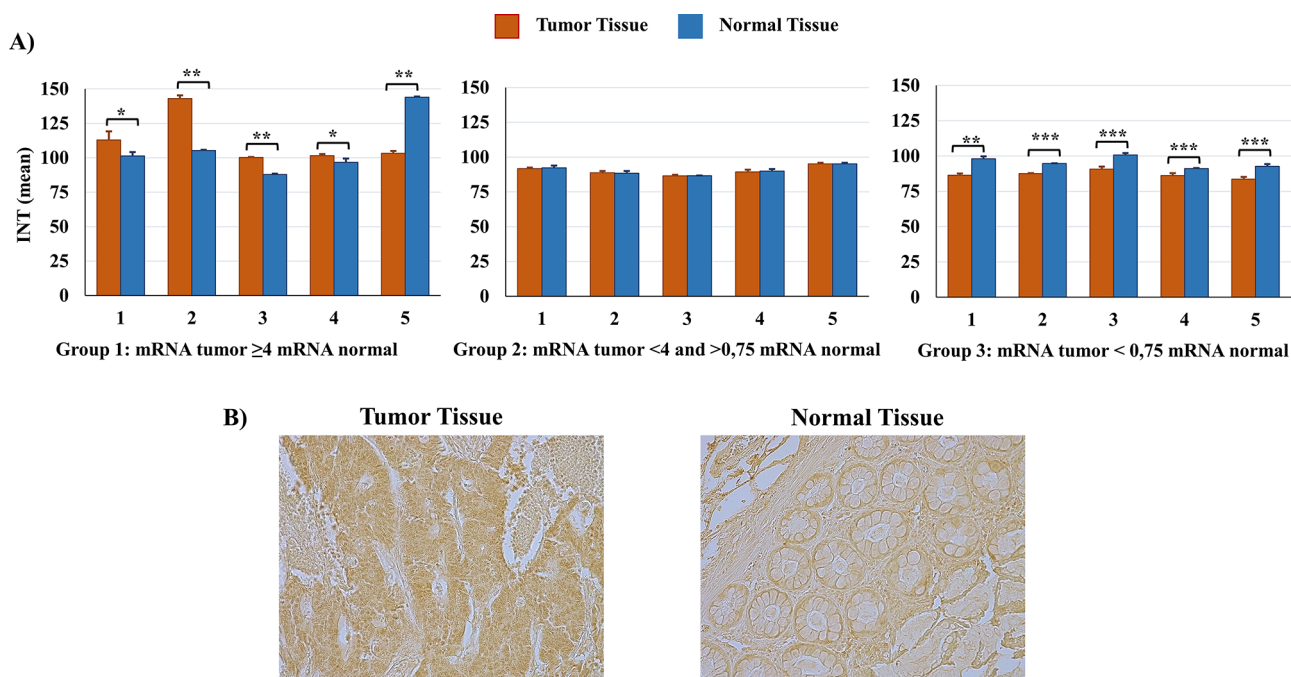


Figure 6. PININ protein expression of 15 tumor and 15 paired normal mucosa tissue samples selected according to a low, medium, or high mRNA expression tumor/mucosa ratio. From each analyzed section, five microscopical fields were photographed at 20 \times final magnification under fixed lighting conditions. On each image, three different square regions, each measuring about 2,000 μm^2 , were randomly chosen with the only criterion to exclude large stromal tissue areas. These were used to perform quantitative analysis of the optical density of immunostaining by means of the ImageJ software (<https://imagej.nih.gov>). Analysis of immunohistochemistry staining intensity in group 1 (mRNA tumor ≥ 4 mRNA normal), group 2 (mRNA tumor < 4 and > 0.75 mRNA normal), and group 3 (mRNA tumor < 0.75 mRNA normal) (A). Representative IHC images of one tumor and one paired normal tissue sample (photographed at 20 \times magnification) (B). INT, intensity. * $p < 0.05$; ** $p < 0.001$; *** $p < 0.01$ Student's *t*-test.

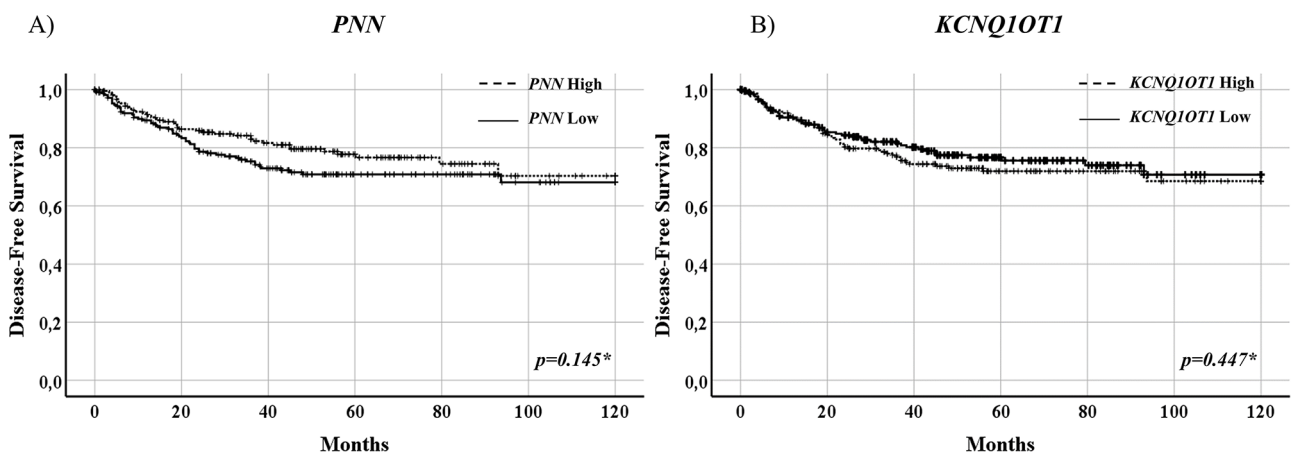


Figure 7. Kaplan–Meier curves of disease-free survival (DFS) indicating probability of disease recurrence (DR) for stages II–III CRC patients ($n = 441$) retrieved from GEO datasets, according to *PNN* (A) and *KCNQ1OT1* (B) tumor gene expression levels. Patients were subdivided according to *PNN* or *KCNQ1OT1* gene expression median values in high ($n = 221$) and low ($n = 220$) expression levels. *Log-rank test.

and stage III CRC confirmed a pathogenetic role in the progression of CRC for both genes, as also suggested by other authors^{32–34,44,52}.

PININ, codified by *PNN* gene, has been initially characterized as a cell adhesion-related associated protein

involved in the stabilization of the desmosome-intermediate filament complex in epithelial tissues³⁰. However, PININ is also present at the nuclear level^{53,54} where it acts as a splicing regulator directly participating in splicing reactions or indirectly via other components of the

splicing machinery⁵⁵. Also, *PNN* has been suggested to act as a transcriptional regulator of the tumor suppressor gene E-cadherin by interacting with CtBP1⁵⁶. Moreover, downregulation of E-cadherin expression by *PNN* siRNA in breast cancer cells suggests that *PNN* may suppress the inhibitory effects of SARNP, involved in mRNA splicing and export⁵⁷. A potential *PNN* tumor suppressor function was suggested in some types of cancers (e.g., renal cell carcinoma)³¹, and lower levels of *PNN* mRNA were reported in a number of human colon cancer cell lines compared with human normal colon mucosa cell lines^{58,59}. The apoptosis induced by the ectopic expression of *PNN* in a significant percentage of cancer cells was suggested to occur through a pathway mediated by ASY/Nogo-B/RTN-x_s, caspase 12, caspase 9, and caspase 3⁶⁰.

However, a different role of PININ in cancer has also been suggested. PININ expression was shown to be essential for MCF-7 breast cancer cell survival, and its depletion induced apoptosis through the activation of the expression of proapoptotic Bcl-xS transcripts⁶¹. Other studies have also shown higher expression levels of *PNN* and/or PININ in tumor tissue compared with normal tissues [e.g., ovarian cancer³², hepatocellular carcinoma (HCC)³³, and CRC³⁴]. In particular, Zhang et al.³⁴ showed that *PNN* knockdown in ovarian cancer cells resulted in the reduction of CtBP1 protein expression, cell adhesion, anchorage-independent growth, and increased drug sensitivity.

Yang et al.³² demonstrated that high levels of *PNN* were associated with less differentiated histological grade and reduced overall survival in HCC patients. *PNN* knockdown inhibited HCC cell proliferation, colony formation, cell viability, and promoted glucose deprivation (GD)-induced cell apoptosis, whereas its overexpression attenuated GD-initiated poly(ADP-ribose)polymerase (PARP) cleavage and ERK1/2 dephosphorylation. Thus, it has been suggested that PININ contributes to HCC progression and resistance to GD-induced apoptosis via maintaining ERK1/2 activation and that it could represent a potential therapeutic target in HCC³².

Wei et al.³³ demonstrated that the *PNN* overexpression was significantly associated with CRC aggressive characteristics and short overall survival. *PNN* upregulation was also shown to promote tumor cell proliferation, in vitro invasion, and metastasis in vivo. Also, *PNN* upregulation increased the expression of desmoglein 2 (DSG2) and activated the EGFR/ERK signaling pathway³³.

The expression of *PNN* through miR-1237-3p sponging has been shown to be upregulated by AATBC (LOC284837), a new lncRNA whose increased expression has been associated with poor survival in patients with nasopharyngeal carcinoma. In turn, *PNN* interacted with the epithelial–mesenchymal transition (EMT) activator ZEB1 by upregulating its expression to promote EMT in nasopharyngeal carcinoma cells⁶².

Overall, our results are in agreement with those of authors that demonstrated an active role of *PNN* in tumor progression but, in addition, provide information on the potential role of *PNN* in predicting response to drug treatment in CRC patients.

The *KCNQ1OT1* transcript is the antisense of the *KCNQ1* gene and is an unspliced lncRNA. It interacts with chromatin and regulates transcription of multiple target genes through epigenetic modifications⁶³. Although *KCNQ1OT1* transcript is aberrantly expressed in most patients with Beckwith–Wiedemann syndrome (BWS)³⁶, several studies reported associations between aberrant expression of *KCNQ1OT1* and cancer progression/metastasis^{39,44,48,52} and/or tumor drug response^{44,45,47,48} in non-BWS patients. A role of *KCNQ1OT1* in colorectal carcinogenesis through its regulation by β -catenin signaling has been reported⁶⁴ based on several evidences such as the upregulation of *KCNQ1OT1* expression in CRC cells in which β -catenin excessively accumulated in the nucleus as well as that of genes (i.e., *SLC22A18* and *PHLDA2*) regulated by *KCNQ1OT1* and resulted associated with its downregulation after β -catenin knockdown, together with the evidence that β -catenin can promote the transcription of *KCNQ1OT1* through direct binding to its promoter region⁶⁴.

In a large CRC case series from the Cancer Genome Atlas (TCGA), *KCNQ1OT1* expression levels were shown to be inversely linked to overall survival ($p=0.02$). Patients whose tumors expressed higher levels of *KCNQ1OT1* showed a shorter overall survival compared to patients whose tumors expressed lower tumor expression levels⁶⁵. Also, *KCNQ1OT1* expression levels were found to be higher in lung cancer tissues compared with normal lung tissues, and the high levels correlated with poor differentiation, high TNM stage⁴⁴, and shorter overall survival⁵¹. Another study did not find the same inverse correlations between levels of *KCNQ1OT1* expression and overall survival in early stage lung cancer patients⁶⁶.

The potential oncogenic role of *KCNQ1OT1* has also been suggested in poor prognosis patients with breast cancer³⁷, tongue carcinoma⁴⁸, and cholangiocarcinoma patients³⁹ with higher levels of *KCNQ1OT1* compared with good prognosis patients whose tumors showed low levels^{37,39,48}.

Interestingly, most of the studies also included functional assays that showed that *KCNQ1OT1* promotes tumorigenesis and/or tumor progression by modulating genes through various signaling axis and some examples include *SOX4* through miR-140-5p/SOX4 axis in cholangiocarcinoma^{39,67}, *CCNE2* through miR-145 in breast cancer³⁷ and through *KCNQ1OT1*/miR-370/*CCNE2* axis in glioma³⁸, and *HSP90AA1* through miR-27b-3p/*HSP90AA1* pathway in lung cancer⁵¹. Recently, in soft tissue sarcoma patients, the investigation

of a network of ceRNAs revealed that the subnetwork lncRNA (*KCNQ1OT1*)-miRNA (has-miR-29c-3p)-mRNA (*JARID2*, *CDK8*, *DNMT3A*, and *TET1*) was associated with a poor prognosis⁶⁸.

A role for *KCNQ1OT1* has also been shown in the development of tumor drug resistance. High mRNA expression levels of *KCNQ1OT1* were correlated with methotrexate (MTX) resistance in the human CRC cell line HT29/MTX⁴⁵ and with paclitaxel resistance in lung cancer tissue samples and cells (i.e., the paclitaxel-resistant cell line A549/PA)⁴⁴. The knockdown of *KCNQ1OT1* either in MTX-resistant CRC cells⁴⁵ and in the lung cancer paclitaxel-resistant cells⁴⁴ restored sensitivity to these drugs. In particular, in MTX-resistant CRC cell lines, the knockdown of *KCNQ1OT1* increased MTX chemosensitivity by the sponging of miR-760 and reduced their proliferation by regulating the miR-760/PPP1R1B axis with consequent cell cycle arrest and apoptosis⁴⁵.

Similarly, upregulation of *KCNQ1OT1* was shown in human tongue carcinoma tissues, human tongue carcinoma cell lines^{48,49}, and osteosarcoma cell lines⁴⁷ resistant to cisplatin, and its knockdown reduced cisplatin resistance, proliferation, and invasion⁴⁷⁻⁴⁹ also in in vivo tumor models⁴⁸. In particular, in human tongue carcinoma cell lines, *KCNQ1OT1* was shown to regulate cisplatin resistance by sponging miR-211-5p through the Ezrin/Fak/Src signaling⁴⁸ or miR-124-3p through the *KCNQ1OT1*/miR-124-3p/TRIM14 axis⁴⁹.

Results reported herein are in agreement with those previously reported by the abovementioned authors and by ourselves and are conceivable with an oncogenic role of *KCNQ1OT1* and *PNN*. The mentioned studies that provide insights on molecular mechanisms through which *PNN* or *KCNQ1OT1* may act in cancer progression and in tumor drug resistance support our findings.

Overall, our results, although obtained in a retrospective cohort, show that high levels of *PNN* or *KCNQ1OT1* predict for a worse prognosis and a suboptimal response to drug treatment. The predictive role of *PNN* or *KCNQ1OT1* is also further supported by the observation that *PNN* or *KCNQ1OT1* gene expression levels are not associated with DFS of a large GEO cohort of untreated stages II-III CRC patients.

The genetic modulation of *PNN* and *KCNQ1OT1* through available RNA therapeutic approaches (e.g., RNA silencing by siRNAs, aODNs, and CRISPR-Cas9) in CRC experimental models overexpressing *PNN* and/or *KCNQ1OT1* are warranted to determine the role of *PNN* and/or *KCNQ1OT1* in tumor progression and in 5-fluorouracil and/or oxaliplatin sensitivity/resistance.

Overall, it would be important to make *PNN* and *KCNQ1OT1* clinically actionable. According to the potential ability of both genes to predict tumor drug response, they could be used as biomarkers of drug response in

early stage CRC patients. In particular, the evaluation of *PNN* and *KCNQ1OT1* gene expression could be informative in relation to the probability of clinical outcome (DFS) to standard adjuvant chemotherapy according to the two cutoff we identified, being able to discriminate between recurrent and nonrecurrent disease. In addition, our results, although on a small number of patients, showed that the presence of only one overexpressed gene out of the two (*PNN* or *KCNQ1OT1*) negatively affects DFS. This observation introduces an added value in relation to the interchangeability of these biomarkers.

Our results also showed that *PNN* gene expression is highly concordant with PININ protein expression, thus the detection of PININ expression levels by IHC could also be proposed as a potential clinical diagnostic test predictive of treatment outcome. In fact, although we analyzed PININ expression levels in a limited number of patients, the approach we followed, i.e. the selection of samples in relation to a ratio of the expression between tumor and normal tissues, further supports the reliability of the gene/protein concordance. If the role of *PNN*/PININ as a predictive biomarker will be further consolidated in a prospective translational study, the expression of PININ could be detected by a simple diagnostic IHC method in tumor samples before the starting of chemotherapeutic treatment.

Moreover, the role of *PNN* and *KCNQ1OT1*, as predictive factors of tumor drug resistance/response to standard cytotoxic therapeutics along with their involvement in cancer progression, suggest that they could also be exploited as potential therapeutic targets. Pharmacological strategies aimed at inhibiting *PNN* client ERK proteins could probably inhibit the modulatory effects of *PNN* on the MAPK signaling pathway. For instance, ERK1/2 inhibitors (e.g., ulixertinib, LY3214996, and LTT462) that are undergoing phase I clinical investigation⁶⁹ could be a potential option for patients with high *PNN*/PININ expression levels.

Due to the ability of *KCNQ1OT1* to positively or negatively regulate the expression of several genes, its silencing through synthetic chemical compounds (e.g., pyrrole-imidazole polyamide)⁷⁰ or through the potential targeting of interacting epigenetic enzymes using different approaches (e.g., small molecules, aODNs) could represent a valid option to be considered.

In conclusion, our data derived from a retrospective study confirm previous observations reported by us and by others on the role of *PNN* and *KCNQ1OT1* as biomarkers predictive of drug response. These findings deserve to be validated in a larger number of early stage CRC patients to be treated with standard adjuvant chemotherapy in future retrospective and prospective studies.

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